

SUMMABILITY CHARACTERIZATION FOR B -CONVEX AND
SUPER REFLEXIVE SPACES*

K. P. R. SASTRY

Department of Mathematics, Andhra University, Visakhapatnam, 530003

(Received 7 February 1983)

Summability characterizations for B -convex and super reflexive spaces are given.

A Banach space X is said to be B -convex, if there is a positive number $\epsilon < 1$ such that, for some positive integer n and for every n -sequence of vectors $\{x_i\}$, $1 \leq i \leq n$ in the unit ball U of X ,

$$\inf \left\{ \left\| \sum_{i=1}^n \epsilon_i x_i \right\| : \epsilon_i = \pm 1 \right\} \leq n(1 - \epsilon).$$

A Banach space Y is said to be finitely representable in a Banach space X if for every finite dimensional subspace Z of Y and $0 < \epsilon < 1$, there is an isomorphism T of Z onto a subspace of X such that $\|T\| \|T^{-1}\| < 1 + \epsilon$.

A Banach space X is said to be super reflexive if no non-reflexive space is finitely representable in X .

B -convex and super reflexive spaces were extensively studied by James (1964, 1972, 1974). Literature on these topics can be found in Van Dulst (1978).

Suppose $T = (c_{mn})$, $m \geq 1$, $n \geq 1$, is an infinite real matrix. T is said to be sign ordered if $c_{mp} > 0$, $c_{mn} < 0$ imply $p < n$. That is, each positive entry in a row precedes every negative entry in that row. T is lower triangular if $c_{mn} = 0$ for $n > m$ for each $m \geq 1$. Discussion on matrices of the above type can be found in Zygmund (1959).

*Work done while the author was visiting at Florida Atlantic University, Boca Raton, Florida, U. S. A.

If T is a lower triangular matrix and $\{x_n^m\}$, $m \geq 1, n \geq 1$, is a double sequence from the Banach space X , arranged in a matrix form, with x_n^m in the n th row and m th column, then the T -means of the double sequence is the sequence $\{t_m\}$, where

$$t_m = \sum_{n=1}^m c_{mn} x_n^m .$$

Lemma 1—If X is a normed linear space and $x_i, 1 \leq i \leq n$ are n vectors in the unit ball of X and if for some $\epsilon > 0$,

$$\|\sum_{i=1}^n x_i\| \geq n(1-\epsilon), \text{ then } \|\sum_{i=1}^n a_i x_i\| \geq 1-n\epsilon \text{ if } a_i \geq 0 \text{ and } \sum_{i=1}^n a_i = 1.$$

PROOF :

$$\begin{aligned} \|\sum_{i=1}^n a_i x_i\| &\geq \|\sum_{i=1}^n x_i\| - \sum_{i=1}^n (1-a_i) \|x_i\| \\ &\geq n(1-\epsilon) - \sum_{i=1}^n (1-a_i) = 1-n\epsilon. \end{aligned}$$

The following characterization of super reflexive spaces can be found in James and Schaffer (1972).

Theorem 2—A Banach space X is super reflexive if and only if for some $\epsilon > 0$ and for some $n \geq 1$,

$$\inf_{1 \leq k \leq n} \|\sum_{i=1}^k x_i - \sum_{k+1}^n x_i\| \leq n(1-\epsilon)$$

for every n -sequence $\{x_i\}$ of vectors in the unit ball of X .

Lemma 3—Suppose X is a B -convex space. Then there exist a positive number $\epsilon < 1$ and an integer $M \geq 2$ such that for every positive integer k , for every M^k vectors $y_i (1 \leq i \leq M^k)$, in the unit ball U of X and for some choice of $\epsilon_i (\epsilon_i = \pm 1)$,

$$\|\sum_{i=1}^{M^k} \epsilon_i y_i\| \leq M^k (1-\epsilon)^k.$$

PROOF : Since X is a B -convex space, there exist an integer $M \geq 2$ and a positive number $\epsilon < 1$ such that for every M vectors $y_i (1 \leq i \leq M)$, in U and for some choice of $\epsilon_i (\epsilon_i = \pm 1)$,

$$\sum_{i=1}^M \epsilon_i y_i \leq M(1-\epsilon).$$

Now the result follows, by induction.

A result in super reflexive spaces, some what similar to the above is the following.

Lemma 4—Suppose X is a super reflexive space. Then there exist a positive number $\delta < 1$ and an integer $M \geq 2$ such that for every positive integer $k \geq M$, for every k vectors y_i ($1 \leq i \leq k$), in the unit ball U of X and for some j ($1 \leq j \leq k$), depending on the sequence,

$$\left\| \sum_{i=1}^j y_i - \sum_{i=1}^k y_i \right\| \leq k\delta.$$

PROOF : From Theorem 2, there exist a positive $\epsilon < 1$ and $N \geq 2$ such that

$$\inf_{1 \leq k \leq N} \left\| \sum_{i=1}^k x_i - \sum_{k+1}^N x_i \right\| \leq N(1-\epsilon) \tag{1}$$

for every N vectors x_i ($1 \leq i \leq N$), in the unit ball.

Now, let $\delta = 1-\epsilon^2$. Then there exists a positive integer L such that $(q + 1)(1-\epsilon) < q\delta$ for all $q \geq L$.

Write $M = LN + 1$. If $n \geq M$, then for some $q \geq L$, we have $Nq < n \leq N(q + 1)$. Now, let $\{y_i\}$, $1 \leq i \leq n$, be any n -sequence in the unit ball.

Write

$$z_i = \begin{cases} y_i & \text{if } 1 \leq i \leq n \\ 0 & \text{if } n < i \leq N(q + 1). \end{cases}$$

Define

$$x_i = (q + 1)^{-1} \left(\sum_{k=1}^{q+1} z_{(i-1)(q+1)+k} \right) \text{ for } 1 \leq i \leq N-1$$

and

$$x_N = (q + 1)^{-1} \sum_{k=(N-1)(q+1)+1}^{N(q+1)} z_k.$$

Then x_1, \dots, x_N are in the unit ball. Hence, from (1), for some k ,

$$\left\| \sum_{i=1}^k x_i - \sum_{k+1}^N x_i \right\| \leq N(1-\epsilon)$$

so that, for some j ,

$$\left\| \sum_{i=1}^j y_i - \sum_{i=1}^n y_i \right\| \leq N(q + 1)(1 - \epsilon) < Nq\delta < n\delta.$$

Theorem 5—A Banach space X is B -convex (resp. super reflexive) if and only if for every double sequence $\{x_n^m\}$ in the unit ball U of X , there is a lower triangular (resp. lower triangular and sign ordered) matrix $T = (c_{mn})$ such that

$$\sum_{n=1}^{\infty} |c_{mn}| = 1 \quad \text{for all } m \tag{2}$$

$$\limsup \|t_m\| < 1 \quad \text{where } t_m = \sum_{n=1}^m c_{mn} x_n^m. \tag{3}$$

PROOF : We first consider the B -convex case. Suppose the condition is satisfied and X is not B -convex. Suppose $0 < \epsilon < 1$. Then, for every positive integer m , there is an m -sequence $\{x_i^m\}$, $1 \leq i \leq m$, in U such that

$$\left\| \sum_{i=1}^m \epsilon_i x_i^m \right\| > m(1 - \epsilon m^{-2}) \tag{4}$$

for all ϵ_i ($\epsilon_i = \pm 1$).

Now, corresponding to the double sequence $\{x_n^m\}$ (with $x_n^m = 0$ if $n > m$), there exists a lower triangular matrix $T = (c_{mn})$ satisfying (2) and (3). But, by Lemma 1, applied to the sequence $(\text{sgn } c_{mn}) x_n^m$, we get, from (4)

$$\|t_m\| = \left\| \sum_{n=1}^m c_{mn} x_n^m \right\| \geq 1 - \epsilon m^{-1}.$$

Consequently, $\lim \|t_m\| = 1$, a contradiction.

Conversely suppose that X is B -convex.

Choose ϵ and M as in Lemma 3. Let p be such that, for $k > p$,

$$M(1 - \epsilon)^k < 1 - \epsilon. \tag{5}$$

Suppose $\{x_n^m\}$ is any double sequence in U . Define

$$c_{mn} = \begin{cases} 0 & \text{if } n > m \\ m^{-1} & \text{if } n \leq m \text{ and } m \leq M^p. \end{cases}$$

Suppose $M^r < m \leq M^{r+1}$ where $r \geq p$.

Write

$$y_i = \begin{cases} x_i^m & \text{if } 1 \leq i \leq m \\ 0 & \text{if } m + 1 \leq i \leq M^{r+1}. \end{cases}$$

Then, by Lemma 3, for some choice of ϵ_i^m ($\epsilon_i^m = \pm 1$),

$$\|\sum_{i=1}^{M^{r+1}} \epsilon_i^m y_i\| \leq M^{r+1} (1 - \epsilon)^{r+1} < m (1 - \epsilon) \quad \text{[by (5)]} \quad \dots(6)$$

Now, define $c_{mn} = \epsilon_n^m m^{-1}$ if $1 \leq n \leq m$ with ϵ_n^m as above. If we write $T = (c_{mn})$, where c_{mn} are as defined above, then clearly (2) is satisfied and for $m > M^p$, we have

$$\|t_m\| = \|\sum_{i=1}^m c_{mi} x_i^m\| = \|\sum_{i=1}^m \epsilon_i^m m^{-1} y_i\| < 1 - \epsilon \quad \text{[by (6)]} \quad \dots(7)$$

so that (3) is also satisfied.

The proof for the super reflexive case is essentially similar, but here, making use of Lemma 4, we get, instead of (7), for large m , $\|t_m\| \leq \delta (< 1)$.

Incidentally, we also proved the following characterization.

Theorem 6—A Banach space X is B -convex (resp. super reflexive) if and only if for every double sequence $\{x_n^m\}$ in the unit ball, there is a lower triangular (resp. lower triangular and sign ordered) matrix $T = (c_{mn})$ such that

$$\sum_{n=1}^{\infty} |c_{mn}| = 1 \quad \text{for all } m$$

and

$$\liminf \|t_m\| < 1. \quad \dots(8)$$

Question : A closer look at the proof of Theorem 5 shows that condition (8) in Theorem 6 can be replaced by

$$\liminf \|t_m\| = 0 \quad \dots(9)$$

for the B -convex case. [In fact, from (6), we have,

$$\|t_{M^{r+1}}\| \leq (1 - \epsilon)^{r+1} \quad \text{for all } r \geq p].$$

Is this true for the super reflexive case ?

ACKNOWLEDGEMENT

The author is grateful to Dr T. P. Schonbek, for his helpful suggestions in preparing the paper.

REFERENCES

- James, R. C. (1964). Uniformly non-square spaces. *Ann. Math.*, **8**, 342-50.
- (1972). Some self-dual properties of normed linear spaces, Symposium on infinite dimensional topology. *Ann. Math. Studies*, **69**, 159-75.
- (1974). A non-reflexive Banach space that is uniformly non-octahedral. *Israel J. Math.*, **18**, 145-55.
- James, R. C., and Schaffer, J. J. (1972). Super reflexivity and girth of spheres. *Israel J. Math.*, **11**, 398-404.
- Van Dulst, D. (1978). Reflexive and Super Reflexive Banach Spaces. Math. Centre Tracts No. 102, Amsterdam.
- Zygmund, A. (1959). Trigonometric Series, Vol. 1. Cambridge Univ. Press.