

# ON THE ZEROS OF A CLASS OF POLYNOMIALS AND RELATED ANALYTIC FUNCTIONS

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(Received 1 November 1994; after final revision 14 November 1996;  
accepted 26 November 1996)

We have considered polynomials of type  $\sum_{j=0}^n (a_j e^{i\phi} + b_j e^{i\psi}) z^j$  with  $|\phi - \psi| < \pi$  and real  $a_j$ 's,  $b_j$ 's, and have obtained discs containing their zeros under various conditions on  $a_j$ 's and  $b_j$ 's. We have also considered associated analytic functions  $\sum_{j=0}^{\infty} (a_j e^{i\phi} + b_j e^{i\psi}) z^j$  and have obtained zero free discs for them, under various conditions on  $a_j$ 's and  $b_j$ 's.

## 1. INTRODUCTION AND STATEMENT OF RESULTS

The following result is well known in the theory of the distribution of zeros of polynomials.

*Theorem A (Eneström-Kakeya)* — If  $p(z) = \sum_{j=0}^n \alpha_j z^j$  is a polynomial of degree  $n$  such that

$$\alpha_n \geq \alpha_{n-1} \geq \alpha_{n-2} \geq \dots \geq \alpha_1 \geq \alpha_0 > 0,$$

then all the zeros of  $p(z)$  lie in  $|z| \leq 1$ .

With the help of Theorem A, one gets the following equivalent form of the Eneström-Kakeya theorem by considering the polynomial  $z^n p(1/z)$ .

*Theorem A'* — If  $p(z) = \sum_{j=0}^n \alpha_j z^j$  is a polynomial of degree  $n$  such that

$$\alpha_0 \geq \alpha_1 \geq \alpha_2 \geq \dots \geq \alpha_n > 0,$$

then  $p(z)$  has no zeros in  $|z| < 1$ .

Karanicoloff<sup>1</sup> extended this result to polynomials with complex coefficients and proved the following

**Theorem B** — Let  $p(z) = \sum_{j=0}^n \alpha_j z^j$  be a polynomial of degree  $n$  such that

$$\alpha_j = a_j e^{i\phi} + b_j e^{i\psi}, \quad j = 0, 1, 2, \dots, n,$$

$$|\phi - \psi| < \pi, \quad a_0 \geq a_1 \geq \dots \geq a_n \geq 0, \quad b_0 \geq b_1 \geq \dots \geq b_n \geq 0.$$

Then  $p(z)$  has no zeros in

$$|z| < r,$$

where

$$r = \frac{-(a_0 + b_0 - a_1 - b_1) + \left\{ (a_0 + b_0 - a_1 - b_1)^2 + 4 |\alpha_0| (a_1 + b_1) \right\}^{1/2}}{2(a_1 + b_1)}.$$

With the help of Theorem B, one easily gets the following equivalent form of Karanicoloff's result by considering the polynomial  $z^n p(1/z)$ .

**Theorem B'** — Let  $p(z) = \sum_{j=0}^n \alpha_j z^j$  be a polynomial of degree  $n$  such that

$$\alpha_j = a_j e^{i\phi} + b_j e^{i\psi}, \quad j = 0, 1, 2, \dots, n, \quad \dots (1.1)$$

$$|\phi - \psi| < \pi, \quad \dots (1.2)$$

$$a_n \geq a_{n-1} \geq \dots \geq a_1 \geq a_0 > 0, \quad \dots (1.3)$$

$$b_n \geq b_{n-1} \geq \dots \geq b_1 \geq b_0 > 0. \quad \dots (1.4)$$

Then all the zeros of  $p(z)$  lie in

$$|z| \leq r', \quad \dots (1.5)$$

where

$$r' = \frac{1}{2 |\alpha_n|} \left( \left\{ (a_n + b_n) - (a_{n-1} + b_{n-1}) \right\} \left[ \left\{ (a_n + b_n) - (a_{n-1} + b_{n-1}) \right\}^2 + 4 |\alpha_n| (a_{n-1} + b_{n-1}) \right]^{1/2} \right). \quad \dots (1.6)$$

We have generalised Theorem B' and have obtained the following.

**Theorem 1** — Let  $p(z) = \sum_{j=0}^n \alpha_j z^j$  be a polynomial of degree  $n$  such that

$$\alpha_j = a_j e^{i\phi} + b_j e^{i\psi}, \quad j = 0, 1, 2, \dots, n,$$

$$|\phi - \psi| < \pi.$$

If  $t_1 > t_2 \geq 0$  can be found such that

$$\left. \begin{aligned} a_r t_1 t_2 + a_{r-1} (t_1 - t_2) - a_{r-2} &\geq 0, \\ b_r t_1 t_2 + b_{r-1} (t_1 - t_2) - b_{r-2} &\geq 0, \end{aligned} \right\} r = 0, 1, \dots, n + 1, \quad \dots (1.7)$$

$$a_{-1} = a_{-2} = a_{n+1} = b_{-1} = b_{-2} = b_{n+1} = 0,$$

then all the zeros of  $p(z)$  lie in

$$|z| \leq \frac{1}{2|\alpha_n|} \left[ \left\{ (a_n + b_n)(t_1 - t_2) - (a_{n-1} + b_{n-1}) \right\} \right. \\ \left. + \left\{ (a_n + b_n)(t_1 - t_2) - (a_{n-1} + b_{n-1}) \right\}^2 \right. \\ \left. + 4|\alpha_n| t_1 \left\{ (a_n + b_n)t_2 + (a_{n-1} + b_{n-1}) \right\} \right]^{1/2}. \quad \dots (1.8)$$

*Remark* : For  $t_1 = 1$  and  $t_2 = 0$ , Theorem 1 reduces to Theorem B'.

By considering  $t_2 = 0$ , in Theorem 1, we obtain

**Corollary 1** — Let  $p(z) = \sum_{j=0}^n \alpha_j z^j$  be a polynomial of degree  $n$  such that

$$\alpha_j = a_j e^{i\phi} + b_j e^{i\psi}, \quad j = 0, 1, 2, \dots, n,$$

$$|\phi - \psi| < \pi,$$

$$a_j > 0, b_j > 0, j = 0, 1, 2, \dots, n.$$

Then all the zeros of  $p(z)$  lie in

$$|z| \leq R', \quad \dots (1.9)$$

where

$$R' = \frac{1}{2|\alpha_n|} \left[ \left\{ t_1 (a_n + b_n) - (a_{n-1} + b_{n-1}) \right\} \right. \\ \left. + \left( \left\{ t_1 (a_n + b_n) - (a_{n-1} + b_{n-1}) \right\}^2 + 4|\alpha_n| t_1 (a_{n-1} + b_{n-1}) \right)^{1/2} \right], \quad \dots (1.10)$$

where

$$t_1 = \max \left( \frac{a_0}{a_1}, \frac{a_1}{a_2}, \dots, \frac{a_{n-1}}{a_n}, \frac{b_0}{b_1}, \frac{b_1}{b_2}, \dots, \frac{b_{n-1}}{b_n} \right). \quad \dots (1.11)$$

We have further refined the bound (1.9) and have obtained the following

*Theorem 2* — Under the same hypotheses as in Corollary 1, all the zeros of the polynomial  $p(z)$  lie in

$$\{z : |z| \leq R', |z - a| \geq R_a \text{ for every positive } a\},$$

where

$$R_a = \frac{a}{n} \left[ \frac{-(c_0^a + d_0^a - c_1^a - d_1^a) + \left\{ (c_0^a + d_0^a - c_1^a - d_1^a)^2 + 4 |p(a)| (c_1^a + d_1^a) \right\}^{1/2}}{2(c_1^a + d_1^a)} \right], \tag{1.12}$$

and  $c_0^a, d_0^a, c_1^a, d_1^a$  are given by

$$p(a) = c_0^a e^{i\phi} + d_0^a e^{i\psi}, \tag{1.13}$$

$$\left( \frac{a}{n} \right) \frac{p'(a)}{1!} = c_1^a e^{i\phi} + d_1^a e^{i\psi}. \tag{1.14}$$

Simultaneously, we have been able to refine Karanicoloff's bound (i.e. Theorem B') also and have obtained

*Theorem 3* — Let  $p(z) = \sum_{j=0}^n \alpha_j z^j$  be a polynomial of degree  $n$  such that

$$\alpha_j = a_j e^{i\phi} + b_j e^{i\psi}, \quad j = 0, 1, 2, \dots, n,$$

$$|\phi - \psi| < \pi,$$

$$a_n \geq a_{n-1} \geq \dots \geq a_1 \geq a_0 > 0,$$

$$b_n \geq b_{n-1} \geq \dots \geq b_1 \geq b_0 > 0.$$

Then all the zeros of  $p(z)$  lie in  $\{z : |z| \leq r', |z - a| \geq R_a \text{ for every positive } a (\neq 1), |z - 1| \geq R_1'' |z|\}$ , where  $r'$  is as in Theorem B',  $R_a$  and associated quantities are as in Theorem 2,

$$R_1'' = \frac{2}{n} \left[ \frac{-(c_0'' + d_0'' - c_1'' - d_1'') + \left\{ (c_0'' + d_0'' - c_1'' - d_1'')^2 + 4 |q(1)| (c_1'' + d_1'') \right\}^{1/2}}{2(c_1'' + d_1'')} \right], \tag{1.15}$$

$$q(z) = z^n p(1/z), \tag{1.16}$$

$$q(1) = c_0'' e^{i\phi} + d_0'' e^{i\psi} \tag{1.17}$$

$$\left( \frac{2}{n} \right) \frac{q'(1)}{1!} = c_1'' e^{i\phi} + d_1'' e^{i\psi}. \tag{1.18}$$

Finally, we have considered a class of associated analytic functions and have obtained the following results about the zeros of these analytic functions.

*Theorem 4* — Let  $f(z) = \sum_{j=0}^{\infty} \alpha_j z^j (\neq 0)$  be analytic in  $|z| \leq t$ . If

$$\alpha_j = a_j e^{i\phi} + b_j e^{i\psi}, \quad j = 0, 1, 2, \dots, \quad \dots (1.19)$$

$$0 < |\phi - \psi| < \pi, \quad \dots (1.20)$$

and for some finite non-negative integer  $k$ ,

$$0 < a_0 \leq ta_1 \leq \dots \leq t^k a_k \geq t^{k+1} a_{k+1} \geq \dots, \quad \dots (1.21)$$

then  $f(z)$  does not vanish in

$$|z| < \frac{t}{2M'_k} \{-|\alpha_0 - \alpha_1 t| + (|\alpha_0 - \alpha_1 t|^2 + 4M'_k |\alpha_0|)^{1/2}\}, \quad \dots (1.22)$$

where

$$M'_k = 2a_k t^k - a_1 t + |b_1| t + 2 \sum_{j=2}^{\infty} |b_j| t^j \quad (k \geq 1), \quad \dots (1.23)$$

$$M'_0 = M'_1. \quad \dots (1.24)$$

*Theorem 5* — Let  $f(z) = \sum_{j=0}^{\infty} \alpha_j z^j (\neq 0)$  be analytic in  $|z| \leq t$ . If

$$\alpha_j = a_j e^{i\phi} + b_j e^{i\psi}, \quad j = 0, 1, 2, \dots$$

$$0 < |\phi - \psi| < \pi,$$

and for some finite non-negative integers  $k$  and  $r$ ,

$$0 < a_0 \leq ta_1 \leq \dots \leq t^k a_k \geq t^{k+1} a_{k+1} \geq \dots,$$

$$0 < b_0 \leq tb_1 \leq \dots \leq t^r b_r \geq t^{r+1} b_{r+1} \geq \dots, \quad \dots (1.25)$$

then  $f(z)$  does not vanish in

$$|z| < \frac{t}{2m'_{kr}} \{-|\alpha_0 - \alpha_1 t| + (|\alpha_0 - \alpha_1 t|^2 + 4|\alpha_0| m'_{kr})^{1/2}\}, \quad \dots (1.26)$$

where

$$m'_{kr} = 2a_k t^k - a_1 t + 2b_r t^r - b_1 t \quad (r, k \geq 1) \quad \dots (1.27)$$

$$m'_{k0} = m'_{k1} \quad (k \geq 1) \quad \dots (1.28)$$

$$m'_{0r} = m'_{1r} \quad (r \geq 1) \quad \dots (1.29)$$

$$m'_{00} = m'_{11}. \quad \dots (1.30)$$

## 2. LEMMAS

For the proofs of the theorems, we need the following lemmas.

*Lemma 1* — Let  $p(z)$  be a polynomial of degree  $n$ . Then

$$\max_{|z|=R} |p'(z)| \leq (n/R) \max_{|z|=R} |p(z)|. \quad \dots (2.1)$$

*Lemma 1* is an immediate consequence of Bernstein's theorem on the derivative of a trigonometric polynomial<sup>3</sup>.

*Lemma 2* — If  $p(z)$  is a polynomial of degree  $n$ , having no zeros in  $|z| < 1$ , then

$$\max_{|z|=1} |p'(z)| \leq \left( \frac{n}{2} \right) \max_{|z|=1} |p(z)|. \quad \dots (2.2)$$

There is equality in (2.2) for  $p(z) = 1 + z^n$ .

*Lemma 2* is due to Lax<sup>2</sup>.

*Lemma 3* — Let  $p(z) = \sum_{j=0}^n \alpha_j z^j$  be a polynomial of degree  $n$  such that

$$\alpha_j = a_j e^{i\phi} + b_j e^{i\psi}, \quad j = 0, 1, \dots, n,$$

$$|\phi - \psi| < \pi,$$

$$a_j \geq 0, b_j \geq 0, \quad j = 0, 1, 2, \dots, n.$$

Then for every real  $a > 0$ ,  $p(z)$  does not vanish in the disc

$$|z - a| < R_a, \quad \dots (2.3)$$

where  $R_a$  and associated quantities are, as in Theorem 2.

*Proof of Lemma 3* — It is obvious that

$$\begin{aligned} \psi_1(t) = p\left(a + \frac{ta}{n}\right) &= p(a) + \left(\frac{a}{n}\right) \frac{p'(a)}{1!} t + \left(\frac{a}{n}\right)^2 \frac{p''(a)}{2!} t^2 \\ &+ \dots + \left(\frac{a}{n}\right)^n \frac{p^{(n)}(a)}{n!} t^n. \quad \dots (2.4) \end{aligned}$$

Also

$$\begin{aligned}
 p(z) &= \left( \sum_{j=0}^n a_j z^j \right) e^{i\phi} + \left( \sum_{j=0}^n b_j z^j \right) e^{i\psi} \\
 &= g(z)e^{i\phi} + h(z)e^{i\psi} \quad \dots (2.5)
 \end{aligned}$$

where

$$g(z) = \sum_{j=0}^n a_j z^j \quad \dots (2.6)$$

$$h(z) = \sum_{j=0}^n b_j z^j \quad \dots (2.7)$$

are polynomials with non-negative coefficients.

Using (2.5), we get

$$\begin{aligned}
 p(a) &= g(a)e^{i\phi} + h(a)e^{i\psi} = c_0^a e^{i\phi} + d_0^a e^{i\psi} \\
 \left( \frac{a}{n} \right) \frac{p'(a)}{1!} &= \left( \frac{a}{n} \right) \frac{g'(a)}{1!} e^{i\phi} + \left( \frac{a}{n} \right) \frac{h'(a)}{1!} e^{i\psi} = c_1^a e^{i\phi} + d_1^a e^{i\psi} \\
 &\vdots \\
 \left( \frac{a}{n} \right)^k \frac{p^{(k)}(a)}{k!} &= \left( \frac{a}{n} \right)^k \frac{g^{(k)}(a)}{k!} e^{i\phi} + \left( \frac{a}{n} \right)^k \frac{h^{(k)}(a)}{k!} e^{i\psi} = c_k^a e^{i\phi} + d_k^a e^{i\psi} \\
 &\vdots \\
 \left( \frac{a}{n} \right)^n \frac{p^{(n)}(a)}{n!} &= \left( \frac{a}{n} \right)^n \frac{g^{(n)}(a)}{n!} e^{i\phi} + \left( \frac{a}{n} \right)^n \frac{h^{(n)}(a)}{n!} e^{i\psi} = c_n^a e^{i\phi} + d_n^a e^{i\psi}
 \end{aligned}
 \quad \dots (2.8)$$

Now  $g^{(k)}(z)$ ,  $k = 0, 1, \dots, n - 1$  is a polynomial of degree  $(\leq n - k)$  with real and non-negative coefficients. Therefore, applying Lemma 1 to the polynomial  $g^{(k)}(z)$ , we get

$$\max_{|z|=a} |g^{(k+1)}(z)| \leq \frac{(n-k)}{a} \max_{|z|=a} |g^{(k)}(z)|, \quad k = 0, 1, \dots, n - 1,$$

which implies

$$\begin{aligned}
 g^{(k+1)}(a) &\leq \left( \frac{n-k}{a} \right) g^{(k)}(a), \quad k = 0, 1, \dots, n - 1, \\
 &\leq \frac{n(1+k)}{a} g^{(k)}(a), \quad k = 0, 1, \dots, n - 1.
 \end{aligned}$$

And so,

$$\left(\frac{a}{n}\right)^{k+1} \frac{g^{(k+1)}(a)}{(k+1)!} \leq \left(\frac{a}{n}\right)^k \frac{g^{(k)}(a)}{k!}, \quad k = 0, 1, \dots, n-1,$$

which implies that

$$c_0^a \geq c_1^a \geq c_2^a \geq \dots \geq c_n^a \geq 0. \tag{2.9}$$

We can similarly show that

$$d_0^a \geq d_1^a \geq d_2^a \geq \dots \geq d_n^a \geq 0. \tag{2.10}$$

So by (2.4), (2.8), (2.9), (2.10) and Theorem B, we can say that  $\psi_1(t)$  does not vanish in the disc

$$|t| < \frac{-(c_0^a + d_0^a - c_1^a - d_1^a) + \left\{ (c_0^a + d_0^a - c_1^a - d_1^a)^2 + 4 |p(a)| (c_1^a + d_1^a) \right\}^{1/2}}{2(c_1^a + d_1^a)}. \tag{2.11}$$

And as

$$\psi_1(t) = p\left(a + \frac{ta}{n}\right),$$

we can say that  $p(z)$  does not vanish in the disc

$$|z - a| < \frac{a}{n} \left[ \frac{-(c_0^a + d_0^a - c_1^a - d_1^a) + \left\{ (c_0^a + d_0^a - c_1^a - d_1^a)^2 + 4 |p(a)| (c_1^a + d_1^a) \right\}^{1/2}}{2(c_1^a + d_1^a)} \right].$$

This completes the proof of Lemma 3.

**Lemma 4** — Let  $p(z) = \sum_{j=0}^n \alpha_j z^j$  be a polynomial of degree  $n$  such that

$$\alpha_j = a_j e^{i\phi} + b_j e^{i\psi}, \quad j = 0, 1, 2, \dots, n,$$

$$|\phi - \psi| < \pi,$$

$$a_0 \geq a_1 \geq a_2 \geq \dots \geq a_n \geq 0,$$

$$b_0 \geq b_1 \geq b_2 \geq \dots \geq b_n \geq 0.$$

Then  $p(z)$  does not vanish in the disc

$$|z - 1| < R_1' \tag{2.12}$$

where

$$R_1' = \frac{2}{n} \left[ \frac{-(c_0' + d_0' - c_1' - d_1') + \left\{ (c_0' + d_0' - c_1' - d_1')^2 + 4 |p(1)| (c_1' + d_1') \right\}^{1/2}}{2(c_1' + d_1')} \right]$$

and  $c'_0, d'_0, c'_1, d'_1$  are given by

$$p(1) = c'_0 e^{i\phi} + d'_0 e^{i\psi}$$

$$\left(\frac{2}{n}\right) \frac{p'(1)}{1!} = c'_1 e^{i\phi} + d'_1 e^{i\psi}.$$

*Proof of Lemma 4* — It is obvious that

$$\begin{aligned} \phi_1(t) = p\left(1 + \frac{2}{n}t\right) &= p(1) + \left(\frac{2}{n}\right) \frac{p'(1)}{1!} t + \left(\frac{2}{n}\right)^2 \frac{p''(1)}{2!} t^2 + \dots \\ &+ \dots + \left(\frac{2}{n}\right)^n \frac{p^{(n)}(1)}{n!} t^n \end{aligned} \quad \dots (2.13)$$

Also, using (2.5), we get

$$\left. \begin{aligned} p(1) &= g(1)e^{i\phi} + h(1)e^{i\psi} = c'_0 e^{i\phi} + d'_0 e^{i\psi} \\ \left(\frac{2}{n}\right) \frac{p'(1)}{1!} &= \left(\frac{2}{n}\right) \frac{g'(1)}{1!} e^{i\phi} + \left(\frac{2}{n}\right) \frac{h'(1)}{1!} e^{i\psi} = c'_1 e^{i\phi} + d'_1 e^{i\psi} \\ &\vdots \\ \left(\frac{2}{n}\right)^n \frac{p^{(n)}(1)}{n!} &= \left(\frac{2}{n}\right)^n \frac{g^{(n)}(1)}{n!} e^{i\phi} + \left(\frac{2}{n}\right)^n \frac{h^{(n)}(1)}{n!} e^{i\psi} = c'_n e^{i\phi} + d'_n e^{i\psi}. \end{aligned} \right\} \dots (2.14)$$

Now  $g^{(k)}(z)$ ,  $k = 1, \dots, n - 1$ , is a polynomial of degree  $(\leq n - k)$  with real and non-negative coefficients. Therefore, applying Lemma 1 to the polynomial  $g^{(k)}(z)$ , we get

$$\max_{|z|=1} |g^{(k+1)}(z)| \leq \frac{(n-k)}{1} \max_{|z|=1} |g^{(k)}(z)|, \quad k = 1, 2, \dots, n - 1,$$

which implies

$$\begin{aligned} g^{(k+1)}(1) &\leq (n-k) g^{(k)}(1), \quad k = 1, 2, \dots, n - 1, \\ &\leq \frac{n(1+k)}{2} g^{(k)}(1), \quad k = 1, 2, \dots, n - 1. \end{aligned}$$

And so

$$\left(\frac{2}{n}\right)^{k+1} \frac{g^{(k+1)}(1)}{(k+1)!} \leq \left(\frac{2}{n}\right)^k \frac{g^{(k)}(1)}{k!}, \quad k = 1, 2, \dots, n - 1. \quad \dots (2.15)$$

Also  $g(z)$  is a polynomial of degree  $(\leq n)$  with real, non-negative and decreasing coefficients. Therefore, by Theorem A',  $g(z)$  has no zeros in  $|z| < 1$  (excluding the trivial case  $g(z) \equiv 0$ ). And so, by Lemma 2,

$$\max_{|z|=1} |g'(z)| \leq \left(\frac{n}{2}\right) \max_{|z|=1} |g(z)|,$$

which implies

$$g'(1) \leq \left(\frac{n}{2}\right) g(1),$$

i.e.

$$g(1) \geq \left(\frac{2}{n}\right) g'(1). \quad \dots (2.16)$$

This inequality is also true in the trivial case ( $g(z) \equiv 0$ ). Nowm by (2.15) and (2.16), we can say that

$$c'_0 \geq c'_1 \geq c'_2 \geq \dots \geq c'_n \geq 0. \quad \dots (2.17)$$

We can similarly show that

$$d'_0 \geq d'_1 \geq d'_2 \geq \dots \geq d'_n \geq 0. \quad \dots (2.18)$$

So by (2.13), (2.14), (2.17), (2.18) and Theorem B, we can say that  $\phi_1(t)$  does not vanish in the disc

$$|t| < \frac{-(c'_0 + d'_0 - c'_1 - d'_1) + \left\{ (c'_0 + d'_0 - c'_1 - d'_1)^2 + 4 |p(1)| (c'_1 + d'_1) \right\}^{1/2}}{2(c'_1 + d'_1)}. \quad \dots (2.19)$$

And, as

$$\phi_1(t) = p \left( 1 + \frac{2}{n} t \right),$$

we can say that  $p(z)$  does not vanish in the disc

$$|z-1| < \frac{2}{n} \left[ \frac{-(c'_0 + d'_0 - c'_1 - d'_1) + \left\{ (c'_0 + d'_0 - c'_1 - d'_1)^2 + 4 |p(1)| (c'_1 + d'_1) \right\}^{1/2}}{2(c'_1 + d'_1)} \right].$$

This completes the proof of Lemma 4.

**Lemma 5** — Let  $f(z) = \sum_{j=0}^{\infty} \alpha_j z^j$  ( $\neq 0$ ) be analytic in  $|z| \leq t$ . If

$$\alpha_j = a_j e^{i\phi} + b_j e^{i\psi}, \quad j = 0, 1, 2, \dots,$$

$$0 < |\phi - \psi| < \pi,$$

then  $\sum_{j=0}^{\infty} |a_j| r^j$  and  $\sum_{j=0}^{\infty} |b_j| r^j$  converge.

PROOF :  $\sum_{j=0}^{\infty} |\alpha_j| r^j$  obviously converges. Now, for  $j = 0, 1, 2, \dots$

$$\begin{aligned} |\alpha_j r^j| &= |(a_j e^{i\phi} + b_j e^{i\psi}) r^j| \\ &= |a'_j \cos \phi + b'_j \cos \psi + i(a'_j \sin \phi + b'_j \sin \psi)| \end{aligned}$$

where

$$a'_j = a_j r^j, \quad j = 0, 1, 2, \dots \quad \dots (2.20)$$

$$b'_j = b_j r^j, \quad j = 0, 1, 2, \dots \quad \dots (2.21)$$

And so, the series

$$\sum_{j=0}^{\infty} |a'_j \cos \phi + b'_j \cos \psi|, \quad \sum_{j=0}^{\infty} |a'_j \sin \phi + b'_j \sin \psi|$$

converge. Hence the series

$$\sum_{j=0}^{\infty} |a'_j \cos \phi + b'_j \cos \psi| |\sin \psi|, \quad \sum_{j=0}^{\infty} |a'_j \sin \phi + b'_j \sin \psi| |\cos \psi|$$

will also converge. Therefore the series

$$\begin{aligned} \sum_{j=0}^{\infty} |(a'_j \sin \phi + b'_j \sin \psi) \cos \psi - (a'_j \cos \phi + b'_j \cos \psi) \sin \psi| \quad \dots (2.22) \\ = \sum_{j=0}^{\infty} |a'_j \sin(\phi - \psi)| = \sum_{j=0}^{\infty} |a'_j| |\sin(\phi - \psi)| \end{aligned}$$

also converges. And as,  $0 < |\phi - \psi| < \pi$ , the series  $\sum_{j=0}^{\infty} |a'_j|$  i.e.  $\sum_{j=0}^{\infty} |a_j| r^j$  also converges.

By considering the series

$$\sum_{j=0}^{\infty} |(a'_j \sin \phi + b'_j \sin \psi) \cos \phi - (a'_j \cos \phi + b'_j \cos \psi) \sin \phi|,$$

instead of the series (2.22), we get the convergence of the series  $\sum_{j=0}^{\infty} |b_j| r^j$ . This

completes the proof of Lemma 5.

### 3. PROOFS OF THE THEOREMS

*Proof of Theorem 1* — Consider the polynomial

$$F(z) = (t_2 + z)(t_1 - z)p(z) \quad \dots (3.1)$$

$$\begin{aligned} &= -\alpha_n z^{n+2} + \{\alpha_n(t_1 - t_2) - \alpha_{n-1}\}z^{n+1} \\ &+ \{\alpha_n t_1 t_2 + \alpha_{n-1}(t_1 - t_2) - \alpha_{n-2}\}z^n + \dots \\ &+ \{\alpha_2 t_1 t_2 + \alpha_1(t_1 - t_2) - \alpha_0\}z^2 + \{\alpha_1 t_1 t_2 + \alpha_0(t_1 - t_2)\}z + \alpha_0 t_1 t_2 \\ &= -\alpha_n z^{n+2} + [\{a_n(t_1 - t_2) - a_{n-1}\}e^{i\phi} + \{b_n(t_1 - t_2) - b_{n-1}\}e^{i\psi}]z^{n+1} \\ &+ [\{a_n t_1 t_2 + a_{n-1}(t_1 - t_2) - a_{n-2}\}z^n + \dots + \{a_2 t_1 t_2 \\ &+ a_1(t_1 - t_2) - a_0\}z^2 + \{a_1 t_1 t_2 + a_0(t_1 - t_2)\}z + a_0 t_1 t_2]e^{i\phi} \\ &+ [\{b_n t_1 t_2 + b_{n-1}(t_1 - t_2) - b_{n-2}\}z^n + \dots \\ &+ \{b_2 t_1 t_2 + b_1(t_1 - t_2) - b_0\}z^2 \\ &+ \{b_1 t_1 t_2 + b_0(t_1 - t_2)\}z + b_0 t_1 t_2]e^{i\psi} \\ &= -\alpha_n z^{n+2} + [\{a_n(t_1 - t_2) - a_{n-1}\}e^{i\phi} \\ &+ \{b_n(t_1 - t_2) - b_{n-1}\}e^{i\psi}]z^{n+1} + R_1(z) + S_1(z), \quad \dots (3.2) \end{aligned}$$

where 
$$R_1(z) = \left[ \sum_{j=0}^n \{a_j t_1 t_2 + a_{j-1}(t_1 - t_2) - a_{j-2}\} z^j \right] e^{i\phi} \quad \dots (3.3)$$

$$S_1(z) = \left[ \sum_{j=0}^n \{b_j t_1 t_2 + b_{j-1}(t_1 - t_2) - b_{j-2}\} z^j \right] e^{i\psi}. \quad \dots (3.4)$$

For  $|z| = Rt_1$  ( $R \geq 1$ ), we have

$$\begin{aligned} |R_1(z)| &\leq \sum_{j=0}^n |a_j t_1 t_2 + a_{j-1}(t_1 - t_2) - a_{j-2}| |z|^j \\ &= \sum_{j=0}^n \{a_j t_1 t_2 + a_{j-1}(t_1 - t_2) - a_{j-2}\} R^j t_1^j, \quad (\text{by (1.7)}) \\ &\leq \left[ \sum_{j=0}^n \{a_j t_1 t_2 + a_{j-1}(t_1 - t_2) - a_{j-2}\} t_1^j \right] R^n \\ &= (a_n t_2 + a_{n-1}) R^n t_1^{n+1}, \quad \dots (3.5) \end{aligned}$$

which implies that

$$a_n t_2 + a_{n-1} \geq 0. \quad \dots (3.6)$$

Similarly, for  $|z| = Rt_1$  ( $R \geq 1$ ), we can show that

$$|S_1(z)| \leq (b_n t_2 + b_{n-1}) R^n t_1^{n+1} \quad \dots (3.7)$$

which implies that

$$b_n t_2 + b_{n-1} \geq 0. \quad \dots (3.8)$$

Now, for  $|z| = Rt_1$  ( $R \geq 1$ ), we have

$$\begin{aligned} |F(z)| &\geq |\alpha_n| |z|^{n+2} - \{|a_n(t_1 - t_2) - a_{n-1}| \\ &\quad + |b_n(t_1 - t_2) - b_{n-1}|\} |z|^{n+1} - |R_1(z)| - |S_1(z)| \\ &\geq |\alpha_n| R^{n+2} t_1^{n+2} - \{[a_n(t_1 - t_2) - a_{n-1}] \\ &\quad + \{b_n(t_1 - t_2) - b_{n-1}\}\} R^{n+1} t_1^{n+1} \\ &\quad - \{(a_n + b_n)t_2 + (a_{n-1} + b_{n-1})\} R^n t_1^{n+1} \\ &\hspace{15em} \text{(by (1.7), (3.5) and (3.7))} \\ &= [|\alpha_n| R^2 t_1 - \{(a_n + b_n)(t_1 - t_2) - (a_{n-1} + b_{n-1})\} R \\ &\quad - \{(a_n + b_n)t_2 + (a_{n-1} + b_{n-1})\}] R^n t_1^{n+1}, \\ &= |\alpha_n| R^n t_1^{n+2} (R - \alpha) (R + \beta), \quad \dots (3.9) \end{aligned}$$

where

$$\begin{aligned} \alpha &= \frac{1}{2|\alpha_n| t_1} [\{(a_n + b_n)(t_1 - t_2) - (a_{n-1} + b_{n-1})\} \\ &\quad + \{((a_n + b_n)(t_1 - t_2) - (a_{n-1} + b_{n-1}))^2 \\ &\quad + 4|\alpha_n| t_1 \{(a_n + b_n)t_2 + (a_{n-1} + b_{n-1})\}\}^{1/2}], \quad \dots (3.10) \end{aligned}$$

$$\begin{aligned} -\beta &= \frac{1}{2|\alpha_n| t_1} [\{(a_n + b_n)(t_1 - t_2) - (a_{n-1} + b_{n-1}) \\ &\quad - \{((a_n + b_n)(t_1 - t_2) - (a_{n-1} + b_{n-1}))^2 \\ &\quad + 4|\alpha_n| t_1 \{(a_n + b_n)t_2 + (a_{n-1} + b_{n-1})\}\}^{1/2}]. \quad \dots (3.11) \end{aligned}$$

Further (3.2) can be rewritten as

$$\begin{aligned} F(z) &= -\alpha_n z^{n+2} + \{[a_n(t_1 - t_2) - a_{n-1}] e^{i\phi} z^{n+1} + R_1(z)\} \\ &\quad + \{[b_n(t_1 - t_2) - b_{n-1}] e^{i\psi} z^{n+1} + S_1(z)\} \end{aligned}$$

$$= -\alpha_n z^{n+2} + [R(z)] + [S(z)]. \quad \dots (3.12)$$

As we have obtained (3.6) using modulus of  $R_1(z)$  on  $|z| = Rt_1$ , we can similarly obtain

$$a_n \geq 0, \quad \dots (3.13)$$

using modulus of  $R(z)$  on  $|z| = Rt_1$ . On similar lines, we can get

$$b_n \geq 0, \quad \dots (3.14)$$

using modulus of  $S(z)$  on  $|z| = Rt_1$ .

Now we get easily that

$$-\beta \leq 0 \text{ (by (3.6) and (3.8))}, \quad \dots (3.15)$$

$$\alpha \geq 1 \text{ (by (3.13) and (3.14))}. \quad \dots (3.16)$$

Therefore for  $|z| = Rt_1$  ( $R \geq 1$ ), we have

$$|F(z)| > 0,$$

if

$$R > \alpha \quad \text{(by (3.9))}.$$

Hence  $F(z)$  and therefore  $p(z)$  has all its zeros in

$$\begin{aligned} |z| &\leq \alpha t_1 \\ &= \frac{1}{2|\alpha_n|} \{ (a_n + b_n)(t_1 - t_2) - (a_{n-1} + b_{n-1}) \} \\ &\quad + \{ (a_n + b_n)(t_1 - t_2) - (a_{n-1} + b_{n-1}) \}^2 \\ &\quad + 4|\alpha_n| t_1 \{ (a_n + b_n)t_2 + (a_{n-1} + b_{n-1}) \}^{1/2}. \end{aligned}$$

And this completes the proof of the theorem.

*Proof of Theorem 2* — It follows easily with the help of Corollary 1 and Lemma 3.

*Proof of Theorem 3* — Applying Lemma 4 to the polynomial  $q(z) = z^n p(1/z)$ , we get the region

$$|z - 1| \geq R_1''$$

containing all the zeros of  $q(z)$ . And therefore all the zeros of  $p(z)$  lie in

$$|z - 1| \geq R_1'' |z|. \quad \dots (3.17)$$

And now, with the help of Theorem B' and Lemma 3, the theorem follows easily.

*Proof of Theorem 4 — Obviously*

$$g(z) = f(tz) = \sum_{j=0}^{\infty} \alpha_j t^j z^j \quad \dots (3.18)$$

is analytic in  $|z| \leq 1$ . Now, consider the function

$$\begin{aligned} G(z) &= (z-1)g(z) = -\alpha_0 + (\alpha_0 - \alpha_1 t)z + \sum_{j=2}^{\infty} (\alpha_{j-1} t^{j-1} - \alpha_j t^j) z^j \\ &= -\alpha_0 + (\alpha_0 - \alpha_1 t)z + \phi_2(z). \end{aligned} \quad \dots (3.19)$$

For  $|z| \leq 1$  and  $k \geq 2$ , we have

$$\begin{aligned} |s_n(z)| &= \left| \sum_{j=2}^n (\alpha_{j-1} t^{j-1} - \alpha_j t^j) z^j \right| \\ &\leq |z|^2 \left\{ \sum_{j=2}^n |\alpha_{j-1} t^{j-1} - \alpha_j t^j| \right\} \\ &\leq |z|^2 \left\{ \sum_{j=2}^n (|a_{j-1} t^{j-1} - a_j t^j| + |b_{j-1} t^{j-1} - b_j t^j|) \right\} \\ &\leq |z|^2 \left\{ \sum_{j=2}^k (a_j t^j - a_{j-1} t^{j-1}) + \sum_{j=k+1}^n (a_{j-1} t^{j-1} - a_j t^j) + \sum_{j=2}^n (|b_{j-1} t^{j-1} + |b_j t^j|) \right\} \\ &\hspace{20em} \text{(by (1.21))} \end{aligned}$$

$$= |z|^2 \left\{ 2a_k t^k - a_1 t - a_n t^n + |b_1| t + 2 \sum_{j=2}^n |b_j| t^j - |b_n| t^n \right\}. \quad \dots (3.20)$$

Considering the limit in (3.20), as  $n \rightarrow \infty$ , we get, for  $|z| \leq 1$  and  $k \geq 2$ , by Lemma 5

$$|\phi_2(z)| \leq |z|^2 \left\{ 2a_k t^k - a_1 t + |b_1| t + 2 \sum_{j=2}^{\infty} |b_j| t^j \right\}. \quad \dots (3.21)$$

For  $|z| \leq 1$  and  $k = 0, 1$ , one can get analogously that

$$|\phi_2(z)| \leq |z|^2 \left\{ a_1 t + |b_1| t + 2 \sum_{j=2}^{\infty} |b_j| t^j \right\}. \quad \dots (3.22)$$

And so, from (3.21) and (3.22), we can always say that for  $|z| \leq 1$

$$|\phi_2(z)| \leq |z|^2 M'_k \quad (\text{by (1.23) and (1.24)}) \quad \dots (3.23)$$

whatsoever  $k$  may be,

Now for  $|z| \leq 1$ , we have

$$\begin{aligned} |G(z)| &\geq |\alpha_0| - |\alpha_0 - \alpha_1 t| |z| - |\phi_2(z)| \\ &\geq |\alpha_0| - |\alpha_0 - \alpha_1 t| |z| - M'_k |z|^2 \quad (\text{by (3.23)}) \\ &= -M'_k (|z| - \alpha') (|z| + \beta') \quad \dots (3.24) \end{aligned}$$

where

$$\alpha' = \frac{1}{2M'_k} \{-|\alpha_0 - \alpha_1 t| + (|\alpha_0 - \alpha_1 t|^2 + 4|\alpha_0| M'_k)^{1/2}\}, \quad \dots (3.25)$$

$$-\beta = \frac{1}{2M'_k} \{-|\alpha_0 - \alpha_1 t| - (|\alpha_0 - \alpha_1 t|^2 + 4|\alpha_0| M'_k)^{1/2}\}. \quad \dots (3.26)$$

Further it is obvious that

$$-\beta' \leq 0. \quad \dots (3.27)$$

Also

$$\alpha' \leq 1$$

iff

$$|\alpha_0| \leq M'_k + |\alpha_0 - \alpha_1 t|. \quad \dots (3.28)$$

And (3.28) is trivially seen to be true. And so

$$\alpha' \leq 1. \quad \dots (3.29)$$

Therefore from (3.24), we have, for  $|z| \leq 1$

$$|G(z)| > 0$$

if

$$|z| < \alpha'.$$

Thus  $g(z)$  does not vanish in

$$|z| < \alpha'.$$

Hence by (3.18),  $f(z)$  does not vanish in

$$|z| < \alpha'.$$

And this completes the proof of the theorem.

*Proof of Theorem 5* — It follows on the same lines as Theorem 4, with a change :

$$\begin{aligned} \sum_{j=2}^n |b_{j-1} \rho^{j-1} - b_j \rho^j| &= \sum_{j=2}^r (b_j \rho^j - b_{j-1} \rho^{j-1}) + \sum_{j=r+1}^n (b_{j-1} \rho^{j-1} - b_j \rho^j), \quad r \geq 2, \\ &= \sum_{j=2}^n (b_{j-1} \rho^{j-1} - b_j \rho^j), \quad r = 0, 1 \end{aligned}$$

instead of

$$\sum_{j=2}^n |b_{j-1} \rho^{j-1} - b_j \rho^j| \leq \sum_{j=2}^n (|b_{j-1}| \rho^{j-1} + |b_j| \rho^j).$$

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