

ON BANACH FRAMES

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Examples and counter examples to distinguish various types of Banach frames have been given. It has been proved that if a Banach space has a Banach frame, then it also has a normalized tight as well as a normalized tight and exact Banach frame. In particular, it has been proved that a Banach space with a weak* separable dual has a normalized tight and exact Banach frame. A condition for the stability of a Banach frame has been given. Finally, we consider finite sum of Banach frames and give a condition under which finite sum of Banach frames is a Banach frame.

Key Words: Banach frames; frames; stability

1. INTRODUCTION

Frames for Hilbert spaces were introduced by Duffin and Schaeffer [5] in 1952, while addressing some difficult problems from the theory of non-harmonic Fourier series. Infact they abstracted Gabor's method to define a frame for a Hilbert space. Later, in 1986, Daubechies, Grossmann and Meyer [4] found a fundamental new application to wavelet and Gabor transforms in which frames continue to play an important role.

In 1991, Gröchenig [8] generalized frames for Banach spaces and called them *atomic decompositions*. He also introduced a more general concept for Banach spaces called a *Banach frame*. Banach frames were further studied in [1–3, 7].

In the present paper, our aim is to provide examples and counter examples for various types of Banach frames (§3). In Section 4, a necessary and sufficient condition for a Banach frame to be an exact Banach frame has been given; it has been proved that if E is a Banach space such that E^* is weak*-separable, then E has a normalized tight and exact Banach frame. Conditions for the stability of Banach frames have been given in Section 5. Finally, in Section 6, a condition under which finite sum of Banach frames is a Banach frame has been given.

2. BASIC NOTATIONS AND DEFINITIONS

Throughout the paper E will denote an infinite dimensional Banach space over the scalar field \mathbb{K} (\mathbb{R} or \mathbb{C}), E^* and E^{**} , respectively, the first and second conjugate spaces of E , $L(E, E)$ the Banach space of all continuous linear mappings of E into E , E_d an associated Banach space of scalar valued sequences indexed by \mathbb{N} , $[f_n]$ the closed linear span of $\{f_n\}$ and $[\widetilde{f_n}]$ the closed linear span of $\{f_n\}$ in the $\sigma(E^*, E)$ -topology.

A sequence $\{f_n\} \subset E^*$ is said to be complete if $[f_n] = E^*$ and total if $\{x \in E : f_n(x) = 0, n \in \mathbb{N}\} = \{0\}$. For $x = \{x_n\}, y = \{y_n\}$ in E and $\alpha \in \mathbb{K}$, we define $x \pm y = \{x_n \pm y_n\}$ and $\alpha x = \{\alpha x_n\}$.

Definition — (Gröchenig [8]). Let E be a Banach space and E_d be an associated Banach space of scalar valued sequences, indexed by \mathbb{N} . Let $\{f_n\} \subset E^*$ and $S : E_d \rightarrow E$ be given. The pair $(\{f_n\}, S)$ is called a *Banach frame for E with respect to E_d* , if

- (i) $\{f_n(x)\} \in E_d$, for each $x \in E$
- (ii) there exist constants A and B with $0 < A \leq B < \infty$ such that

$$A\|x\|_E \leq \|\{f_n(x)\}\|_{E_d} \leq B\|x\|_E, \quad x \in E \quad (2.1)$$

(iii) S is a bounded linear operator such that

$$S(\{f_n(x)\}) = x, \quad x \in E.$$

The positive constants A and B , respectively, are called *lower* and *upper frame bounds* of the Banach frame $(\{f_n\}, S)$. The operator $S : E_d \rightarrow E$ is called the *reconstruction operator* (or, the *pre-frame operator*). The inequality (2.1) is called the *frame inequality*.

The Banach frame $(\{f_n\}, S)$ is called *tight* if it is possible to choose A, B satisfying (2.1) with $A = B$ and is *normalized tight* if $A = B = 1$. The Banach frame $(\{f_n\}, S)$ is said to be *exact* if there exists no reconstruction operator S_0 such that $(\{f_n\}_{n \neq i}, S_0)$ ($i \in \mathbb{N}$) is a Banach frame for E .

3. EXAMPLES

Let $E = c_0$ and let $\{f_n\}$ be the sequence of elements in E^* defined by

$$f_n(x) = \xi_n, \quad n \in \mathbb{N}, \quad x = \{\xi_j\} \in E.$$

Then, by Remark 7.1 in [9], there exists an associated Banach space $E_d = \{\{f_n(x)\} : x \in E\}$ of scalar-valued sequences with norm given by

$$\|\{f_n(x)\}\|_{E_d} = \|x\|_E, \quad x \in E.$$

Define $S : E_d \rightarrow E$ by $S(\{f_n(x)\}) = x, x \in E$.

1. Tight and Exact. The pair $(\{f_n\}, S)$ is a Banach frame for E with respect to E_d and with bounds $A = B = 1$. Also, there exists no reconstruction operator S_0 such that $(\{f_n\}_{n \neq j}, S_0)$ ($j \in \mathbb{N}$) is Banach frame for E .

2. Non-tight and Exact. Let $\{\alpha_n\} \subset \mathbb{R}$ be the sequence of scalars defined by

$$\alpha_n = \begin{cases} \frac{1}{2}, & \text{if } n = 1 \\ 1, & \text{if } n > 1, n \in \mathbb{N}. \end{cases}$$

Put $\phi_n = \alpha_n f_n, n \in \mathbb{N}$. Then $\{\phi_n(x)\} \in E_d, x \in E$ and

$$\frac{1}{2}\|x\|_E \leq \|\{\phi_n(x)\}\|_{E_d} \leq \|x\|_E, \quad x \in E.$$

Let $T : E \rightarrow E_d$ be the coefficient mapping given by $T(x) = \{f_n(x)\}, x \in E$. Then, $U = T^{-1} : E_d \rightarrow E$ is a bounded linear operator such that $(\{\phi_n\}, U)$ is a Banach frame for E with respect to E_d and with bounds $A = \frac{1}{2}, B = 1$. Exactness of $(\{\phi_n\}, U)$ is obvious.

3. Tight and Non-exact. Define the sequence $\{g_n\} \subset E^*$ by $g_1 = f_1, g_n = f_{n-1}, n \geq 2$. Then, there exists a reconstruction operator $S_0 : E_{d_0} = \{\{g_n(x)\} : x \in E\}$ such that $(\{g_n\}, S_0)$ is a Banach frame for E with respect to E_d and with bounds $A = B = 1$. Also, note that $\{g_n\}_{n \neq 1}$ is total over E , therefore, there exists an associated Banach space $E_{d_1} = \{\{g_n(x)\}_{n \neq 1} : x \in E\}$ and a reconstruction operator $S_1 : E_{d_1} \rightarrow E$ such that $(\{g_n\}_{n \neq 1}, S_1)$ is Banach frame for E with respect to E_{d_1} . Hence $(\{g_n\}, S_0)$ is tight and non-exact Banach frame for E .

4. Non-tight and Non-exact. Let $\{\alpha_n\} \subset \mathbb{R}$ be the sequence defined by

$$\alpha_n = \begin{cases} 1, & \text{if } n \in \mathbb{N}, n \neq 3 \\ \frac{1}{2}, & \text{if } n = 3 \end{cases}$$

Put $\phi_1 = \alpha_1 f_1, \phi_n = \alpha_n f_{n-1}, n \geq 2$.

Then, $\{\phi_n(x)\} \in E_d, x \in E$ and

$$\frac{1}{2}\|x\|_E \leq \|\{\phi_n(x)\}\|_{E_d} \leq \|x\|_E, \quad x \in E.$$

Therefore, one may find a reconstruction operator $S_0 : E_d \rightarrow E$ such that $(\{\phi_n\}, S_0)$ is a Banach frame for E with respect to E_d . Further, there exists a reconstruction operator $S_1 : E_{d_1} = \{\{\phi_n(x)\}_{n \neq 1} : x \in E\}$ such that $(\{\phi_n\}_{n \neq 1}, S_1)$ is a Banach frame for E with respect to E_{d_1} . Hence $(\{\phi_n\}, S_0)$ is non-tight and non-exact Banach frame for E with respect to E_d .

5. Not a Banach frame. Let $\{g_n\} \in E^*$ be the sequence defined by $g_1 = f_2, g_n = f_n, n \geq 2$. Then there exists no reconstruction operator S such that $(\{g_n\}, S)$ is a Banach frame for E .

Regarding existence of Banach frames, we observe that there exist Banach spaces which do not have Banach frames. Infact, the Banach space ℓ^∞/c_0 has no Banach frame.

Remark 3.1 : Let $(\{f_n\}, S)$ ($\{f_n\} \subset E^*$, $S : E_d \rightarrow E$) be a Banach frame for E with respect to E_d and with frame bounds A and B . Then, it is easy to observe that the coefficient mapping $T : E \rightarrow E_d$ defined by $T(x) = \{f_n(x)\}$, $x \in E$ is a topological isomorphism onto a closed subspace of E_d . In this case $\|T\| \leq B$. Further note that T^{-1} exists on the range of T (i.e. $R(T)$) and $\|T^{-1}\| \leq \frac{1}{A}$.

Moreover, we observe that for a sequence $\{f_n\} \subset E^*$, if the coefficient mapping $T : E \rightarrow E_d$ defined by $T(x) = \{f_n(x)\}$, $x \in E$ is a topological isomorphism onto E_d , then there exists a reconstruction operator $S : E_d \rightarrow E$ such that $(\{f_n\}, S)$ is a Banach frame for E and with frame bounds $\|T^{-1}\|^{-1}$ and $\|T\|$. Indeed, for each $x \in E$

$$\|\{f_n(x)\}\|_{E_d} = \|T(x)\|_{E_d} \leq \|T\| \|x\|_E$$

and

$$\|x\|_E = \|T^{-1}(\{f_n(x)\})\|_E \leq \|T^{-1}\| \|\{f_n(x)\}\|_{E_d}$$

Put $S = T^{-1}$. Then $(\{f_n\}, S)$ is a Banach frame for E .

4. EXISTENCE OF BANACH FRAMES

Lemma 4.1. — Let $(\{f_n\}, S)$ ($\{f_n\} \subset E^*$, $S : E_d \rightarrow E$) be a Banach frame for E with respect to E_d . Then $(\{f_n\}, S)$ is exact if and only if $f_n \notin \widetilde{[f_i]_{i \neq n}}$, for all n .

PROOF: Suppose first that the Banach frame $(\{f_n\}, S)$ is exact. Then, there exists no reconstruction operator S_0 such that $(\{f_i\}_{i \neq n}, S_0)$ ($n \in \mathbb{N}$) is a Banach frame for E . Therefore, $\widetilde{[f_i]_{i \neq n}} \neq E^*$. Hence $f_n \notin \widetilde{[f_i]_{i \neq n}}$. Conversely, let $f_n \notin \widetilde{[f_i]_{i \neq n}}$, for all $n \in \mathbb{N}$, and let $(\{f_n\}, S)$ be not exact. Then there exists a positive integer m and a reconstruction operator S_1 defined by $S_1(\{f_i(x)\}_{i \neq m}) = x$, $x \in E$ such that $(\{f_i\}_{i \neq m}, S_1)$ is a Banach frame for E . Therefore, by frame inequality, $\widetilde{[f_i]_{i \neq m}} = E^*$. This gives $f_m \in \widetilde{[f_i]_{i \neq m}}$, which is a contradiction. \square

Theorem 4.2. — Let E be a Banach space having a Banach frame. Then E has a normalized tight Banach frame as well as a normalized tight and exact Banach frame.

PROOF: Let $(\{f_n\}, S)$ ($\{f_n\} \subset E^*$, $S : E_d \rightarrow E$) be a Banach frame for E with respect to E_d . Then, by frame inequality, $\{f_n\}$ is total over E . Therefore, by Remark 7.1 in [9], there exists an associated Banach space $\{\{f_n(x)\} : x \in E\}$ with norm given by $\|\{f_n(x)\}\| = \|x\|_E$, $x \in E$. Define $S_0 : \{\{f_n(x)\} : x \in E\} \rightarrow E$ by $S_0(\{f_n(x)\}) = x$, $x \in E$. Then, S_0 is a bounded linear operator such that $(\{f_n\}, S_0)$ is a normalized tight Banach frame.

Further, we may assume, without loss of generality that $\{f_n\}$ is finitely linearly independent. (In case $\{f_n\}$ is not finitely linearly independent, we can derive a subsequence $\{g_j\} \subset \{f_n\}$ which is finitely linearly independent and total over E). Then, for each $n \in \mathbb{N}$, there exists an $x_n \in E$

such that $f_i(x_n) = 0, i = 1, 2, \dots, n - 1$ and $f_n(x_n) = 1$. Define $\{h_n\} \subset E^*$ by

$$h_1 = f_1, \quad h_n = f_n - \sum_{i=1}^{n-1} f_n(x_i)h_i, \quad n = 2, 3, \dots$$

Then $\{h_n\}$ is total over E such that $h_i(x_j) = \delta_{ij}, i, j \in \mathbb{N}$. Therefore, there exists an associated Banach space $E_{d_1} = \{\{h_n(x)\} : x \in E\}$ equipped with norm $\|\{h_n(x)\}\|_{E_{d_1}} = \|x\|_E, x \in E$ and a bounded linear operator $U : E_{d_1} \rightarrow E$ defined by $U(\{h_n(x)\}) = x, x \in E$ such that $(\{h_n\}, U)$ is a normalized tight Banach frame for E . Further, $h_n \notin \widetilde{[h_i]_{i \neq n}}$, for all $n \in \mathbb{N}$.

Hence, by Lemma 4.1, $(\{h_n\}, U)$ is an exact Banach frame for E . □

Corollary 4.3 — If E is a Banach space such that E^* is weak* separable, then E has a normalized tight and exact Banach frame. In particular, every separable Banach space has a normalized tight and exact Banach frame.

Remark 4.4 : The result in the corollary improves considerably Proposition 2.4 in [2].

Theorem 4.5 — A Banach frame $(\{f_n\}, S)$ ($\{f_n\} \subset E^*, S : E_d \rightarrow E$) is exact if there exists a sequence $\{x_n\} \subset E$ such that

$$\lim_{n \rightarrow \infty} \sum_{i=1}^{m_n} \alpha_i^{(n)} f_i = f \implies \lim_{n \rightarrow \infty} \alpha_i^{(n)} = f(x_i), \quad (i \in \mathbb{N}).$$

PROOF: Note that, by assumption, $\{f_n\}$ is finitely linearly independent. Define

$$\psi_j \left(\sum_{i=1}^n \alpha_i f_i \right) = \alpha_j, \quad \left(\sum_{i=1}^n \alpha_i f_i \in \text{span}\{f_n\} \right), \quad j = 1, 2, \dots, n.$$

Then, for each j, ψ_j is a well defined continuous linear functional on $\text{span}\{f_n\}$. Since $f \in [f_n]$ has the form $f = \lim_{n \rightarrow \infty} \sum_{i=1}^{m_n} \alpha_i^{(n)} f_i$, one can extend ψ_j to $[f_n]$ by $\psi_j(f) = \lim_{n \rightarrow \infty} \alpha_j^{(n)} = f(x_j), j \in \mathbb{N}$. Thus $\{\psi_n\} \subset [f_n]^*$ is a sequence such that $\psi_j(f_i) = f_i(x_j) = \delta_{ij}, (i, j = 1, 2, \dots)$. Therefore $f_n \notin \widetilde{[f_i]_{i \neq n}}$, for each n . Hence, by Lemma 4.1 again, $(\{f_n\}, S)$ is exact. □

5. A STABILITY RESULT

Stability theorems for Banach frames were studied by Christensen [3]. We shall now prove a stability theorem for Banach frames which in some sense is more general than a similar result given by Christensen in [3].

Theorem 5.1 — Let $(\{f_n\}, S), (\{f_n\} \subset E^*, S : E_d \rightarrow E)$ be a Banach frame for E with respect to E_d . Let $\{g_n\} \subset E^*$ be such that $\{g_n(x)\} \in E_d, x \in E$ and let $V : E \rightarrow E_d$ be the coefficient mapping given by $V(x) = \{g_n(x)\}, x \in E$. If there exist constants $\alpha, \beta, \gamma \geq 0$ such that

$$(i) \max \left\{ \frac{\alpha \|U\| + \beta \|V\| + \gamma}{(\|S\|)^{-1}}, \beta \right\} < 1,$$

and

$$(ii) \|\{(f_n - g_n)(x)\}\|_{E_d} \leq \alpha \|\{f_n(x)\}\|_{E_d} + \beta \|\{g_n(x)\}\|_{E_d} + \gamma \|x\|_E,$$

then there is a reconstruction operator $T : E_d \rightarrow E$ such that $(\{g_n\}, T)$ is a Banach frame for E with respect to E_d and with frame bounds $\left(\frac{(\|S\|)^{-1} - (\alpha\|U\| + \gamma)}{1 + \beta}\right)$ and $\left(\frac{\|U\| + (\alpha\|U\| + \gamma)}{1 - \beta}\right)$, where $U : E \rightarrow E_d$ is the coefficient mapping given by $Ux = \{f_n(x)\}$, $x \in E$.

PROOF : Note that $U : E \rightarrow E_d$ is bounded and $SU : E \rightarrow E$ is the identity mapping on E . Therefore

$$\|x\|_E = \|SU(x)\|_E \leq \|S\| \|\{f_n(x)\}\|_{E_d}, \quad x \in E.$$

Thus

$$(\|S\|)^{-1} \|x\|_E \leq \|\{f_n(x)\}\|_{E_d} \leq \|U\| \|x\|_E, \quad x \in E. \quad (5.1)$$

Now, for each $x \in E$, $\{g_n(x)\} \in E_d$ and

$$\begin{aligned} \|\{g_n(x)\}\|_{E_d} &= \|\{(f_n - (f_n - g_n))(x)\}\|_{E_d} \\ &\leq \|\{f_n(x)\}\|_{E_d} + \|\{(f_n - g_n)(x)\}\|_{E_d} \\ &\leq \|\{f_n(x)\}\|_{E_d} + \alpha \|\{f_n(x)\}\|_{E_d} + \beta \|\{g_n(x)\}\|_{E_d} + \gamma \|x\|_E. \end{aligned}$$

Therefore, by (5.1), we have

$$(1 - \beta) \|\{g_n(x)\}\|_{E_d} \leq ((1 + \alpha)\|U\| + \gamma) \|x\|_E, \quad x \in E.$$

Similarly, we obtain

$$(1 + \beta) \|\{g_n(x)\}\|_{E_d} \geq ((\|S\|)^{-1} - (\alpha\|U\| + \gamma)) \|x\|_E, \quad x \in E.$$

Since $SU = I$, by hypotheses

$$\begin{aligned} \|I - SV\| &\leq \|S\| \|U - V\| \\ &\leq \|S\| (\alpha\|U\| + \beta\|V\| + \gamma) < 1. \end{aligned}$$

Thus SV is invertible. Put $T = (SV)^{-1}S$. Then $T : E_d \rightarrow E$ is a bounded linear operator such that $T(\{g(x)\}) = x$, $x \in E$. Hence $(\{g_n\}, T)$ is a Banach frame for E with respect to E_d and with desired frame bounds. \square

Note. In the particular case when $\beta = 0$, Theorem 5.1 reduces to Theorem 2.2 in [3].

6. FINITE SUM OF BANACH FRAMES

Let $(\{f_{1,n}\}, S_1)$ and $(\{f_{2,n}\}, S_2)$, where $\{f_{1,n}\} \subset E^*$ and $f_{2,1} = -f_{1,1}$, $f_{2,2} = -(f_{1,1} + f_{1,2})$ and $f_{2,n} = f_{1,n}$, $\forall n \geq 3$ be Banach frames for E . Then there exists, in general, no reconstruction operator U such that $\left(\left\{\sum_{i=1}^2 f_{i,n}\right\}, U\right)$ is a Banach frame for E . So it is natural to ask the question that under what conditions this finite sum of Banach frames is a Banach frame.

The following Theorem gives a condition under which the finite sum of Banach frames is again a Banach frame.

Theorem 6.1 — Let $(\{f_{i,n}\}, S_i)$ ($\{f_{i,n}\} \subset E^*$, $S_i : E_d \rightarrow E$), $i \in \{1, 2, \dots, k\}$, be Banach frames for E w.r.t. to E_d . Then there exists a reconstruction operator U such that $\left(\left\{\sum_{i=1}^k f_{i,n}\right\}, U\right)$ is a tight Banach frame for E , provided

$$\|\{f_{j,n}(x)\}\|_{E_d} \leq \left\| \left\{ \left(\sum_{i=1}^k f_{i,n}(x) \right) \right\} \right\|_{E_d}, \quad x \in E, \text{ for some } j \in \{1, 2, \dots, k\}.$$

PROOF : By hypothesis

$$\begin{aligned} \|x\|_E &= \|S_j(\{f_{j,n}(x)\})\|_E, \\ &\leq \|S_j\| \|\{f_{j,n}(x)\}\|_{E_d} \\ &\leq \|S_j\| \left\| \left\{ \left(\sum_{i=1}^k f_{i,n} \right) (x) \right\} \right\|_{E_d}, \quad x \in E. \end{aligned}$$

Thus $\left\{ \left(\sum_{i=1}^k f_{i,n} \right) \right\}$ is total over E . Therefore, by Remark 7.1 in [9], there exists an associated Banach space $E_{d_1} = \left\{ \left\{ \left(\sum_{i=1}^k f_{i,n} \right) (x) : x \in E \right\} \right\}$ equipped with norm $\left\| \left\{ \left(\sum_{i=1}^k f_{i,n} \right) (x) \right\} \right\|_{E_{d_1}} = \|x\|_E$, $x \in E$ and a bounded linear operator $U : E_{d_1} \rightarrow E$ defined by $U \left(\left\{ \left(\sum_{i=1}^k f_{i,n} \right) (x) \right\} \right) = x$, $x \in E$ such that $\left(\left\{\sum_{i=1}^k f_{i,n}\right\}, U\right)$ is a tight Banach frame for E with respect to E_{d_1} . \square

Towards the converse, we observe that if $\left(\left\{\sum_{i=1}^k f_{i,n}\right\}, U\right)$ ($U : E_d \rightarrow E$) is a Banach frame for E with respect to E_d , where $\{f_{i,n}\} \subset E^*$ ($i = 1, 2, \dots, k$), then there exists, in general, no reconstruction operator S_i , for $i = 1, 2, \dots, n$, such that $(\{f_{i,n}\}, S_i)$ is a Banach frame for E .

Example 6.2 — Let $E = \ell^p$ ($1 \leq p \leq \infty$). Define $\{f_{1,n}\}, \{f_{2,n}\}$ in E^* by

$$f_{1,1}(x) = 0; \quad f_{1,n}(x) = \xi_n, n > 1; \quad x = \{\xi_n\} \in E$$

$$f_{2,1}(x) = \xi_1; \quad f_{2,n}(x) = 0, n > 1; \quad x = \{\xi_n\} \in E.$$

Then there exists a reconstruction operator $U : E_d = \left\{ \left\{ \left(\sum_{i=1}^2 f_{i,n} \right) (x) : x \in E \right\} \right\} \rightarrow E$ such that $\left(\left\{\sum_{i=1}^2 f_{i,n}\right\}, U\right)$ is a Banach frame for E with respect to E_d , but there exist no reconstruction operators S_1, S_2 such that $(\{f_{1,n}\}, S_1)$ and $(\{f_{2,n}\}, S_2)$ are Banach frames for E .

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