

## EXTREME POINTS OF SOME FAMILIES OF ANALYTIC FUNCTIONS RELATED TO UNIVALENT FUNCTIONS

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The concept of  $a^*$ -families has been introduced by Kapoor and Mishra<sup>1</sup> and Mishra<sup>2</sup>. In this paper some new results for functions in  $a^*$ -families with negative Taylor coefficients have been obtained. These results include coefficient characterization theorem, coefficient estimates, identification of extreme points and characterization for connectedness of  $a^*$ -families.

### 1. INTRODUCTION

Let  $\mathcal{H}$  denote the class of functions  $f$  analytic in the unit disc  $E = \{z : |z| < 1\}$ , satisfying  $f(0) = 0$  and  $f'(0) \neq 0$ . For  $f(z) = \sum_{k=1}^{\infty} a_k z^k$  and  $g(z) = \sum_{k=1}^{\infty} b_k z^k$  the

Hadamard product  $f * g$  of  $f$  and  $g$  is defined by  $(f * g)(z) = \sum_{k=1}^{\infty} a_k b_k z^k$ . Note that  $f * g$  is also in  $\mathcal{H}$ .

*Definition 1*—Let  $s$  and  $g$  in  $\mathcal{H}$  be given by

$$\left. \begin{aligned} s(z) &= \sum_{k=1}^{\infty} c_k z^k, \quad c_1 > 0, \quad c_k \geq 0 \\ g(z) &= \sum_{k=1}^{\infty} d_k z^k, \quad d_1 > 0, \quad d_k \geq 0 \end{aligned} \right\} \begin{array}{l} k = 2, 3, \dots \\ \dots(1) \\ \dots(2) \end{array}$$

with

$$(c_k/c_1) - (d_k/d_1) > 0 \quad k = 1, 2, 3, \dots \quad \dots(3)$$

We denote by  $F(s, g, \alpha)$ ,  $0 \leq \alpha \leq (c_1/d_1)$ , the class of functions  $f$  in  $\mathcal{H}$  satisfying

$$(g * f)(z) \neq 0, \quad 0 < |z| < 1 \quad \dots(4)$$

and

$$\operatorname{Re} \left[ \frac{(s * f)(z)}{(g * f)(z)} \right] > \alpha, z \in E. \quad \dots(5)$$

*Definition 2*—Let  $q$  given by

$$q(z) = 1 + \sum_{k=2}^{\infty} e_k z^{k-1} \quad \dots(6)$$

be analytic in  $E$ . We denote by  $F(s, g, \alpha, q, z_0)$ ,  $z_0$  real,  $0 < |z_0| < 1$ , the class of functions  $f$  in  $F(s, g, \alpha)$  satisfying

$$\left( \frac{f}{z} * q \right)(z_0) = 1. \quad \dots(7)$$

If  $B$  is a subset of  $-1 < z < 1, z \neq 0$ , then  $F(s, g, \alpha, q, B)$  stands for  $\bigcup_{z_i \in B} F(s, g, \alpha, q, z_i)$ . Further, we denote by  $F[s, g, \alpha]$  (respectively  $F[s, g, \alpha, q, B]$ ) the class of functions  $f$  in  $F(s, g, \alpha)$  (respectively in  $F(s, g, \alpha, q, B)$ ) given by the series

$$f(z) = a_1 z - \sum_{k=2}^{\infty} a_k z^k, a_k \geq 0, k = 1, 2, 3, \dots \quad \dots(8)$$

The class  $F(s, g, \alpha)$  is introduced and studied in Kapoor and Mishra<sup>2</sup> and Mishra<sup>3</sup> where it is called an  $\alpha^*$ -family. Suitable choices of the tuple give us many familiar families related to univalent functions. For example :

- (a)  $F\left(\frac{z}{(1-z)^2}, \frac{z}{1-z}, \alpha\right)$  is the class of starlike function of order  $\alpha$ .
- (b)  $F\left(\frac{z(1+z)}{(1-z)^3}, \frac{z}{(1-z)^2}, \alpha\right)$  is the class of convex functions of order  $\alpha$ .
- (c)  $F\left(\frac{z}{(1-z)^2}, \frac{z}{1-z^2}, \alpha\right)$  is the class of functions starlike with respect to symmetric points and of order  $\alpha$  (Sakaguchi<sup>5</sup>).
- (d)  $F\left(\frac{z}{(1-z)^2}, z, \alpha\right)$  is an extensively studied subclass of close-to-convex functions.
- (e)  $F\left(\frac{z}{(1-z)^{n+2}}, \frac{z}{(1-z)^{n+1}}, \frac{1}{2}\right) n = 0, 1, 2, \dots$ , is a class of functions studied in Goel and Sohi<sup>1</sup>.
- (f)  $F\left(\frac{z}{(1-z)^{2(1-\alpha)+1}}, \frac{z}{(1-z)^{2(1-\alpha)}}, \frac{1}{2}\right), 0 \leq \alpha < 1$ , is the class of prestarlike functions of order  $\alpha$  Ruscheweyh<sup>4</sup>.

(g)  $F\left(\frac{z}{1-z}, z, \alpha\right)$  is the class of functions for which  $\operatorname{Re}\{f(z)/z\} > \alpha$ .

In Definition, 2, if we take  $q(z) = \frac{1}{1-z}$  (respectively  $q(z) = \frac{1}{(1-z)^2}$ ) then  $F(s, g, \alpha, q, z_0)$  is the subclass of functions  $f$  in  $F(s, g, \alpha)$  satisfying  $f(z_0) = z_0$  (respectively  $f'(z_0) = 1$ ). Finally, let  $G$  be a family of functions analytic in  $E$ . A function  $f$  in  $G$  is said to be an extreme point of  $G$  if  $f \neq tg_1 + (1-t)g_2$  for  $0 < t < 1$  and any pair of distinct functions  $g_1$  and  $g_2$  in  $\mathcal{A}$ .

Silverman<sup>6,7</sup> first studied the particular  $a^*$ -families

$$F\left[\frac{z}{(1-z)^2}, \frac{z}{1-z}, \alpha\right], F\left[\frac{z(1+z)}{(1+z)^3}, \frac{z}{(1-z)^2}, \alpha\right]$$

$$F\left[\frac{z}{(1-z)^2}, \frac{z}{1-z}, \alpha, \frac{1}{1-z}, z_0\right], F\left[\frac{z}{(1-z)^2}, \frac{z}{1-z}, \alpha, \frac{1}{(1-z)^2}, z_0\right]$$

$$F\left[\frac{z(1+z)}{(1-z)^3}, \frac{z}{(1-z)^2}, \alpha, \frac{1}{1-z}, z_0\right] \text{ and}$$

$$F\left[\frac{z(1+z)}{(1-z)^3}, \frac{z}{(1-z)^2}, \alpha, \frac{1}{(1-z)^2}, z_0\right]$$

and determined their extreme points. Subsequently Silverman and Silvia<sup>8</sup> have also investigated the  $a^*$ -family

$$F\left[\frac{z}{(1-z)^{2(1-\alpha)+1}}, \frac{z}{(1-z)^{2(1-\alpha)}}, \frac{1}{2}\right]$$

and have determined its extreme points. In recent years there has been extensive study in the determination of extreme points of families of functions with negative coefficients. Kapoor and one of the present authors<sup>2,3</sup> have determined the extreme points of any  $a^*$ -family  $F[s, g, \alpha]$ . In the present paper we determine the extreme points of  $F[s, g, \alpha, q, z_0]$  for arbitrary choice of the tuple  $(s, g, \alpha, q, z_0)$ . We also show that if  $B$  is a subset of  $(0, 1)$  then  $F[s, g, \alpha, q, B]$  is a convex family if and only if  $B$  is connected. We determine the extreme points of  $F[s, g, \alpha, q, B]$  for connected  $B$ .

## 2. EXTREME POINTS OF $F[s, g, \alpha, q, z_0]$

We need the following theorem from Kapoor and Mishra<sup>2</sup> (also see Mishra<sup>3</sup>).

*Theorem A*—Let  $\{c_k\}$  and  $\{d_k\}$  be sequences of non negative numbers with  $c_1 > 0$ ,  $d_1 > 0$ ,  $(c_k/c_1) - (d_k/d_1) > 0$  and let  $0 \leq \alpha \leq (c_1/d_1)$ . Then a function  $f$  given by (8) is in  $F[s, g, \alpha]$  where  $s$  and  $g$  are as in Definition 1 if and only if

$$\sum_{k=2}^{\infty} (ck - \alpha dk) ak \leq \alpha_1 (c_1 - \alpha d_1). \tag{9}$$

The next theorem, a direct consequence of Theorem A, is useful for further investigations.

*Theorem 1*—Let  $s, g$  and  $\alpha$  be as in Theorem A,  $z_0$  be a real number such that  $0 < |z_0| < 1$  and let  $q(z)$  be as in Definition 2. Then the function  $f(z) = a_1 z - \sum_{k=2}^{\infty} a_k z^k$ ,  $a_k \geq 0, k = 1, 2, 3, \dots$  is in  $F[s, g, \alpha, q, z_0]$  if and only if

$$\sum_{k=2}^{\infty} \left( \frac{ck - \alpha dk}{c_1 - \alpha d_1} - ck z_0^{k-1} \right) ak \leq 1. \tag{10}$$

PROOF : Observe that  $f(z)$  satisfies the condition (7) if and only if  $a_1 = 1 + \sum_{k=2}^{\infty} a_k e_k z_0^{k-1}$ . Now, substituting this value of  $a_1$  in (9) the result follows.

See that each term in the summation in (10) is nonnegative. This can be seen using (3) and the condition  $0 \leq \alpha \leq (c_1/d_1)$ .

*Corollary 1*—Let  $f(z) = a_1 z - \sum_{k=2}^{\infty} a_k z^k, a_k \geq 0$ , be in  $F[s, g, \alpha, q, z_0]$  where  $s, g, \alpha, q$  and  $z_0$  are as in Theorem 1. Then

$$a_k \leq \frac{c_1 - \alpha d_1}{(ck - \alpha dk) - (c_1 - \alpha d_1) e_k z_0^{k-1}}, k = 2, 3, \dots \tag{11}$$

with equality for

$$f_k(z) = \frac{(ck - \alpha dk) z - (c_1 - \alpha d_1) z^k}{(ck - \alpha dk) - (c_1 - \alpha d_1) e_k z_0^{k-1}}.$$

$F[s, g, \alpha, q, z_0]$  is a convex family. For, if  $f$  and  $g$  are in  $F[s, g, \alpha, q, z_0]$  and  $0 < \lambda < 1$ , then the function  $\lambda f + (1 - \lambda) g$  satisfies the coefficient inequality (9) and the condition (7).

*Theorem 2*—Let the tuple  $(s, g, \alpha, q, z_0)$  be as in Definition 2.

Set

$$f_1(z) = z$$

and

$$f_k(z) = \frac{(ck - \alpha dk) z - (c_1 - \alpha d_1) z^k}{(ck - \alpha dk) - (c_1 - \alpha d_1) z_0^{k-1}}, \quad k = 2, 3, \dots \tag{12}$$

Then, a function  $f$  is in  $F[s, g, \alpha, q, z_0]$  if, and only if it can be expressed in the form  $\sum_{k=1}^{\infty} \lambda_k f_k(z)$  where  $\lambda_k \geq 0$  and  $\sum_{k=1}^{\infty} \lambda_k = 1$ .

PROOF : Suppose  $f(z) = \sum_{k=1}^{\infty} \lambda_k f_k(z)$  where  $\lambda_k \geq 0$  and  $\sum_{k=1}^{\infty} \lambda_k = 1$ .

Then,

$$f(z) = \left[ \lambda_1 + \sum_{k=2}^{\infty} \frac{\lambda_k (ck - \alpha dk)}{(ck - \alpha dk) - (c_1 - \alpha d_1) e_k z_0^{k-1}} \right] z - \sum_{k=2}^{\infty} \lambda_k \frac{(c_1 - \alpha d_1) z^k}{(ck - \alpha dk) - (c_1 - \alpha d_1) e_k z_0^{k-1}}.$$

We note that  $\left(\frac{f}{z} * q\right)(z_0) = \sum_{k=1}^{\infty} \lambda_k \left(\frac{f_k}{z} * q\right)(z_0) = \sum_{k=1}^{\infty} \lambda_k = 1$ .

Also,

$$\begin{aligned} & \sum_{k=2}^{\infty} \frac{(ck - \alpha dk) - (c_1 - \alpha d_1) e_k z_0^{k-1}}{(c_1 - \alpha d_1)} \lambda_k \\ & \qquad \qquad \qquad \frac{(c_1 - \alpha d_1)}{(ck - \alpha dk) - (c_1 - \alpha d_1) e_k z_0^{k-1}} \\ & = \sum_{k=2}^{\infty} \lambda_k = 1 - \lambda_1 \leq 1. \text{ Hence } f \text{ is in } F[s, g, \alpha, q, z_0]. \end{aligned}$$

Conversely, suppose that  $f(z) = z - \sum_{k=2}^{\infty} a_k z^k$  is in  $F[s, g, \alpha, q, z_0]$ . For

$k = 2, 3, \dots$ , write

$$\lambda_k = \frac{(ck - \alpha dk) - (c_1 - \alpha d_1) e_k z_0^{k-1} a_k}{(c_1 - \alpha d_1)}$$

and

$$\lambda_1 = 1 - \sum_{k=2}^{\infty} \lambda_k.$$

Observe that by Theorem 1,  $\lambda_k \geq 0, k = 2, 3, \dots$  and  $\sum_{k=2}^{\infty} \lambda_k \leq 1$ . Now  $f(z) = \sum_{k=1}^{\infty} \lambda_k f_k(z)$ .

From Theorem 2 it follows that the extreme points of  $F[s, g, \alpha, q, z_0]$  are precisely, the set of functions  $\{f_k\}_{k=1}^{\infty}$  where  $f_k$  are defined as in (12).

### 3. THE FAMILY $F[s, g, \alpha, q, B]$

In this section we determine the extreme points of  $F[s, g, \alpha, q, B]$  for a connected  $B$ . We have the following

*Lemma 1*—If  $f \in F[s, g, \alpha, q, z_0] \cap F[s, g, \alpha, q, z_1]$  where  $s, g, \alpha$ , and  $q$  are as in Theorem 1 and  $z_0$  and  $z_1$  are distinct positive numbers then  $f(z) = z$ .

PROOF : Let  $f(z) = z - \sum_{k=2}^{\infty} a_k z^k, a_k \geq 0$ . Then we must have

$$a_1 = 1 + \sum_{k=2}^{\infty} a_k e_k z_0^{k-1} = 1 + \sum_{k=2}^{\infty} a_k e_k z_1^{k-1}.$$

But this means that  $a_k \equiv 0$  for  $k \geq 0$ .

*Theorem 3*—If  $B$  is contained in the interval  $(0, 1)$  then,  $F[s, g, \alpha, q, B]$  is a convex family if and only if  $B$  is connected.

PROOF : Let  $B$  be connected and let  $z_0$  and  $z_1$  be in  $B$  with  $z_0 \leq z_1$ . If  $f(z) = a_1 z - \sum_{k=2}^{\infty} a_k z^k$  is in  $F[s, g, \alpha, q, z_0]$  and  $g(z) = b_1 z - \sum_{k=2}^{\infty} b_k z^k$  is in  $F[s, g, \alpha, q, z_1]$  and  $0 \leq \lambda \leq 1$ , then we shall show that there exists a  $z_2$  in  $B$  ( $z_0 < z_2 < z_1$ ) such that  $h(z) = \lambda f(z) + (1 - \lambda) g(z)$  is in  $F[s, g, \alpha, q, z_2]$ . Note that the coefficients of  $h(z)$  satisfy (9). Now, write,

$$t(z) = \left( \frac{h}{z} * q \right) (z_0) = \lambda a_1 + (1 - \lambda) b_1 - \lambda \sum_{k=2}^{\infty} a_k e_k z^{k-1}$$

(equation continued on p. 826)

$$\begin{aligned}
 & - (1 - \lambda) \sum_{k=2}^{\infty} b_k e_k z^{k-1} = 1 + \lambda \sum_{k=2}^{\infty} a_k e_k \left( z_0^{k-1} - z^{k-1} \right) \\
 & + (1 - \lambda) \sum_{k=2}^{\infty} b_k e_k \left( z_1^{k-1} - z^{k-1} \right).
 \end{aligned}$$

Observe that  $t(z)$  is real for real  $z$  and  $t(z_0) > 1$  and  $t(z_1) < 1$ . Hence there exists a  $z_2, z_0 < z_2 < z_1$  such that  $t(z_2) = 1$  and it follows that  $h(z)$  is in  $F[s, g, \alpha, q, z_2]$ .

Conversely, if  $B$  is not connected we can choose  $z_0, z_1$  in  $B$  and  $z_2$  not in  $B$  with  $z_0 < z_2 < z_1$ . Assume that  $f$  and  $g$  are not both identity functions. We write  $t(\lambda) = t(z, \lambda) = ((\lambda f(z) + (1 - \lambda)g(z))/z) * q(z)$  as above where  $0 \leq \lambda \leq 1$ . Now with  $z_2$  fixed,  $t(z_2, 0) > 1$  and  $t(z_2, 1) < 1$ . Hence, there exists a  $\lambda_0, 0 < \lambda_0 < 1$  such that  $t(z_2, \lambda_0) = 1$  and it follows that  $h(z) = \lambda_0 f(z) + (1 - \lambda_0)g(z)$  is in  $F[s, g, \alpha, q, z_2]$ . But then, by Lemma 1,  $F[s, g, \alpha, q, B]$  is not convex.

*Theorem 4*—Let  $[z_0, z_1] \subset (0, 1)$ . Then the extreme points of  $F[s, g, \alpha, q, z_0]$  are  $z$

$$f_k(z) = \frac{(c_k - \alpha d_k) z - (c_1 - \alpha d_1) z^k}{(c_k - \alpha d_k) - (c_1 - \alpha d_1) e_k z_0^{k-1}}$$

and

$$g_k(z) = \frac{(e_k - \alpha d_k) z - (c_1 - \alpha d_1) z^k}{(c_k - \alpha d_k) - (c_1 - \alpha d_1) e_k z_1^{k-1}}$$

$k = 2, 3, \dots$

**PROOF :** Let  $f$  be an extreme point of  $F[s, g, \alpha, q, B]$ . Then,  $f$  must be an extreme point of  $F[s, g, \alpha, q, z_2]$  for some  $z_2, z_0 \leq z_2 \leq z_1$ . We first show that either  $z_2 = z_0$  or  $z_2 = z_1$ .

This we do by showing that if  $z_0 < z_2 < z_1$  then

$$h_k(z) = \frac{(c_k - \alpha d_k) - (c_1 - \alpha d_1) z_k}{(c_k - \alpha d_k) - (c_1 - \alpha d_1) e_k z_2^{k-1}}$$

can be written as a convex combination of  $f_k$  and  $g_k$ . Write  $h_k(z, \lambda) = \lambda f_k(z) + (1 - \lambda)g_k(z)$ . For  $z$  real and positive we have  $h_k(z, 0) > h_k > h_k(z, 1)$ . Hence, there exists a  $\lambda_0, 0 < \lambda_0 < 1$ , for which  $h_k(z, \lambda_0) = h_k(z)$ . Infact, for  $\lambda_0$  such that

$$\begin{aligned}
 \frac{1}{(c_k - \alpha d_k) - (c_1 - \alpha d_1) e_k z_2^{k-1}} &= \frac{\lambda_0}{(c_k - \alpha d_k) - (c_1 - \alpha d_1) e_k z_0^{k-1}} \\
 &+ \frac{(1 - \lambda_0)}{(c_k - \alpha d_k) - (c_1 - \alpha d_1) e_k z_1^{k-1}}
 \end{aligned}$$

the coefficients of  $h(z, \lambda_0)$  and  $h_k(z)$  agree for all  $z$ . That is  $h_k(z, \lambda_0) = h_k(z)$  throughout in the unit disc for

$$\lambda_0 = \frac{(ck - \alpha dk) - (c_1 - \alpha d_1) z_0^{k-1}}{(ck - \alpha dk) - (c_1 - \alpha d_1) e_k z_1^{k-1}} \frac{z_1^{k-1} - z_2^{k-1}}{z_1^{k-1} - z_0^{k-1}}.$$

Thus,  $h_k(z)$  can not be an extreme point of  $F[s, g, \alpha, q, B]$ . We next show that  $f_k$  and  $g_k$  can not be expressed as the convex combination of any two elements of  $F[s, g, \alpha, q, B]$ . Infact, for  $z$  real and positive and  $0 \leq \lambda \leq 1$ .

$$f_k(z) < \lambda \left( \frac{(ck - \alpha dk) z - (c_1 - \alpha d_1) z^k}{(ck - \alpha dk) - (c_1 - \alpha d_1) e_k z_3^{k-1}} \right) + (1 - \lambda) \left( \frac{(ck - \alpha dk) z - (c_1 - \alpha d_1) z^k}{(ck - \alpha dk) - (c_1 - \alpha d_1) e_k z_4^{k-1}} \right)$$

$$z_0 < z_3 \leq z_1, z_0 < z_4 \leq z_1$$

and

$$g_k(z) > \lambda \left( \frac{(ck - \alpha dk) z - (c_1 - \alpha d_1) z^k}{(ck - \alpha dk) - (c_1 - \alpha d_1) e_k z_5^{k-1}} \right) + (1 - \lambda) \frac{(ck - \alpha dk) - (c_1 - \alpha d_1) z^k}{(ck - \alpha dk) - (c_1 - \alpha d_1) e_k z_6^{k-1}}$$

$$z_0 \leq z_5 < z_1 \text{ and } z_0 \leq z_6 < z_1.$$

The proof is now complete.

*Corollary*—If  $0 < z_0 < z_1 < 1$ , the closed conve hull of  $F[s, g, \alpha, q, \{z_0, z_1\}]$  is  $F[s, g, \alpha, q, [z_0, z_1]]$ .

**PROOF :** Let  $f_k$  and  $g_k$  be defined as in the theorem. Adopting the method of proof of the theorem it can be shown that the extreme points of  $F[s, g, \alpha, q, \{z_0, z_1\}]$  are  $z, f_k$  and  $g_k, k = 2, 3, \dots$ . Hence the closed convex hull of  $F[s, g, \alpha, q, \{z_0, z_1\}]$  is the closed convex hull of  $\{z, f_k, g_k : k \geq 2\}$ . However, it follows from the theorem that the above closed convex hull is  $F[s, g, \alpha, q, [z_0, z_1]]$ . The proof is complete.

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