

ON THE SPECTRUM AND FINE SPECTRUM OF THE COMPACT RHALY OPERATORS

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In 1989, Rhaly determined the spectrum of Rhaly operator R_a regarded as an operator on the Hilbert space l_2 normed by $\|x\| = (\sum_n |x_n|^2)^{1/2}$. It is the purpose of this paper to determine the spectrum of Rhaly operator R_a as an operator on the spaces bv_0 and bv .

Key Words : Rhaly Operator; Cesaro Operator; Spectrum and Point Spectrum

1. INTRODUCTION

Notation — By $s; c_0; c; l_p; b v; b v_0; bs; T^*, X^*; B(X); \pi_0(M, X); \sigma(T, X); A^t; O(1); \delta; \delta^n$ are meant, respectively, the set of all sequences; the space of null sequences; convergent sequences; sequences

such that $\sum_k |x_k|^p < \infty$; sequences such that $\sum_{k=0}^{\infty} |x_{k+1} - x_k| < \infty$; $bv_0 := bv \cap c_0$; sequences x such

that $\sup_{n > 0} \left| \sum_{k=0}^n x_k \right| < \infty$; the adjoint operator of T ; the space of all continuous linear functionals on

X , that is the continuous dual of X ; the linear space of all bounded linear operators, say, T on X onto itself; the set of all eigenvalues of a bounded operator T on a Banach space X ; the set of the spectrum of T on X ; transpose matrix of A ; capital order, that is, $x_n = O(1)$ if there exists $M \in \mathbb{R}^+$ such that $|x_n| \leq M$ for all n ; the constant sequences of ones; the sequences whose n th term is 1, all other terms are 0. In this paper we assume that $S := \{a_n : n = 0, 1, 2, \dots\}$.

By Goldberg², if X is a Banach space, $B(X)$ denotes the collection of all bounded linear operators on X and $T \in B(X)$, then there are three possibilities for $R(T)$, the range of T :

$$(i) R(T) = X$$

$$(ii) \overline{R(T)} = X, \text{ but } R(T) \neq X,$$

$$(iii) \overline{R(T)} \neq X$$

and three possibilities for T^{-1} :

- (1) T^{-1} exists and continuous,
- (2) T^{-1} exists but discontinuous,
- (3) T^{-1} does not exist.

If these possibilities are combined in all possible ways, nine different states are created. These are labelled by $I_1, I_2, I_3, II_1, II_2, II_3, III_1, III_2, III_3$. If an operator is in state III_2 for example, then $\overline{R(T)} \neq X$ and T^{-1} exists but is discontinuous.

Applying Goldberg’s classification to the operator, $A := \lambda I - T$, where $\lambda \in \sigma(T, X)$ the spectrum of T , considered as an operator in $B(X)$ where $X = b v_0$ or $X = b v$, we have

- (i) $A = \lambda I - T$ is surjective
- (ii) $\overline{R(A)} = X$, but $R(A) \neq X$,
- (iii) $\overline{R(A)} \neq X$

and three possibilities for T^{-1} :

- (1) $A = \lambda I - T$ is injective and A^{-1} is bounded.
- (2) $A = \lambda I - T$ is injective and A^{-1} is unbounded, and
- (3) $A = \lambda I - T$ is not injective.

If λ is a complex number such that $A = \lambda I - T \in I_1$ or $A = \lambda I - T \in II_1$, then $\lambda \in \rho(T, X)$. All scalar values of λ not in $\rho(T, X)$ comprise the spectrum of T . The further classification of $\sigma(T, X)$ gives rise to the fine spectrum of T . That is, $\sigma(T, X)$ can be divided into the subsets $I_2 \sigma(T, X), I_3 \sigma(T, X), II_2 \sigma(T, X), II_3 \sigma(T, X), III_1 \sigma(T, X), III_2 \sigma(T, X), III_3 \sigma(T, X)$. For example, if $A = \lambda I - T$ is in a given state, III_2 (say), then we write $\lambda \in III_2 \sigma(T, X)$.

Given a sequence $a = (a_n)$ of scalars, the Rhaly matrix R_a is the lower triangular matrix with constant row-segments,

$$R_a = \begin{pmatrix} a_0 & 0 & 0 & \dots \\ a_1 & a_1 & 0 & \dots \\ a_2 & a_2 & a_2 & \dots \\ \vdots & \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots & \vdots \end{pmatrix} \dots \quad (1.1)$$

For $a = \{1/(n + 1)\}$ the spectra on $b v_0$ and $b v$ of Cesaro matrix were studied respectively in^{3,4}. In 1989, Rhaly⁵ determined the spectrum of Rhaly operator R_a regarded as an operator on the Hilbert space l_2 normed by $\|x\| = (\sum_n |x_n|^2)^{1/2}$. In previous works^{10,11}, the spectrum of the Rhaly

operator R_a on c_0 and c were calculated. The purpose of this paper is to determine the spectrum and fine spectrum of Rhal operators as an operator on $b v_0$ and $b v$.

2. THE SPECTRUM AND THE FINE SPECTRUM OF R_a ON $b v_0$

From [8] any matrix A is in $B(b v_0)$ iff A has null columns and

$$\|A\|_{b v_0} := \sup_m \sum_n \left| \sum_{k=0}^m a_{nk} - a_{n-1,k} \right| < \infty. \quad \dots (2.1)$$

Lemma 2.1 — Suppose $\lambda \neq a_0, a_1, \dots$; $\lim_n (n+1) a_n = 0$ and $\frac{a_n}{a_{n-1}} < \frac{n}{n+1}$. Then for all $\lambda \in \mathbb{C}$, there exist positive numbers M and H such that

$$M \leq \prod_{j=1}^n \left| 1 - \frac{a_j}{\lambda} \right| \leq H \quad \dots (2.2)$$

PROOF : Since $1 + u \leq e^u$ for all $u \in \mathbb{R}$, we have

$$\begin{aligned} \prod_{j=1}^n \left| 1 - \frac{a_j}{\lambda} \right| &= \prod_{j=1}^n |(1 + \alpha a_j) + i \beta a_j| = \prod_{j=1}^n (1 + 2 \alpha a_j + (\alpha^2 + \beta^2) a_j^2)^{1/2} \\ &\leq \prod_{j=1}^n \left\{ \exp \left\{ 2 \alpha a_j + (\alpha^2 + \beta^2) a_j^2 \right\} \right\}^{1/2} = \exp \left\{ \sum_{j=1}^n \alpha a_j + \sum_{j=1}^n O(a_j^2) \right\} \\ &= \exp \{O(1) + O(1)\} = O(1) \end{aligned}$$

and

$$\prod_{j=1}^n \left| 1 - \frac{a_j}{\lambda} \right|^{-1} = \prod_{j=1}^n (1 + 2 \alpha a_j + (\alpha^2 + \beta^2) a_j^2)^{-1/2}$$

$$\begin{aligned} &\leq \exp \left\{ \prod_{j=1}^n -\alpha a_j + \sum_{j=1}^n O(a_j^2) \right\} \\ &= \exp \{O(1) + O(1)\} = O(1), \end{aligned}$$

where $-\frac{1}{\lambda} = \alpha + i \beta$.

Theorem 2.2 — Suppose $\frac{a_n}{a_{n-1}} < \frac{n}{n+1}$ and $\lim_n (n+1) a_n = 0$. Then $R_a \in B(b v_0)$ and R_a^*

which is the adjoint operator of R_a on $b v_0$ is the transpose of R_a and $R_a^t \in B(b s)$.

PROOF : From [6, Corollary 4], $\|R_a\|_{bv_0} = a_0$ and $R_a \in B(bv_0)$.

Using the lemma 1.4 in [3], we have

$$R_a^* = R_a^t = \begin{pmatrix} a_0 & a_1 & a_2 & \dots \\ 0 & a_1 & a_2 & \dots \\ 0 & 0 & a_2 & \dots \\ \vdots & \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots & \vdots \end{pmatrix} \dots (2.3)$$

For any T operator on a normed space X , since $\|T\|_X = \|T^*\|_{X^*}$, we obtain $\|R_a\|_{bv_0} = \|R_a^*\|_{b^*v_0} = a_0$. Finally it is obtained that $R_a^* \in B(b^*v_0)$, i.e., $R_a^t \in B(b^*v_0)$.

Theorem 2.3 — If $\lim_n (n+1)a_n = 0$ and $\frac{a_n}{a_{n-1}} < \frac{n}{n+1}$, then R_a is a compact operator on bv_0 .

PROOF : If $R_a^{(m)}$ is the Rhaly matrix with diagonal sequence $(a_0, a_1, \dots, a_m, 0, 0, \dots)$, then the $R_a^{(m)}$ is a compact operator on bv_0 and $R_a^{(m)} \in B(bv_0)$, the dimension of $R(R_a^{(m)})$ is finite for each m , and

$$\begin{aligned} \| (R_a - R_a^{(m)})x \|_{bv_0} &= \left\| \left(0, 0, \dots, a_{m+1} \sum_{k=0}^{m+1} x_k, a_{m+2} \sum_{k=0}^{m+2} x_k, \dots \right) \right\|_{bv_0} \\ &= \sum_{k=m+1}^{\infty} \left| a_n \sum_{k=0}^n x_k - a_{n+1} \sum_{k=0}^{n+1} x_k \right| \\ &= \sum_{k=m+1}^{\infty} \left| (a_n - a_{n+1}) \sum_{k=0}^n x_k - a_{n+1} x_{n+1} \right| \\ &\leq \sum_{k=m+1}^{\infty} |a_n - a_{n+1}| \sum_{k=0}^n |x_k| + |a_{n+1}| |x_{n+1}| \\ &\leq \sup_k |x_k| \cdot \sum_{k=m+1}^{\infty} [|a_n - a_{n+1}|(n+1) + a_{n+1}] \\ &\leq \sup_k |x_k| \cdot \left\{ \sum_{k=m+1}^{\infty} [(n+1)a_n - (n+1)a_{n+1}] \sum_{k=m+1}^{\infty} a_{n+1} \right\} \end{aligned}$$

$$\begin{aligned}
 &= \sup_k |x_k| \cdot \left\{ (m+2) a_{m+1} + 2 \sum_{k=m+2}^{\infty} a_n - \lim_{r \rightarrow \infty} (r+1) a_{r+1} \right\} \\
 &= \sup_k |x_k| \cdot \left\{ (m+2) a_{m+1} + 2 \sum_{k=m+2}^{\infty} a_n \right\}.
 \end{aligned}$$

Thus since $\lim_n (n+1) a_n = 0$, $\lim_{n \rightarrow \infty} \|(R_a - R_a^{(m)})\|_{bv_0} = 0$. Hence R_a is a compact operator on bv_0 if $\lim_n (n+1) a_n = 0$.

Theorem 2.4 — If $\frac{a_n}{a_{n-1}} < \frac{n}{n+1}$ and $\lim_n (n+1) a_n = 0$ then $\pi(R_a, bv_0) = S$.

PROOF : If $R_a x = \lambda x$, then $(a_0 - \lambda) x_0 = 0$ and $(\lambda a_n^{-1} - 1) a_n = a_{n-1}^{-1} \lambda x_{n-1}$ for all $n \geq 1$. If $\lambda = 0$, then $x = \theta$ therefore $0 \notin \pi(R_a, bv_0) = S$. If m is the smallest integer for which $x_m \neq 0$, then $\lambda = a_m$ and

$$x_n = \prod_{j=m+1}^n \frac{\lambda a_{j-1}^{-1}}{\lambda a_j^{-1} - 1} x_m \tag{2.4}$$

for $n \geq m+1$. From (2.4), we conclude that the eigenvalues of R_a are simple.

Now let us investigate for $\lambda = a_m$ whether $x \in bv_0$ where x is given by (2.4).

From [9, Thm.3], $(x_n) \in c_0$. Since

$$\begin{aligned}
 |x_n - x_{n+1}| &= \left| \prod_{j=m+1}^n \frac{\lambda a_{j-1}^{-1}}{\lambda a_j^{-1} - 1} - \prod_{j=m+1}^{n+1} \frac{\lambda a_{j-1}^{-1}}{\lambda a_j^{-1} - 1} \right| \cdot |x_m| \\
 &= \left| 1 - \frac{\lambda a_n^{-1}}{\lambda a_{n+1}^{-1} - 1} \right| \cdot \prod_{j=m+1}^n \left| \frac{\lambda a_{j-1}^{-1}}{\lambda a_j^{-1} - 1} \right| \cdot |x_m| \\
 &= O(1) \frac{a_n}{a_m} \left| \frac{\left(1 - \frac{a_{n+1}}{a_n} \lambda - a_{n+1} \right)}{\lambda - a_{n+1}} \right|
 \end{aligned}$$

and $\lim_n x_n = 0$, then we have $\sum_n |x_n - x_{n+1}| = O(1) \sum_n a_n < \infty$. Hence $x \in bv_0$.

Lemma 2.5 — Suppose $z_n = \prod_{v=1}^n \left(1 - \frac{a v}{\lambda} \right)$ where $\lambda \in C$ and $\lambda \neq 0$. Then (z_n) is a bounded

sequence where $s_n = \sum_{k=1}^n z_k$ iff $\lambda = a_m, m = 0, 1, 2, \dots$

PROOF : Suppose $\lambda = a_m, m = 0, 1, 2, \dots$ For all $n \geq m$ we have $z_n = 0$. Therefore the only if part of the Lemma is obvious.

Conversely suppose that (s_n) is a bounded sequence where $s_n = \sum_{k=1}^n z_k$ We have that

$$\ln(1-u) = - \sum_{n=1}^{\infty} \frac{u^n}{n} = -u + O(u^2) \text{ and } \ln\left(\frac{1}{1-u}\right) = u + O(u^2) \text{ uniformly in } |u| < 1/2, u \in C.$$

Now given $\lambda \neq 0$ there is v_0 such that $\frac{|\lambda|}{a_{v_0}} \geq 2$ and

$$\begin{aligned} \ln Z_n &= \sum_{\vartheta=0}^n \ln\left(1 - \frac{1}{\lambda a_{\vartheta}^{-1}}\right) \\ &= \sum_{\vartheta=0}^{v_0-1} \ln\left(1 - \frac{1}{\lambda a_{\vartheta}^{-1}}\right) + \sum_{\vartheta=v_0}^n \ln\left(1 - \frac{1}{\lambda a_{\vartheta}^{-1}}\right) \\ &= O(1) + \sum_{\vartheta=v_0}^n \left(-\frac{a_{\vartheta}}{\lambda} + O\left(\frac{a_{\vartheta}^2}{|\lambda|^2}\right)\right) \\ &= O(1) - \frac{1}{\lambda} \sum_{\vartheta=v_0}^n a_{\vartheta} + \frac{1}{|\lambda|^2} \sum_{\vartheta=v_0}^n O(a_{\vartheta}^2) = \ln(O(1)). \end{aligned}$$

Hence $S_n = O(1)$.

Similarly $\ln\left(\frac{1}{Z_n}\right) = O(1) + \frac{1}{\lambda} \sum_{\vartheta=v_0}^n a_{\vartheta} + \frac{1}{|\lambda|^2} \sum_{\vartheta=v_0}^n O(a_{\vartheta}^2) = O(1) = \ln(O(1))$, and we have

$\frac{1}{Z_n} = O(1)$. Using these equalities and the definition of Z_n for $\lambda \neq a_m$ (for all m), $s_n = \sum_{k=1}^n Z_k$ is unbounded.

Theorem 2.6 — If $\frac{a_n}{a_{n-1}} < \frac{n}{n+1}$ and $\lim_n (n+1) a_n = 0$, then $\pi_0(R_a^*, bv_0^* \equiv bs) = S$.

PROOF : Since R_a^* is the transpose of R_a , for $x \neq \theta$, if $R_a^* x = \lambda x$ then $\lambda a_n^{-1} x_{n+1} = (\lambda a_n^{-1} - 1) x_n$. If $\lambda = 0$ then $x = \theta$, therefore $0 \notin \pi_0(R_a^*, bs)$. If $\lambda \neq 0$, from Lemma 2.5.

$x = (x_n) \in B(bs)$ where

$$x_n = \prod_{j=0}^{n-1} \left(1 - \frac{a_j}{\lambda} \right) x_0 \quad \dots (2.5)$$

if and only if $\lambda = a_m$ (for all m).

Now using the properties of a compact operator, we will calculate $\sigma(R_a, bv_0)$.

Theorem 2.7 — If $\frac{a_n}{a_{n-1}} < \frac{n}{n+1}$ and $\lim_n (n+1) a_n = 0$. Then $\sigma(R_a, bv_0) = S \cup \{0\}$.

PROOF : Since R_a is a compact operator and $\dim(bv_0) = \infty$, $0 \in \sigma(R_a, bv_0)$ [7]. Furthermore, non-zero spectral values of R_a are eigenvalues¹. Hence by Theorem 2.3 and Theorem 2.6 the proof is completed.

Theorem 2.8 — Suppose $\frac{a_n}{a_{n-1}} < \frac{n}{n+1}$ and $\lim_n (n+1) a_n = 0$. If $\lambda = a_m$ and $\lambda \neq 0$ then $\lambda \in III_3 \sigma(R_a, bv_0)$.

PROOF : Since $\lambda = a_m \in \pi_0(R_a, bv_0)$, $(\lambda I - R_a)^{-1}$ does not exist, i.e., $\lambda I - R_a \in (3)$. On the other hand since $\lambda = a_m \in \pi_0(R_a^*, bs)$, $\lambda I - R_a^*$ is not one to one. So R_a has dense range on bv_0 [2, p.59]. As a result $\lambda I - R_a \in III$ and hence $\lambda \in III_3 \sigma(R_a, bv_0)$.

Theorem 2.9 — Suppose $\frac{a_n}{a_{n-1}} < \frac{n}{n+1}$ and $\lim_n (n+1) a_n = 0$. Then $0 \in II_2 \sigma(R_a, bv_0)$.

PROOF : From Theorem 2.4, since $\pi_0(R_a, bv_0) = S$, we have $0 \notin \pi_0(R_a, bv_0)$. Thus R_a^{-1} exists and $R_a \in (1) \cup (2)$.

If $R_a^* x = y$, then it is obtained $x_n = a_n^{-1} (y_n - y_{n+1})$. Choosing $(y_n) = ((-1)^n) \in bs$ we have $(x_n) = (2(-1)^n a_n^{-1}) \notin bs$, i.e., $R(R_a^*) \neq bs$. Hence $R_a \in (2)$ [2, p. 60].

From Theorem 2.6, since $0 \notin \pi_0(R_a^*, bs)$, R_a^* operator is one to one. Therefore $\overline{R(R_a^*)} = bv_0$ [2, p. 59].

Clearly the entries of the matrix $R_a^{-1} = (b_{nk})$ are given by

$$b_{nk} = \begin{cases} a_n^{-1}, & k = n \\ -a_{n-1}^{-1}, & k = n-1 \\ 0, & \text{otherwise} \end{cases} \quad \dots (2.6)$$

Choose $y = (y_n) = \{(-1)^n a_n\} \in bv_0$. If $R_a^* x = y$, then $x_n = 2(-1)^n$ for all $n \geq 1$. Therefore, $x = (x_n) \notin bv_0$. Hence $R(R_a) \neq bv_0$, and consequently, $R_a \in II_1$, i.e., $0 \in II_2 \sigma(R_a, bv_0)$.

3. THE SPECTRUM AND THE FINE SPECTRUM OF R_a ON $b v$

From [9] any matrix A is in $B(b v)$ iff A holds (2.1) and $A \delta \in b v$.

Theorem 3.1 — Let $\frac{a_n}{a_{n-1}} < \frac{n}{n+1}$ and $\lim_n (n+1) a_n = 0$. Then $R_a \in B(b v)$ and R_a^* which is the adjoint operator of R_a on $b v$ is given by

$$R_a^* = \begin{pmatrix} L & a_0 - L & a_1 - L & a_2 - L & \dots \\ 0 & a_0 & a_1 & a_2 & \dots \\ 0 & 0 & a_1 & a_2 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots & \vdots & \vdots \end{pmatrix} \quad \dots (3.1)$$

PROOF : Suppose that $(y_n) := R_a \delta = (a_0, 2a_1, 3a_2, \dots, (n+1) a_n, \dots)$. We have

$$\begin{aligned} (y_n) \in b v &\Leftrightarrow \sum_n |y_n - y_{n-1}| = \sum_{n=0}^{\infty} |(n+2) a_{n+1} - (n+1) a_n| \\ &= \sum_{n=0}^{\infty} ((n+1) a_n - (n+2) a_{n+1}) \\ &= \lim_{k \rightarrow \infty} (a_0 - (k+2) a_{k+1}) = a_0. \end{aligned}$$

It was shown that R_a satisfied held (2.1) in Theorem 2.2.

Let $T : b v \rightarrow b v$ be an operator given by the matrix $A = (a_{nk})$. Then Okutoyi [4, Lemma 2.1] showed that $T^* : b v^* \rightarrow b v^*$ is given by

$$T^* = \begin{pmatrix} \bar{\chi} & v_0 - \bar{\chi} & v_1 - \bar{\chi} & v_2 - \bar{\chi} & \dots \\ u_0 & a_{00} - u_0 & a_{10} - u_0 & a_{20} - u_0 & \dots \\ u_1 & a_{01} - u_1 & a_{11} - u_1 & a_{21} - u_1 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots & \vdots & \vdots \end{pmatrix} \quad \dots (3.2)$$

where $\bar{\chi} = \lim_{n \rightarrow \infty} \sum_{\vartheta=0}^{\infty} a_{n\vartheta}$, $u_n = \lim_{k \rightarrow \infty} a_{kn}$ and $v_k = P_k T(\delta) = \sum_{\vartheta=0}^{\infty} P_k T(\delta^\vartheta)$.

Choosing $A = R_a$, we obtain $\bar{\chi} = \lim_{n \rightarrow \infty} \sum_{\vartheta=0}^{\infty} a_n \vartheta = \lim_{k \rightarrow \infty} (a_{n0} + a_{n1} + a_{n2} + \dots + a_{nn}) = \lim_{n \rightarrow \infty} (n+1)a_n = 0$, $u_n = \lim_{k \rightarrow \infty} a_{kn} = \lim_{k \rightarrow \infty} a_k = 0$ and $v_k = (P_k \circ T)(\delta) = (k+1)a_k$. Hence equality (3.1) is true.

Theorem 3.2 — If $\lim_n (n+1)a_n = 0$ and $\frac{a_n}{a_{n-1}} < \frac{n}{n+1}$, then R_a is a compact operator in $B(bv)$.

Theorem 3.3 — If $\frac{a_n}{a_{n-1}} < \frac{n}{n+1}$ and $\lim_n (n+1)a_n = 0$, then $\pi(R_a, bv) = S$.

The proof is similar to Theorem 2.4.

Theorem 3.4 — If $\frac{a_n}{a_{n-1}} < \frac{n}{n+1}$ and $\lim_n (n+1)a_n = 0$, then $\pi(R_a^*, bv^* \equiv C \oplus bs) = S \cup \{0\}$.

PROOF : If $R_a^* x = \lambda x$ where $x \neq \theta$, then the following equalities hold

$$\sum_{k=0}^{\infty} (k+1)a_k x_{k+1} = \lambda x_0 \tag{3.3}$$

and

$$\sum_{k=n-1}^{\infty} a_k x_{k+1} = \lambda x_n \text{ for all } n \geq 1. \tag{3.4}$$

If $\lambda = 0$, then choosing $x_0 \neq 0$ and $x_n = 0$ for $n \geq 1$ we have $(x_n) = (x_0, 0, 0, \dots) \in bs$. Hence $0 \in \pi(R_a^* C \oplus bs)$. From (3.4), $x_{n+1} = \left(1 - \frac{a_{n-1}}{\lambda}\right)x_n$. If $\lambda = a_m$ ($m = 0, 1, 2, \dots$), then $x_n = 0$ for $n > m + 1$. Hence $(x_n) \in bs$, i.e., $\lambda = a_m \in \pi(R_a^*, C \oplus bs)$. From (3.4), we obtain $x_n = \left(1 - \frac{a_j}{\lambda}\right)x_1$ for $n > 1$. From Lemma 2.5 the eigenvector corresponding to (x_n) belongs to bs if and only if $\lambda = a_m$ ($m = 0, 1, 2, \dots$). Hence there are no eigenvalues except the a_n 's.

Theorem 3.5 — Suppose $\frac{a_n}{a_{n-1}} < \frac{n}{n+1}$ and $\lim_n (n+1)a_n = 0$. Then $0 \in III_2 \sigma(R_a, bv)$.

PROOF : Since $0 \notin \pi_0(R_a, bv_0) = S$ there exist R_a^{-1} , i.e., $R_a \in (1) \cup (2)$. If $R_a^* x = y$, then the following equalities hold :

$$\sum_{k=0}^{\infty} (k+1)a_k x_{k+1} = y_0 \quad \dots (3.5)$$

and

$$\sum_{k=n-1}^{\infty} a_k x_{k+1} = y_n \text{ for all } n \geq 1. \quad \dots (3.6)$$

Since $x_n = a_n^{-1} (y_n - y_{n+1})$, choosing $y_0 \neq 0$ and $y_n = (-1)^n$ for all $n \geq 1$, we have $v = (y_n) \in bs$. From (3.7), since $x_n = 2(-1)^n a_n^{-1}$, we have $(x_n) \notin bs$. Therefore, $R(R_a^*) \neq bs$, i.e., $R_a \in (2)$ [2, p. 60].

Since $0 \in \pi(R_a^*, c \oplus bs)$, R_a^* is not one to one and hence $\overline{R(R_a^*)} \neq bv$ [2, p. 59], i.e., $R_a \in III$. Finally, $R_a \in III_2$ and hence it is obtained $0 \in III_2 \sigma(R_a, bv)$.

Theorem 3.6 — Suppose $\frac{a_n}{a_{n-1}} < \frac{n}{n+1}$ and $\lim_n (n+1)a_n = 0$. If $\lambda = a_m, \lambda \neq 0$, then $\lambda \in III_3 \sigma(R_a, bv)$.

PROOF : Since $\lambda = a_m \in \pi(R_a, bv)$, then $(\lambda I - R_a)^{-1}$ doesn't exist. Hence we have $a_m I - R_a \in (3)$.

Since $\lambda = a_m \in \pi(R_a^*, C \oplus bs)$ for $m = 0, 1, 2, \dots$, the adjoint operator $a_m I - R_a^*$ is not one to one. Therefore, $\overline{R(a_m I - R_a^*)} \neq bv$ [2, p. 59], i.e., $a_m I - R_a \in III$ and consequently $a_m \in III_3 \sigma(R_a, bv)$.

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