

## SAIN IN A NORMED LINEAR SPACE

GEETHA S. RAO AND ANNEI JOTHIBAI

Ramanujan Institute, University of Madras, Madras 600005

(Received 2 August 1982; after revision 31 January 1983)

In this paper, an attempt has been made to prove theorems on SAIN in a real or complex normed linear space.

### 1. INTRODUCTION

The concept of simultaneous approximation and interpolation which is norm preserving, was first formulated by Deutsch and Morris (1969). Let  $M$  be a dense subspace of a normed linear space  $X$  and let  $x_1^*, \dots, x_n^*$  be a finite subset of the dual space  $X^*$ . The triple  $(X, M, \{x_1^*, \dots, x_n^*\})$  has property SAIN (Simultaneous Approximation and Interpolation which is Norm preserving), if the following condition is satisfied: For each  $x \in X$ , and each  $\epsilon > 0$ , there exists a  $y \in M$  such that  $\|x - y\| < \epsilon$ ,  $x_i^*(x) = x_i^*(y)$  ( $i = 1, \dots, n$ ), and  $\|y\| = \|x\|$ . In the next section some theorems on SAIN are proved by providing certain conditions, to be satisfied by the entities of the triple  $(x, M, \{x_1^*, \dots, x_n^*\})$ .

### 2. THEOREMS ON SAIN

Some definitions which are necessary in the sequel are given before the first theorem is stated. Let  $X$  be a normed linear space,  $M$  any dense convex subset of  $X$  and  $\Gamma$  any finite dimensional subspace of  $X^*$ .

*Definition 2.1*— The annihilator set  $L$  of  $\Gamma$  is given by

$$L = \{x \in X : \gamma(x) = 0, \gamma \in \Gamma\}.$$

*Remark*:  $L$  will always be a subspace of  $X$ .

*Definition 2.2*— $P_L(x)$  denotes the set of all elements of best approximation of  $x \in X$ , by means of the elements of  $L$ , i.e.

$$P_L(x) = \{g_0 \in L : \|x - g_0\| = \inf_{g \in L} \|x - g\|\}.$$

$L$  is said to be semi-Chebyshev if  $P_L(x)$  contains atmost one element for every  $x \in X$ .

*Definition 2.3*— An element  $x \in X$  is said be orthogonal to  $y \in X$ , written  $x \perp y$ , if  $\|x + \alpha y\| \geq \|x\|$ , for every real number  $\alpha$ . An element  $x \in X$  is said to be orthogonal to  $L$ , written  $x \perp L$  if

$$x \perp y (\forall y \in L).$$

The condition

$$\|x + \alpha g\| \geq \|x\| (g \in L, \alpha \text{ real})$$

is equivalent to  $\textcircled{0} \in P_L(x)$ , where  $\textcircled{0}$  is the zero element of  $X$ .

*Definition 2.4*—  $L^{\textcircled{R}}$  is the set of all elements  $x \in X$  which are orthogonal to  $L$ . In other words,

$$L^{\textcircled{R}} = \{x \in X : x \perp L\}.$$

*Theorem 2.5*— Let  $X$  be a real normed linear space,  $M$  any dense convex subset of  $X$  and  $\Gamma$  any finite dimensional subspace of  $X^*$ .  $(X, M, \Gamma)$  has properly SAIN if and only if  $L^{\textcircled{R}} \subset M$ , whenever  $L$  is a semi-Chebyshev subspace of  $X$ .

**PROOF :** Holmes and Lambert (1973) have shown that the necessity part is always true, for any subspace  $L$ . To prove the sufficiency, let  $(X, M, \Gamma)$  have SAIN and let  $L$  be a semi-Chebyshev subspace of  $X$ . Let  $P_L(x)$  be the set of all best approximations of  $x$  in  $X$  by means of the elements of  $L$ . Let  $x \in L^{\textcircled{R}}$ . It is known that whenever  $x \in L^{\textcircled{R}}$ , that is, whenever  $x \perp L$ ,  $\|x - \textcircled{0}\| = \inf_{g \in L} \|x - g\|$ . Hence  $\textcircled{0} \in P_L(x)$ . Since we have assumed  $L$  to be semi-Chebyshev,  $P_L(x)$  contains atmost one element. Hence  $P_L(x) = \{\textcircled{0}\}$ . Let  $G_x = x - P_L(x)$ . Then  $G_x = \{x\}$ . Holmes and Lambert (1973) have proved in a lemma (Lemma 1) that the triple  $(X, M, \Gamma)$  has property SAIN if and only if whenever  $x \in L^{\textcircled{R}}$  it follows that  $G_x = \overline{M \cap G_x}$ . Using this lemma it is obvious that  $\overline{M \cap G_x} = \{x\}$ . (Since  $G_x$  is a finite set,  $M \cap G_x = \{x\}$ ). This implies  $x \in M$  and therefore  $L^{\textcircled{R}} \subset M$ .

*Definition 2.6*—Let  $X$  be a normed linear space,  $X^*$  its dual and  $S(X)$  the closed unit ball in  $X$ .  $x^* \in X^*$  is said to attain its norm at  $x \in X$ , if  $x^*(x) = \|x\|$ .

*Definition 2.7*—A subspace  $L$  of a normed linear space  $X$  is an *EF* subspace, if  $P_L(x)$  for every  $x \in X$  is nonempty and finite dimensional.

*Definition 2.8*—Any subspace  $M$  of  $X$  is said to be affine if  $u, v \in M$  implies that  $tu + (1-t)v \in M$  for all real  $t$ .

*Definition 2.9*—A normed linear space is said to be strictly convex if for every,  $f, g \in X$  ( $f \neq g$ ) such that  $\|f\| = 1$  and  $\|g\| = 1$ ,  $\|h\| < 1$  where  $h = 1/2(f + g)$ .

*Remark 2.10* : Holmes and Lambert (1973) have proved that the following conditions are equivalent : When  $M$  is a dense affine subspace of a normed linear space  $X$  and  $L$  is an EF subspace of  $X$

- (a)  $(X, M, \Gamma)$  has property SAIN.
- (b) each nonzero  $\gamma \in \Gamma$  attains its norm solely on  $M$ , or not at all,
- (c)  $L^{\textcircled{R}} \subset M$ .

(a) and (b) are equivalent in a strictly convex normed linear space. This was shown by Smatkov (1971). (b) and (c) are equivalent, for any  $L$ . We have shown that (a) and (c) are equivalent when  $L$  is semi-Chebyshev and here  $M$  need not be affine. From this, Smatkov's result can be obtained as a corollary.

*Corollary 2.11*—When  $X$  is strictly convex,  $(X, M, \Gamma)$  has SAIN if and only if  $L^{\textcircled{R}} \subset M$ .

**PROOF** : In a strictly convex normed linear space,  $L$  is always semi-Chebyshev. The conclusion is now evident from Theorem 2.5.

*Remark 2.12* : It is not possible for any arbitrary triple  $(X, M, \{x_1^*, \dots, x_n^*\})$  to have SAIN. So a corollary that indicates the existence of SAIN triples is formulated.

*Corollary 2.13*—Let  $L$  be a closed linear subspace of a (real or complex) normed linear space  $X$ , of finite co-dimension.  $(X, M, L^1)$  has SAIN if and only if  $L^{\textcircled{R}} \subset M$ , whenever  $L$  is a semi-Chebyshev subspace of  $X$ .

**PROOF** :  $L$  is a closed linear subspace of finite co-dimension. Therefore  $L = \text{span} (f_1, \dots, f_n)$ , where  $f_1, \dots, f_n$  are linearly independent. Consequently

$$L = (L^1)_L = \{x \in X : f_k(x) = 0, k = 1, 2, \dots, n\}.$$

Since  $L$  is semi-Chebyshev, by Theorem 2.5 the result follows.

*Remark 2.14* : As we have already stated the above corollary helps us to shown the existence of SAIN triples in normed linear spaces. For example, Singer (1970, p. 314) observes that  $C(T)$  which is the space of all real valued continuous functions on an infinite separable compact space  $T$  contains semi-Chebyshev subspaces of finite co-dimension. He also observes that  $L_{\mathbf{R}}^1(T, \nu)$  has Chebyshev subspaces of finite co-dimension, when  $(T, \nu)$  has  $n$  atoms. In all these spaces the existence of semi-Chebyshev and Chebyshev subspaces implies the existence of SAIN triples. As Singer (1970, p. 114) observes, every normed linear space has at least one dense subspace, say  $M$ . So when we look for SAIN triples we can assume the existence of a dense subspace. This dense subspace  $M$  is semi-Chebyshev, since  $P_M(x) = \phi$  ( $x \in X \setminus M$ ) and  $P_M(x) = \{x\}$  ( $x \in M$ ). This fact is exploited in the next theorem.

*Theorem 2.15*— Let  $M$  be a dense subspace of a (real or complex) normed linear space  $X$  and  $\Gamma$  any finite subset of  $X^*$ .  $(X, M, \Gamma)$  has SAIN if whenever  $\mathbb{Q} \in \dot{P}_L(x), P_M(x) \neq \phi$ .

The condition that whenever  $\mathbb{Q} \in P_L(x), P_M(x) \neq \phi, \forall x \in X$ , is not sufficient for  $(X, M, \Gamma)$  to have property SAIN, as the following example shows. Let  $X = C[0, 1]$  and let  $M$  denote the space of  $\mu$ -simple functions on  $[0, 1]$ . Then  $M$  is a dense subalgebra of  $X$ . Let  $x^* \in C[0, 1]^*$ , the dual of  $C[0, 1]$ , such that  $x^*(x) = X(1)$ , for every  $x \in X$ . Now  $x^*$  is an evaluation functional at 1. Deutsch and Morris (1969) have shown that if  $C(T)$  is the space under consideration,  $M$  any dense subalgebra of  $C(T)$  and  $\delta_{t_i}$  are point evaluation functionals at  $t_i (i = 1, 2, \dots, n)$  then  $(C(T), M, \{\delta_{t_i}\}_{i=1}^n)$  has property SAIN. In the present context it follows that

$(X, M, \{x^*\})$  has property SAIN. Let  $f \in C[0, 1]$  such that  $f(t) = t^2, t \in [0, 1]$ . Then

$$L = \{g \in X : x^*(g) = 0\}$$

and

$$x^*(f - \mathbb{Q}) = x^*(f) = f(1) = \|f\|$$

$$x^*(g) = 0 \text{ for all } g \in L,$$

$$\|x^*\| = 1.$$

Thus, by a Theorem of Singer [page 18],  $\mathbb{Q} \in P_L(f)$ . But  $f$  is not a  $\mu$ -simple function and  $f \notin M$ . Hence  $P_M(f)$  is empty!

*Proof of Theorem 2.15:* Let  $x \in L^{\mathbb{Q}}$ . Then  $\mathbb{Q} \in P_L(x)$ . Whenever  $\mathbb{Q} \in P_L(x)$ , we have  $P_M(x) \neq \phi$ . That is,  $P_M(x) = \{x\}$ , since  $M$  is a dense subspace of  $X$ . Therefore  $L^{\mathbb{Q}} \subset M$ , which implies that  $(X, M, \Gamma)$  has SAIN.

*Definition 2.16*—Singer (1970, p. 88) gives the following definition. Let  $X$  be a (real or complex) normed linear space and let  $K$  be a convex set containing the origin as an internal point. Then the real valued function  $\tau$ , defined for  $x, y \in X$  by

$$\tau(x, y) = \lim_{t \rightarrow 0^+} \frac{\|x + ty\| - \|x\|}{t}$$

is called the tangent function of  $K$ .

*Theorem 2.17*—Let  $M$  be a dense subspace of a normed linear space  $X$  (real or complex) and  $\Gamma$  a finite subset of  $X^*$ .  $(X, M, \Gamma)$  has property SAIN, whenever  $\tau(x, g) < 0, g \in L$ , for every  $x \in X \setminus M$ .

The condition that  $\tau(x, g) < 0$ , for all  $g \in L$  and for every  $x \in X \setminus M$  is not sufficient for the triple  $(X, M, \Gamma)$  to have SAIN. For, if  $X = C[0, 1]$  and  $M$  is the

set of all polynomials in  $C[0, 1]$ , then  $M$  is a dense subalgebra of  $X$ . Let  $x^* \in X^*$ , such that  $x^*(x) = x(1)$  for all  $x \in X$ .  $x^*$  is a point evaluation functional. Deutsch and Morris (1969) have shown that if  $C(T)$  is the space under consideration,  $M$  any dense subalgebra of  $C(T)$  and  $\delta_{t_i}$  are point evaluation functionals at  $t_i$  ( $i = 1, 2, \dots, n$ ) then  $(C(T), M, \{\delta_{t_i}\}_{i=1}^n)$  has property SAIN. In the present context, it follows that

$(C[0, 1], M, \{x^*\})$  has property SAIN. Let  $f \in C[0, 1]$  be defined by  $f(t) = 1$  in the closed interval  $[1/2, 1]$  and  $0 \leq f(t) \leq 1$  otherwise. Then  $f \in X \setminus M$  and

$$x^*(f - \textcircled{g}) = x^*(f) = f(1) = \|f\|.$$

Further

$$L = \{x \in X : x^*(x) = 0\}.$$

Therefore

$$x^*(x) = 0 \text{ for all } x \in L$$

and

$$\|x^*\| = 1.$$

Singer (1970, p. 18) has proved that if there exists  $x^* \in X^*$  such that  $x^*(x) = 0$  for all  $x \in L$ ,  $\|x^*\| = 1$  and  $x^*(f - \textcircled{g}) = \|f\|$ , then  $\textcircled{g} \in P_L(f)$ . Further, he has established that  $\textcircled{g} \in P_L(f)$  and  $\tau(f, g) \geq 0$  are equivalent conditions [p. 88]. Hence  $\tau(f, g) < 0$ , for all  $g \in L$  is not true.

*Proof of Theorem 2.17 :* Let  $x \in X \setminus M$ . As  $t$  decreases,  $\|x + tg\| - \|x\|/t$  also decreases for the positive variable  $t$ . (See reference 4, p. 351, Proposition 1). Since  $\tau(x, g) < 0$ , for every  $x \in X \setminus M$  and for the particular case when  $t = 1$ , we get  $\|x + g\| < \|x\|$ . This shows that  $x$  is not orthogonal to  $L$ . That is, every  $x$  in  $X \setminus M$  is not in  $L^{\textcircled{R}}$ . This  $L^{\textcircled{R}}$  if it exists, is in  $M$ . The condition  $L^{\textcircled{R}} \subset M$  being satisfied,  $(X, M, \Gamma)$  has property SAIN.

*Definition 2.18*—Singer (1970, p. 135) gives the following definitions.

Let  $X$  be a (real or complex) normed linear space,  $G$  a linear subspace of  $X$ ,  $\phi_1, \dots, \phi_n \in G^*$  and  $x \in X$ . The interpolatory element of best approximation of  $x$  is any element  $g_0$  in  $G$  with the properties

$$\phi_i(g_0) = C_i \quad (i = 1, \dots, n), \quad C_i\text{'s are scalars}$$

and

$$\|x - g_0\| = \inf_{g \in G} \|x - g\|.$$

$$\phi_i(g) = C_i \quad (i = 1, \dots, m).$$

This definition is used in the next theorem.

*Notation* : Let  $W_x = \{x\} \oplus L$ , where  $x \in M$ . Let  $\phi \in X^*$  and define  $B_x(y)$  to be the set of all interpolatory elements of best approximation of  $y$  by means of elements of  $W_x$ , taking  $\phi$  to be the scalar value.  $B_x(y)$  consists of elements  $g_0$  in  $W_x$  such that

$$\phi(g) = \|\phi\|$$

and

$$\|y - g\| = \inf_{g \in W_x} \|y - g\|.$$

$$\phi(g) = \|\phi\|$$

**Theorem 2.19**—Let  $\phi$ ,  $W_x$  and  $B_x(y)$  be as defined and let  $M$  be any dense subspace of  $X$ .  $(X, M, \phi)$  has SAIN if for each  $x \in X \setminus M$ ,  $B_x(x)$  is empty.

In the example given after Theorem 2. 17,  $x^*$  attains its norm at  $f \in B_f(f)$ . But the triple  $(X, M, \{x^*\})$  has property SAIN.

**PROOF** : Whenever  $\phi$  attains its norm at  $x_1^* \in X \setminus M$ ,  $\phi(x) = \|\phi\|$ . Since  $x \in W_x$ , it belongs to  $B_x(x)$  if  $\phi(x) = \|\phi\|$ . The other condition is true for every element  $y$  in  $W_x$  with  $\phi(y) = \|\phi\|$ .  $B_x(x)$  is empty when  $x$  is nonextremal, that is, when  $\phi(x) \neq \|\phi\|$ . Deutsch and Morris (1969) has shown that  $(X, M, \phi)$  has SAIN when  $\phi$  does not attain its norm at  $x \in X \setminus M$ . Hence the theorem is proved.

#### REFERENCES

- Deutsch, F., and Morris, P. D. (1969). On simultaneous approximation and interpolation which preserves the norm. *J. Approx. Theory*, **2**, 355-73.
- Dunford, N., and Schwartz, J. T. (1955). *Linear Operators; Part I: General Theory*. Interscience Publishers, Inc., New York.
- Holmes, R., and Lambert, J. (1973). A geometrical approach to properly SAIN. *J. Approx. Theory*, **7**, 132-92.
- Koethe, G. (1960). *Topologische lineare Raume*, I. Springer-Verlag, Berlin.
- Singer, I. (1960). *Best Approximation in Normed Linear Spaces by Elements of Linear Subspaces*. Springer-Verlag, Berlin.
- Smatkov, V. A. (1971). Simultaneous approximation and interpolation problems (Russian). *Proc. Winter School on Math. Programming and related questions (Drogobych)*, **2**, 216-22.