

INEQUALITIES CONCERNING RATIONAL FUNCTIONS WITH PRESCRIBED POLES

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In this paper, we establish some inequalities for rational functions with prescribed poles which generalize and refine the results of Li, Mohapatra and Rodriguez, Aziz and Shah, Qasim and Li-man and others. A result of O'Hara and Rodriguez for self-inversive polynomials is also extended to rational functions by one of our result as a special case.

Key words : Rational function; polynomial; poles; zeros; Bernstein's inequality.

1. INTRODUCTION

Let \mathbb{P}_n denote the set of all complex polynomials $P(z) = \sum_{\nu=0}^n b_{\nu} z^{\nu}$ of degree at most n and $P'(z)$ its derivative. Let \mathbb{D}_{k-} denote the region inside the circle $\mathbb{T}_k = \{z; |z| = k > 0\}$ and \mathbb{D}_{k+} the region outside \mathbb{T}_k . For f defined on \mathbb{T}_k , in the complex plane \mathbb{C} , we set

$$M(f, k) := \max_{z \in \mathbb{T}_k} |f(z)| \quad \text{and} \quad m^*(f, k) := \min_{z \in \mathbb{T}_k} |f(z)|.$$

If $P \in \mathbb{P}_n$, then concerning the estimate of $M(P', 1)$ on \mathbb{T}_1 , we have the following famous Bernstein's inequality (for reference see [8]),

$$M(P', 1) \leq nM(P, 1). \tag{1.1}$$

Equality holds in (1.1) only for $P(z) = \lambda z^n$, $\lambda \neq 0$. Noting that these extremal polynomials have all zeros at the origin, so it is natural to seek improvements under appropriate condition on the zeros of $P(z)$. If we restrict ourselves to the class of polynomials having no zeros in the unit circle \mathbb{T}_1 , then (1.1) can be improved. In fact, Erdős conjectured and later Lax [5] verified that if $P(z) \neq 0$ in \mathbb{D}_{1-} , then (1.1) can be replaced by

$$M(P', 1) \leq \frac{n}{2} M(P, 1). \tag{1.2}$$

For the class of polynomials $P \in \mathbb{P}_n$, not vanishing in \mathbb{D}_{1+} , we have

$$M(P', 1) \geq \frac{n}{2}M(P, 1). \quad (1.3)$$

The above inequality is due to Turán [9].

For $a_\nu \in \mathbb{C}$, $\nu = 1, 2, \dots, n$, let

$$W(z) := \prod_{\nu=1}^n (z - a_\nu)$$

and

$$B(z) := \prod_{\nu=1}^n \left(\frac{1 - \bar{a}_\nu z}{z - a_\nu} \right),$$

the Blaschke product.

Let

$$\mathbb{R}_n := \mathbb{R}_n(a_1, a_2, \dots, a_n) = \left\{ \frac{P(z)}{W(z)} : P \in \mathbb{P}_n \right\}.$$

Then \mathbb{R}_n is the set of rational functions with possible poles a_1, a_2, \dots, a_n and having a finite limit at ∞ . Note that $B(z) \in \mathbb{R}_n$ and $|B(z)| = 1$ for $z \in \mathbb{T}_1$.

In the past few years, several papers pertaining to Bernstein type inequalities for rational functions have appeared in the study of rational approximation problems. In fact in 1995, Li *et al.* [6] proved some inequalities similar to (1.1), (1.2) and (1.3) for rational functions with poles outside the unit circle. Among other things, they proved the following generalization of (1.1).

Theorem A — Suppose $\lambda \in \mathbb{T}_1$. Let t_1, t_2, \dots, t_n be the n zeros of $B(z) + \lambda$ and s_1, s_2, \dots, s_n be the n zeros of $B(z) - \lambda$. If $r \in \mathbb{R}_n$ and $z \in \mathbb{T}_1$, then

$$|r'(z)| \leq \frac{|B'(z)|}{2} \left\{ \max_{1 \leq k \leq n} |r(t_k)| + \max_{1 \leq k \leq n} |r(s_k)| \right\}. \quad (1.4)$$

Equality holds in (1.4) for $r(z) = \mu B(z)$ with $\mu \in \mathbb{T}_1$.

In the same paper, Li *et al.* [6] extended (1.3) to a class of rational functions by proving the following result.

Theorem B — Suppose $r \in \mathbb{R}_n$ has exactly n zeros and all lie in $\mathbb{T}_1 \cup \mathbb{D}_{1-}$. Then for $z \in \mathbb{T}_1$,

$$|r'(z)| \geq \frac{|B'(z)|}{2} |r(z)|. \quad (1.5)$$

Equality holds in (1.5) for $r(z) = \alpha B(z) + \beta$ with $\alpha, \beta \in \mathbb{T}_1$.

They also extended (1.2) to rational functions by proving the following result.

Theorem C — Suppose $r \in \mathbb{R}_n$ has all its zeros in $\mathbb{T}_1 \cup \mathbb{D}_{1+}$. Then for $z \in \mathbb{T}_1$, we have

$$|r'(z)| \leq \frac{|B'(z)|}{2} M(r, 1). \tag{1.6}$$

Equality holds in (1.6) for $r(z) = \alpha B(z) + \beta$ with $\alpha, \beta \in \mathbb{T}_1$.

Further, Aziz and Shah [3] proved the following result similar to Theorem A for rational functions not vanishing in \mathbb{D}_{1-} which also generalizes a polynomial inequality due to Aziz ([1], Theorem 4).

Theorem D — Let $r \in \mathbb{R}_n$ and all the zeros of r lie in $\mathbb{T}_1 \cup \mathbb{D}_{1+}$. If $\mu_1, \mu_2, \dots, \mu_n$ are the zeros of $B(z) + \lambda$ and t_1, t_2, \dots, t_n are the zeros of $B(z) - \lambda$, where $\lambda \in \mathbb{T}_1$, then for $z \in \mathbb{T}_1$,

$$|r'(z)| \leq \frac{|B'(z)|}{2} \left\{ \left(\max_{1 \leq k \leq n} |r(\mu_k)| \right)^2 + \left(\max_{1 \leq k \leq n} |r(t_k)| \right)^2 \right\}^{\frac{1}{2}}. \tag{1.7}$$

The result is best possible and equality holds in (1.7) for $r(z) = B(z) + \lambda$ with $\lambda \in \mathbb{T}_1$.

Recently, Qasim and Liman [4] considered a specialized class of rational functions $r(s(z))$, defined by

$$(r \circ s)(z) = r(s(z)) := \frac{P(s(z))}{W(s(z))},$$

where $s(z)$ is a polynomial of degree m and $r \in \mathbb{R}_n$, so that $r(s(z)) \in \mathbb{R}_{mn}$, and

$$W(s(z)) = \prod_{j=1}^{mn} (z - a_j).$$

Also the Blaschke product is given by

$$B(z) = \frac{W^*(s(z))}{W(s(z))} = z^{mn} \frac{\overline{W(s(\frac{1}{z}))}}{W(s(z))} = \prod_{j=1}^{mn} \left(\frac{1 - \bar{a}_j z}{z - a_j} \right).$$

They proved several sharp results concerning Bernstein type inequalities for rational functions.

The main aim of this paper is to prove some compact generalizations of Theorems A, B, C and D. We shall also generalize and improve some results of Qasim and Liman [4]. As we will see a result of O'Hara and Rodriguez for self-inversive polynomials is also extended to rational functions by one of our result as a special case.

2. MAIN RESULTS

From now on, we shall always assume that all poles a_1, a_2, \dots, a_{mn} of $r(s(z))$ lie in \mathbb{D}_{1+} . For the case when all poles are in \mathbb{D}_{1-} , we can obtain analogous results with suitable modification.

Theorem 1 — Let $r(s(z)) \in \mathbb{R}_{mn}$ and $r(s(z)) \neq 0$ in \mathbb{D}_{k+} , where $k \leq 1$. If $\max_{z \in \mathbb{T}_1} |s(z)| = M'$, then for $z \in \mathbb{T}_1$, we have

$$|r'(s(z))| \geq \frac{1}{2mM'} \left\{ |B'(z)| + \frac{mn(1-k)}{(1+k)} \right\} |r(s(z))|. \quad (2.1)$$

The result is best possible for $k = 1$ and equality holds in (2.1) for rational functions of the form $r(s(z)) = \alpha B(z) + \beta$ where $\alpha, \beta \in \mathbb{T}_1$ and $s(z) = z^m$.

Remark 1 : The special case of the above theorem with $k = 1$ was proved by Qasim and Liman ([4], Corollary 3).

For $k = 1$, the above theorem simplifies to inequality (1.5) where $s(z) = z$.

Theorem 2 — Let $r(s(z)) \in \mathbb{R}_{mn}$ and $r(s(z)) \neq 0$ in \mathbb{D}_{k+} , where $k \leq 1$. If $\max_{z \in \mathbb{T}_1} |s(z)| = M'$, then for $z \in \mathbb{T}_1$, we have

$$|r'(s(z))| \geq \frac{1}{2mM'} \left\{ |B'(z)| + \frac{mn(1-k)}{(1+k)} \right\} \left(|r(s(z))| + m^*(r \circ s, k) \right). \quad (2.2)$$

The result is best possible for $k = 1$ and equality holds in (2.2) for rational functions of the form $r(s(z)) = B(z) + \lambda$ where $\lambda \in \mathbb{T}_1$ and $s(z) = z^m$.

For $k = 1$, the above Theorem 2 reduces to the following result which generalizes and refines inequality (1.5).

Corollary 1 — Let $r(s(z)) \in \mathbb{R}_{mn}$ and $r(s(z)) \neq 0$ in \mathbb{D}_{1+} . If $\max_{z \in \mathbb{T}_1} |s(z)| = M'$, then for $z \in \mathbb{T}_1$, we have

$$|r'(s(z))| \geq \frac{|B'(z)|}{2mM'} \left\{ |r(s(z))| + m^*(r \circ s, 1) \right\}. \quad (2.3)$$

The result is best possible and equality in (2.3) holds for $r(s(z)) = B(z) + \lambda$ where $\lambda \in \mathbb{T}_1$ and $s(z) = z^m$.

Remark 2 : For $s(z) = z$, the above Corollary 1 simplifies to a result of Aziz and Shah ([3], Theorem 3).

Next, we prove the following result for rational functions not vanishing in the unit circle, and having prescribed poles, which, in particular, provides a refinement and generalization of (1.7).

Theorem 3 — Let $r(s(z)) \in \mathbb{R}_{mn}$ be such that $r(s(z)) \neq 0$ in \mathbb{D}_{1-} and all the zeros of $s(z)$ lie in \mathbb{D}_{1-} . If $\mu_1, \mu_2, \dots, \mu_{mn}$ are the zeros of $B(z) + \lambda$ and t_1, t_2, \dots, t_{mn} are the zeros of $B(z) - \lambda$, where $\lambda \in \mathbb{T}_1$, then for $z \in \mathbb{T}_1$,

$$|r'(s(z))| \leq \frac{|B'(z)|}{2mm'} \left\{ \left(\max_{1 \leq k \leq mn} |r(s(t_k))| \right)^2 + \left(\max_{1 \leq k \leq mn} |r(s(\mu_k))| \right)^2 - 2m^{*2} \right\}^{\frac{1}{2}}, \quad (2.4)$$

where $m^* = \min_{z \in \mathbb{T}_1} |r(s(z))|$ and $m' = \min_{z \in \mathbb{T}_1} |s(z)|$.

Remark 3 : If we let $s(z) = z$ in (2.4), we will improve (1.7).

We now prove the following result which should be compared with the polynomial inequality proved by Malik [7]. More precisely, we have

Theorem 4 — Let $r(s(z)) \in \mathbb{R}_{mn}$ and $r^*(s(z)) = B(z)r(\overline{s(\frac{1}{z})})$, where $s(z)$ has all zeros in \mathbb{D}_{1-} . Then, we have

$$\begin{aligned} \frac{\max_{z \in \mathbb{T}_1} |r(s(z))|}{\max_{z \in \mathbb{T}_1} |s(z)|} &\leq m \max_{z \in \mathbb{T}_1} \left\{ \left| \frac{r'(s(z))}{B'(z)} \right| + \left| \frac{r^*(s(z))}{B'(z)} \right| \right\} \\ &\leq \frac{\max_{z \in \mathbb{T}_1} |r(s(z))|}{\min_{z \in \mathbb{T}_1} |s(z)|}. \end{aligned} \quad (2.5)$$

If we take $s(z) = z$ in Theorem 4, we get the following generalization of a result of Malik [7] where the polynomial $P(z)$ is replaced by a rational function $r(z)$ with prescribed poles and the factor z^n by a Blaschke product $B(z)$.

Corollary 3 — Let $r \in \mathbb{R}_n$ and $r^*(z) = B(z)r(\overline{\frac{1}{z}})$, then we have

$$\max_{z \in \mathbb{T}_1} \left\{ \left| \frac{r'(z)}{B'(z)} \right| + \left| \frac{r^*(z)}{B'(z)} \right| \right\} = \max_{z \in \mathbb{T}_1} |r(z)|. \quad (2.6)$$

A rational function $r \in \mathbb{R}_n$ is said to be self-inversive if $r^*(z) = \zeta r(z)$ for some $\zeta \in \mathbb{T}_1$. If we assume $r \in \mathbb{R}_n$ to be self-inversive, we get the following result from Corollary 3, which extends a result of O' Hara and Rodriguez for self-inversive polynomials.

Corollary 4 — Let $r \in \mathbb{R}_n$ be a self-inversive rational function, then

$$\max_{z \in \mathbb{T}_1} \left| \frac{r'(z)}{B'(z)} \right| = \frac{1}{2} \max_{z \in \mathbb{T}_1} |r(z)|. \quad (2.7)$$

Finally, we establish the following result which, as a special case, provides a generalization of polynomial inequality due to Aziz and Dawood ([2], Remark 1).

Theorem 5 — Let $r(s(z)) \in \mathbb{R}_{mn}$ and all its zeros lie in $\mathbb{T}_1 \cup \mathbb{D}_{1-}$. If $r^*(s(z)) = B(z)\overline{r(s(\frac{1}{z}))}$, then for $z \in \mathbb{T}_1$, we have

$$\begin{aligned} \frac{\min_{z \in \mathbb{T}_1} |r(s(z))|}{\max_{z \in \mathbb{T}_1} |s(z)|} &\leq m \min_{z \in \mathbb{T}_1} \left\{ \left| \frac{r'(s(z))}{B'(z)} \right| - \left| \frac{r^{*'}(s(z))}{B'(z)} \right| \right\} \\ &\leq \frac{\min_{z \in \mathbb{T}_1} |r(s(z))|}{\min_{z \in \mathbb{T}_1} |s(z)|}. \end{aligned} \quad (2.8)$$

If we take $s(z) = z$ in (2.8), we get the following Corollary, which is a generalization of the corresponding result for polynomials ([2], Remark 1).

Corollary 5 — Let $r \in \mathbb{R}_n$ and all its zeros lie in $\mathbb{T}_1 \cup \mathbb{D}_{1-}$. If $r^*(s(z)) = B(z)\overline{r(s(\frac{1}{z}))}$, then for $z \in \mathbb{T}_1$, we have

$$\min_{z \in \mathbb{T}_1} |r(z)| = \min_{z \in \mathbb{T}_1} \left\{ \left| \frac{r'(z)}{B'(z)} \right| - \left| \frac{r^{*'}(z)}{B'(z)} \right| \right\}. \quad (2.9)$$

3. LEMMAS

For the proofs of these theorems, we need the following lemmas.

Lemma 1 — Let $r(s(z)) \in \mathbb{R}_{mn}$. If all the zeros of $r(s(z))$ lie in $\mathbb{T}_1 \cup \mathbb{D}_{1+}$, then for $z \in \mathbb{T}_1$ and $r(s(z)) \neq 0$,

$$\operatorname{Re} \left(\frac{z(r(s(z)))'}{r(s(z))} \right) \leq \frac{1}{2} |B'(z)|.$$

The above lemma is due to Qasim and Liman [4].

Lemma 2 — If $z \in \mathbb{T}_1$ then

$$\operatorname{Re} \left(\frac{z(W(s(z)))'}{W(s(z))} \right) = \frac{mn - |B'(z)|}{2}.$$

PROOF OF LEMMA 2 : Let $W^*(s(z)) = z^{mn} \overline{W(s(\frac{1}{z}))}$, so that

$$z(W^*(s(z)))' = mnz^{mn} \overline{W(s(\frac{1}{z}))} - z^{mn-1} \overline{W'(s(\frac{1}{z}))} s'(\frac{1}{z}),$$

which implies for $z \in \mathbb{T}_1$,

$$z(W^*(s(z)))' = mnW^*(s(z)) - z^{mn} \overline{z(W(s(z)))}'.$$

Since $W^*(s(z)) \neq 0$ for $z \in \mathbb{T}_1$, the above inequality is equivalent to

$$\frac{z(W^*(s(z)))'}{W^*(s(z))} = mn - \left(\frac{z(W(s(z)))'}{W(s(z))} \right),$$

which gives for $z \in \mathbb{T}_1$,

$$\operatorname{Re} \left(\frac{z(W^*(s(z)))'}{W^*(s(z))} \right) + \operatorname{Re} \left(\frac{z(W(s(z)))'}{W(s(z))} \right) = mn. \quad (3.1)$$

Recall that

$$B(z) = \frac{W^*(s(z))}{W(s(z))} = \prod_{j=1}^{mn} \left(\frac{1 - \bar{a}_j z}{z - a_j} \right).$$

This gives

$$\frac{z B'(z)}{B(z)} = \sum_{j=1}^{mn} \left(\frac{-z \bar{a}_j}{1 - \bar{a}_j z} - \frac{z}{z - a_j} \right).$$

Hence for $z \in \mathbb{T}_1$, we have

$$\frac{z B'(z)}{B(z)} = \sum_{j=1}^{mn} \left(\frac{|\bar{a}_j|^2 - 1}{|z - a_j|^2} \right).$$

Since $|a_j| > 1$ for all $1 \leq j \leq mn$, it follows from above that $\frac{z B'(z)}{B(z)}$ is real and positive. Also $|B(z)| = 1$ for $z \in \mathbb{T}_1$, therefore, we have

$$\frac{z B'(z)}{B(z)} = \left| \frac{z B'(z)}{B(z)} \right| = |B'(z)|, \quad z \in \mathbb{T}_1. \quad (3.2)$$

Again

$$B(z) = \frac{W^*(s(z))}{W(s(z))},$$

so that

$$B'(z) = \frac{W(s(z))(W^*(s(z)))' - W^*(s(z))(W(s(z)))'}{(W(s(z)))^2}.$$

This implies

$$\frac{z B'(z)}{B(z)} = \frac{z (W^*(s(z)))'}{W^*(s(z))} - \frac{z (W(s(z)))'}{W(s(z))}. \quad (3.3)$$

From (3.2) and (3.3), it follows that for $z \in \mathbb{T}_1$,

$$|B'(z)| = \frac{z(W^*(s(z)))'}{W^*(s(z))} - \frac{z(W(s(z)))'}{W(s(z))},$$

which implies for $z \in \mathbb{T}_1$,

$$\operatorname{Re}\left(\frac{z(W^*(s(z)))'}{W^*(s(z))}\right) - \operatorname{Re}\left(\frac{z(W(s(z)))'}{W(s(z))}\right) = |B'(z)|. \quad (3.4)$$

On subtracting (3.1) and (3.4), we get

$$\operatorname{Re}\left(\frac{z(W(s(z)))'}{W(s(z))}\right) = \frac{mn - |B'(z)|}{2},$$

and this completes the proof of Lemma 2.

Lemma 3 — Suppose $\lambda \in \mathbb{T}_1$, then the following hold. The equation $B(z) = \lambda$ has exactly mn simple roots, say t_1, t_2, \dots, t_{mn} , and all lie on the unit circle \mathbb{T}_1 ; and if $r(s(z)) \in \mathbb{R}_{mn}$ and $z \in \mathbb{T}_1$, then

$$B'(z)r(s(z)) - s'(z)r'(s(z))[B(z) - \lambda] = \frac{B(z)}{z} \sum_{k=1}^{mn} C_k r(s(t_k)) \left| \frac{B(z) - \lambda}{z - t_k} \right|^2, \quad (3.5)$$

where $C_k = C_k(\lambda)$ is defined for $k = 1, 2, \dots, mn$ by

$$C_k^{-1} = \sum_{j=1}^{mn} \frac{|a_j|^2 - 1}{|t_k - a_j|^2}. \quad (3.6)$$

Furthermore, for $z \in \mathbb{T}_1$, we have

$$\frac{zB'(z)}{B(z)} = \sum_{k=1}^{mn} C_k \left| \frac{B(z) - \lambda}{z - t_k} \right|^2. \quad (3.7)$$

The above lemma is due to Qasim and Liman [4].

Lemma 4 — Let $r(s(z)) \in \mathbb{R}_{mn}$. If all the zeros of $r(s(z))$ lie in $\mathbb{T}_1 \cup \mathbb{D}_{1+}$, then for $z \in \mathbb{T}_1$,

$$|(r(s(z)))'| \leq |(r^*(s(z)))'| - |B'(z)| \min_{z \in \mathbb{T}_1} |r(s(z))|,$$

where $r^*(s(z)) = B(z)\overline{r(s(\frac{1}{z}))}$.

PROOF OF LEMMA 4 : By hypothesis, $r(s(z)) \neq 0$ in \mathbb{D}_{1-} . Suppose first that $r(s(z)) \neq 0$ on \mathbb{T}_1 , so that all the zeros of $r(s(z))$ lie in \mathbb{D}_{1+} , and therefore, $m^* = m^*(r \circ s, 1) > 0$. Let α be a complex number with $|\alpha| < 1$, then for $z \in \mathbb{T}_1$,

$$|\alpha m^* B(z)| < |r(s(z))|.$$

Therefore, it follows by Rouché’s theorem that

$$R(z) = r(s(z)) + \alpha m^* B(z)$$

has the same number of zeros in \mathbb{D}_{1+} as $r(s(z))$. Thus $R(z)$ has mn zeros in \mathbb{D}_{1+} . This is true even if $m^* = 0$ as well. Hence in any case all the zeros of $R(z)$ lie in $\mathbb{T}_1 \cup \mathbb{D}_{1+}$. Therefore, by Lemma 1, by virtue of (3.2), $|B'(z)| > 0$, we have for $z \in \mathbb{T}_1$ such that $R(z) \neq 0$,

$$\operatorname{Re} \left(\frac{zR'(z)}{|B'(z)|R(z)} \right) \leq \frac{1}{2}.$$

This implies for $z \in \mathbb{T}_1$ (which are not the zeros of $R(z)$), that

$$\left| \frac{zR'(z)}{|B'(z)|R(z)} \right| \leq \left| \frac{zR'(z)}{|B'(z)|R(z)} - 1 \right|.$$

Equivalently

$$|zR'(z)| \leq |zR'(z) - |B'(z)|R(z)|, \tag{3.8}$$

for the points z on \mathbb{T}_1 which are not the zeros of $R(z)$. But (3.8) is trivially true for points z on \mathbb{T}_1 which are the zeros of $R(z)$ as well, it follows that

$$|R'(z)| \leq |zR'(z) - |B'(z)|R(z)| \text{ for all } z \in \mathbb{T}_1. \tag{3.9}$$

Since

$$R^*(z) = B(z) \overline{R\left(\frac{1}{z}\right)},$$

we have

$$z(R^*(z))' = zB'(z) \overline{R\left(\frac{1}{z}\right)} - \frac{B(z)}{z} \overline{R'\left(\frac{1}{z}\right)},$$

and therefore for $z \in \mathbb{T}_1$, we get

$$\begin{aligned} |(R^*(z))'| &= |zB'(z) \overline{R(z)} - B(z) \overline{zR'(z)}| \\ &= |B(z)| \left| \frac{zB'(z)}{B(z)} \overline{R(z)} - \overline{zR'(z)} \right|. \end{aligned} \tag{3.10}$$

Now, by (3.2), we have $\frac{zB'(z)}{B(z)} = |B'(z)|$, therefore from (3.9) and (3.10), it follows by using $|B(z)| = 1$ for $z \in \mathbb{T}_1$,

$$|R'(z)| \leq |(R^*(z))'| \text{ for } z \in \mathbb{T}_1. \tag{3.11}$$

Since $R(z) = r(s(z)) + \alpha m^* B(z)$, we have

$$\begin{aligned} R^*(z) &= B(z) \overline{R\left(\frac{1}{\bar{z}}\right)} \\ &= B(z) \overline{\left\{r\left(s\left(\frac{1}{\bar{z}}\right)\right) + \alpha m^* B\left(\frac{1}{\bar{z}}\right)\right\}} \\ &= r^*(s(z)) + \bar{\alpha} m^*, \end{aligned}$$

for $z \in \mathbb{T}_1$, and hence from (3.11), we get

$$|(r(s(z)))' + \alpha m^* B'(z)| \leq |(r^*(s(z)))'|, \quad (3.12)$$

for every α with $|\alpha| < 1$. We can choose the argument of α suitably, such that

$$|(r(s(z)))' + \alpha m^* B'(z)| = |(r(s(z)))'| + |\alpha| m^* |B'(z)|,$$

it follows from (3.12) that

$$|(r(s(z)))'| + |\alpha| m^* |B'(z)| \leq |(r^*(s(z)))'| \text{ for } z \in \mathbb{T}_1. \quad (3.13)$$

Finally letting $|\alpha| \rightarrow 1-$ in (3.13), we get the desired result. This completes the proof of Lemma 4.

Lemma 5 — Suppose that $\lambda \in \mathbb{T}_1$. Let t_1, t_2, \dots, t_{mn} are the zeros of $B(z) - \lambda$ and $\mu_1, \mu_2, \dots, \mu_{mn}$ are the zeros of $B(z) + \lambda$. If $r(s(z)) \in \mathbb{R}_{mn}$, then for $z \in \mathbb{T}_1$, we have

$$|(r(s(z)))'|^2 + |(r^*(s(z)))'|^2 \leq \frac{|B'(z)|^2}{2} \left\{ \left(\max_{1 \leq k \leq mn} |r(s(t_k))| \right)^2 + \left(\max_{1 \leq k \leq mn} |r(s(\mu_k))| \right)^2 \right\}.$$

Equality holds for $r(s(z)) = \mu B(z)$, where $\mu \in \mathbb{T}_1$.

PROOF OF LEMMA 5 : Since t_1, t_2, \dots, t_{mn} are the zeros of $B(z) - \lambda$, $\lambda \in \mathbb{T}_1$, therefore by Lemma 3, we obtain for $z \in \mathbb{T}_1$,

$$\begin{aligned} &|B'(z)r(s(z)) - s'(z)r'(s(z))B(z) + \lambda s'(z)r'(s(z))| \\ &= \left| \frac{B(z)}{z} \sum_{k=1}^{mn} C_k r(s(t_k)) \left| \frac{B(z) - \lambda}{z - t_k} \right|^2 \right| \\ &\leq \left| \frac{B(z)}{z} \right| \sum_{k=1}^{mn} |C_k| |r(s(t_k))| \left| \frac{B(z) - \lambda}{z - t_k} \right|^2 \\ &\leq \sum_{k=1}^{mn} |C_k| \left| \frac{B(z) - \lambda}{z - t_k} \right|^2 \max_{1 \leq k \leq mn} |r(s(t_k))|. \end{aligned} \quad (3.14)$$

Since for $z \in \mathbb{T}_1$, $|B(z)| = 1$ and $C_k \geq 0$, $k = 1, 2, \dots, mn$, it follows from (3.14) with the help of (3.6) and (3.7), that

$$\begin{aligned} & |B'(z)r(s(z)) - s'(z)r'(s(z))B(z) + \lambda s'(z)r'(s(z))| \\ & \leq \sum_{k=1}^{mn} C_k \left| \frac{B(z) - \lambda}{z - t_k} \right|^2 \max_{1 \leq k \leq mn} |r(s(t_k))| \\ & = |B'(z)| \max_{1 \leq k \leq mn} |r(s(t_k))|. \end{aligned} \tag{3.15}$$

Replacing λ by $-\lambda$ in (3.15) and noting that $\mu_1, \mu_2, \dots, \mu_{mn}$ are the zeros of $B(z) + \lambda$, we get

$$\begin{aligned} & |B'(z)r(s(z)) - s'(z)r'(s(z))B(z) - \lambda s'(z)r'(s(z))| \\ & \leq |B'(z)| \max_{1 \leq k \leq mn} |r(s(\mu_k))|. \end{aligned} \tag{3.16}$$

From (3.15) and (3.16), it follows that

$$\begin{aligned} & |B'(z)r(s(z)) - s'(z)r'(s(z))B(z) + \lambda s'(z)r'(s(z))|^2 \\ & + |B'(z)r(s(z)) - s'(z)r'(s(z))B(z) - \lambda s'(z)r'(s(z))|^2 \\ & \leq |B'(z)|^2 \left\{ \left(\max_{1 \leq k \leq mn} |r(s(t_k))| \right)^2 + \left(\max_{1 \leq k \leq mn} |r(s(\mu_k))| \right)^2 \right\}. \end{aligned} \tag{3.17}$$

Using the identity

$$|\alpha + \beta|^2 + |\alpha - \beta|^2 = 2|\alpha|^2 + 2|\beta|^2$$

in (3.17), with $\alpha = B'(z)r(s(z)) - s'(z)r'(s(z))B(z)$ and $\beta = \lambda s'(z)r'(s(z))$, we get for $z, \lambda \in \mathbb{T}_1$,

$$\begin{aligned} & 2 \left\{ |B'(z)r(s(z)) - s'(z)r'(s(z))B(z)|^2 + |s'(z)r'(s(z))|^2 \right\} \\ & \leq |B'(z)|^2 \left\{ \left(\max_{1 \leq k \leq mn} |r(s(t_k))| \right)^2 + \left(\max_{1 \leq k \leq mn} |r(s(\mu_k))| \right)^2 \right\}. \end{aligned} \tag{3.18}$$

Now $r^*(s(z)) = \overline{B(z)r(s(\frac{1}{z}))}$, therefore, proceeding similarly as in the proof of Lemma 4, we have for $z \in \mathbb{T}_1$, that

$$|(r^*(s(z)))'| = |B(z)| \left| \frac{zB'(z)}{B(z)} \overline{r(s(z))} - \overline{zr'(s(z))s'(z)} \right|.$$

Using the fact that $|B(z)| = 1$ and $\frac{zB'(z)}{B(z)}$ is real for $z \in \mathbb{T}_1$, we get

$$\begin{aligned} |(r^*(s(z)))'| & = |B(z)| \left| \frac{zB'(z)}{B(z)} r(s(z)) - zr'(s(z))s'(z) \right| \\ & = |B'(z)r(s(z)) - r'(s(z))s'(z)B(z)|. \end{aligned} \tag{3.19}$$

Using (3.19) in (3.18), we get

$$|(r(s(z)))'|^2 + |(r^*(s(z)))'|^2 \leq \frac{|B'(z)|^2}{2} \left\{ \left(\max_{1 \leq k \leq mn} |r(s(t_k))| \right)^2 + \left(\max_{1 \leq k \leq mn} |r(s(\mu_k))| \right)^2 \right\}.$$

For sharpness, let $r(s(z)) = \mu B(z)$, $\mu \in \mathbb{T}_1$. It is easy to see for $z \in \mathbb{T}_1$, that

$$|(r(s(z)))'|^2 + |(r^*(s(z)))'|^2 = |B'(z)|^2.$$

Also, since t_1, t_2, \dots, t_{mn} are the zeros of $B(z) - \lambda$ and $\mu_1, \mu_2, \dots, \mu_{mn}$ are the zeros of $B(z) + \lambda$, which all lie on the unit circle \mathbb{T}_1 , where $\lambda \in \mathbb{T}_1$. It follows that for $z \in \mathbb{T}_1$, we have

$$\max_{1 \leq k \leq mn} |r(s(t_k))| = \max_{1 \leq k \leq mn} |r(s(\mu_k))| = 1,$$

and hence

$$\frac{|B'(z)|^2}{2} \left\{ \left(\max_{1 \leq k \leq mn} |r(s(t_k))| \right)^2 + \left(\max_{1 \leq k \leq mn} |r(s(\mu_k))| \right)^2 \right\} = |B'(z)|^2,$$

thus establishing the sharpness in the Lemma 5.

Lemma 6 — If $P \in \mathbb{P}_n$ and $P(z)$ has all its zeros in $\mathbb{T}_1 \cup \mathbb{D}_{1-}$, then

$$\min_{z \in \mathbb{T}_1} |P'(z)| \geq n \min_{z \in \mathbb{T}_1} |P(z)|. \quad (3.20)$$

The result is best possible and equality in (3.20) holds for polynomials having all their zeros at the origin.

The above lemma is due to Aziz and Dawood [2].

4. PROOFS OF THE THEOREMS

PROOF OF THEOREM 1 : Let $r(s(z)) = \frac{P(s(z))}{W(s(z))} \in \mathbb{R}_{mn}$, where $s \in \mathbb{P}_m$. Since $r(s(z))$ has all its zeros in $\mathbb{T}_k \cup \mathbb{D}_{k-}$, $k \leq 1$, it follows that $P(s(z))$ has all its mn zeros in $\mathbb{T}_k \cup \mathbb{D}_{k-}$, $k \leq 1$. By direct calculation, we obtain

$$\frac{z(r(s(z)))'}{r(s(z))} = \frac{z(P(s(z)))'}{P(s(z))} - \frac{z(W(s(z)))'}{W(s(z))}. \quad (4.1)$$

If z_1, z_2, \dots, z_{mn} are the zeros of $P(s(z))$, then $|z_j| \leq k \leq 1$, $1 \leq j \leq mn$ and we have from

(4.1) for $0 \leq \theta < 2\pi$,

$$\begin{aligned}
 \operatorname{Re} \left(\frac{z(r(s(z)))'}{r(s(z))} \right) \Big|_{z=e^{i\theta}} &= \operatorname{Re} \left(\frac{z(P(s(z)))'}{P(s(z))} \right) \Big|_{z=e^{i\theta}} - \operatorname{Re} \left(\frac{z(W(s(z)))'}{W(s(z))} \right) \Big|_{z=e^{i\theta}} \\
 &= \sum_{j=1}^{mn} \operatorname{Re} \left(\frac{e^{i\theta}}{e^{i\theta} - z_j} \right) - \operatorname{Re} \left(\frac{z(W(s(z)))'}{W(s(z))} \right) \Big|_{z=e^{i\theta}} \\
 &\geq \sum_{j=1}^{mn} \left(\frac{1}{1 + |z_j|} \right) - \left(\frac{mn - |B'(e^{i\theta})|}{2} \right) \text{ (by Lemma 2)} \\
 &\geq \frac{mn}{1+k} - \frac{mn}{2} + \frac{|B'(e^{i\theta})|}{2} \\
 &= \frac{1}{2} \left\{ |B'(e^{i\theta})| + \frac{mn(1-k)}{1+k} \right\},
 \end{aligned}$$

for the points $e^{i\theta}$, $0 \leq \theta < 2\pi$, other than the zeros of $r(s(z))$. Hence, we have

$$|(r(s(e^{i\theta})))'| \geq \frac{1}{2} \left\{ |B'(e^{i\theta})| + \frac{mn(1-k)}{1+k} \right\} |r(s(e^{i\theta}))|, \quad (4.2)$$

for the points $e^{i\theta}$, $0 \leq \theta < 2\pi$, other than the zeros of $r(s(z))$. Since (4.2) is true for the points $e^{i\theta}$, $0 \leq \theta < 2\pi$, which are the zeros of $r(s(z))$ also, it follows that

$$|r'(s(z))s'(z)| \geq \frac{1}{2} \left\{ |B'(z)| + \frac{mn(1-k)}{1+k} \right\} |r(s(z))| \text{ for } z \in \mathbb{T}_1. \quad (4.3)$$

Now using inequality (1.1) in (4.3), we get (2.1) and this completes the proof of Theorem 1.

PROOF OF THEOREM 2 : Since $r(s(z))$ has all its zeros in $\mathbb{T}_k \cup \mathbb{D}_{k-}$, $k \leq 1$. Also $m^*(r \circ s, k) = \min_{z \in \mathbb{T}_k} |r(s(z))|$. Therefore, $m^*(r \circ s, k) \leq |r(s(z))|$, for $z \in \mathbb{T}_k$. First, we suppose that $r(s(z))$ has no zeros on $|z| = k$, then $m^*(r \circ s, k) > 0$ and for every complex number α with $|\alpha| < 1$, we have

$$|\alpha m^*(r \circ s, k)| < |r(s(z))| \text{ for } z \in \mathbb{T}_k.$$

Therefore, it follows by Rouché's theorem that the rational function

$$R(z) = r(s(z)) + \alpha m^*(r \circ s, k)$$

has exactly mn zeros in \mathbb{D}_{k-} . In case $r(s(z))$ has some zeros on \mathbb{T}_k , then $m^*(r \circ s, k) = 0$ and in this case $R(z) = r(s(z))$ has all its zeros in $\mathbb{T}_k \cup \mathbb{D}_{k-}$, $k \leq 1$. Applying Theorem 1 to $R(z)$, we get for $z \in \mathbb{T}_1$,

$$|r'(s(z))| \geq \frac{1}{2mM'} \left\{ |B'(z)| + \frac{mn(1-k)}{(1+k)} \right\} |r(s(z)) + \alpha m^*(r \circ s, k)|,$$

which on choosing the argument of α suitably in the right hand side so that for $z \in \mathbb{T}_1$,

$$|r'(s(z))| \geq \frac{1}{2mM'} \left\{ |B'(z)| + \frac{mn(1-k)}{(1+k)} \right\} \left(|r(s(z))| + |\alpha| m^*(r \circ s, k) \right). \quad (4.4)$$

Finally letting $|\alpha| \rightarrow 1-$ in (4.4), we get (2.2) and this completes the proof of Theorem 2.

PROOF OF THEOREM 3 : By hypothesis $r(s(z))$ does not vanish in \mathbb{D}_{1-} , therefore, by Lemma 4, we have

$$\left(|(r(s(z)))'| + m^* |B'(z)| \right)^2 \leq |(r^*(s(z)))'|^2 \text{ for } z \in \mathbb{T}_1.$$

Adding both sides $|r(s(z))'|^2$ and using Lemma 5, we get for $z \in \mathbb{T}_1$,

$$\begin{aligned} & \left(|(r(s(z)))'| + m^* |B'(z)| \right)^2 + |(r(s(z)))'|^2 \\ & \leq \frac{|B'(z)|^2}{2} \left\{ \left(\max_{1 \leq k \leq mn} |r(s(t_k))| \right)^2 + \left(\max_{1 \leq k \leq mn} |r(s(\mu_k))| \right)^2 \right\}. \end{aligned} \quad (4.5)$$

Since

$$\begin{aligned} & \left(|(r(s(z)))'| + m^* |B'(z)| \right)^2 \\ & = |(r(s(z)))'|^2 + m^{*2} |B'(z)|^2 + 2m^* |B'(z)| |(r(s(z)))'| \\ & \geq |(r(s(z)))'|^2 + m^{*2} |B'(z)|^2, \end{aligned}$$

it follows from (4.5) for $z \in \mathbb{T}_1$ that

$$2|(r(s(z)))'|^2 \leq \frac{|B'(z)|^2}{2} \left\{ \left(\max_{1 \leq k \leq mn} |r(s(t_k))| \right)^2 + \left(\max_{1 \leq k \leq mn} |r(s(\mu_k))| \right)^2 - 2m^{*2} \right\}.$$

This gives for $z \in \mathbb{T}_1$,

$$|r'(s(z))s'(z)| \leq \frac{|B'(z)|}{2} \left\{ \left(\max_{1 \leq k \leq mn} |r(s(t_k))| \right)^2 + \left(\max_{1 \leq k \leq mn} |r(s(\mu_k))| \right)^2 - 2m^{*2} \right\}^{\frac{1}{2}},$$

which when combined with Lemma 6 proves Theorem 3 completely.

PROOF OF THEOREM 4 : We have from inequality (3.15) for λ , $z \in \mathbb{T}_1$, that

$$\begin{aligned} & |B'(z)r(s(z)) - s'(z)r'(s(z))B(z) + \lambda s'(z)r'(s(z))| \\ & \leq |B'(z)| \max_{1 \leq k \leq mn} |r(s(t_k))| \\ & \leq |B'(z)| \max_{z \in \mathbb{T}_1} |r(s(z))|. \end{aligned} \quad (4.6)$$

Since the right hand side of (4.6) is independent of λ , therefore, we can suitably choose λ such that

$$\begin{aligned} &|B'(z)r(s(z)) - s'(z)r'(s(z))B(z)| + |s'(z)r'(s(z))| \\ &\leq |B'(z)| \max_{z \in \mathbb{T}_1} |r(s(z))| \text{ for } z \in \mathbb{T}_1. \end{aligned}$$

This implies with the help of (3.19) that for $z \in \mathbb{T}_1$,

$$|(r(s(z)))'| + |(r^*(s(z)))'| \leq |B'(z)| \max_{z \in \mathbb{T}_1} |r(s(z))|. \tag{4.7}$$

Since $|B'(z)| > 0$, we get from (4.7), Lemma 6 and by Gauss Lucas-Theorem that

$$\left| \frac{r'(s(z))}{B'(z)} \right| + \left| \frac{r^{*'}(s(z))}{B'(z)} \right| \leq \frac{\max_{z \in \mathbb{T}_1} |r(s(z))|}{m \min_{z \in \mathbb{T}_1} |s(z)|} \text{ for } z \in \mathbb{T}_1,$$

or

$$\max_{z \in \mathbb{T}_1} \left\{ \left| \frac{r'(s(z))}{B'(z)} \right| + \left| \frac{r^{*'}(s(z))}{B'(z)} \right| \right\} \leq \frac{\max_{z \in \mathbb{T}_1} |r(s(z))|}{m \min_{z \in \mathbb{T}_1} |s(z)|}. \tag{4.8}$$

Also it is easy to verify that

$$\frac{z(r^*(s(z)))'}{r^*(s(z))} = \frac{zB'(z)}{B(z)} - \frac{\overline{(r(s(z)))'}}{z r(s(\frac{1}{z}))},$$

which implies from (3.2) for $z \in \mathbb{T}_1$ that

$$\frac{z(r^*(s(z)))'}{r^*(s(z))} = |B'(z)| - \left(\frac{\overline{z(r(s(z)))'}}{r(s(z))} \right).$$

This gives for $z \in \mathbb{T}_1$,

$$\begin{aligned} &\left| \frac{z(r^*(s(z)))'}{r^*(s(z))} \right| + \left| \left(\frac{\overline{z(r(s(z)))'}}{r(s(z))} \right) \right| \\ &\geq \left| \frac{z(r^*(s(z)))'}{r^*(s(z))} + \left(\frac{\overline{z(r(s(z)))'}}{r(s(z))} \right) \right| \\ &= |B'(z)|. \end{aligned} \tag{4.9}$$

Since $|r(s(z))| = |r^*(s(z))|$ for $z \in \mathbb{T}_1$, it follows that

$$|r'(s(z))s'(z)| + |r^{*'}(s(z))s'(z)| \geq |B'(z)||r(s(z))|,$$

which on using inequality (1.1) to $s(z)$ that

$$\max_{z \in \mathbb{T}_1} \left\{ \left| \frac{r'(s(z))}{B'(z)} \right| + \left| \frac{r^{*'}(s(z))}{B'(z)} \right| \right\} \geq \frac{\max_{z \in \mathbb{T}_1} |r(s(z))|}{m \max_{z \in \mathbb{T}_1} |s(z)|}. \quad (4.10)$$

Combining (4.8) and (4.10), we get (2.5) and this completes the proof of Theorem 4.

PROOF OF THEOREM 5 : Since all the zeros of $r(s(z))$ lie in $\mathbb{T}_1 \cup \mathbb{D}_{1-}$, it follow that all the zeros of $r^*(s(z)) = B(z)\overline{r(s(\frac{1}{\bar{z}}))}$ lie in $\mathbb{T}_1 \cup \mathbb{D}_{1+}$ and hence on applying Lemma 4 to $r^*(s(z))$, we get for $z \in \mathbb{T}_1$, that

$$|(r^*(s(z)))'| \leq |(r(s(z)))'| - |B'(z)| \min_{z \in \mathbb{T}_1} |r^*(s(z))|,$$

which implies by using the fact

$$\min_{z \in \mathbb{T}_1} |r(s(z))| = \min_{z \in \mathbb{T}_1} |r^*(s(z))|$$

that for $z \in \mathbb{T}_1$,

$$|r'(s(z))s'(z)| - |r^{*'}(s(z))s'(z)| \geq |B'(z)| \min_{z \in \mathbb{T}_1} |r(s(z))|.$$

This gives on using inequality (1.1) to $s(z)$ for $z \in \mathbb{T}_1$, that

$$\left| \frac{r'(s(z))}{B'(z)} \right| - \left| \frac{r^{*'}(s(z))}{B'(z)} \right| \geq \frac{\min_{z \in \mathbb{T}_1} |r(s(z))|}{m \max_{z \in \mathbb{T}_1} |s(z)|},$$

which implies

$$\min_{z \in \mathbb{T}_1} \left\{ \left| \frac{r'(s(z))}{B'(z)} \right| - \left| \frac{r^{*'}(s(z))}{B'(z)} \right| \right\} \geq \frac{\min_{z \in \mathbb{T}_1} |r(s(z))|}{m \max_{z \in \mathbb{T}_1} |s(z)|}. \quad (4.11)$$

Again since $r^*(s(z)) = B(z)\overline{r(s(\frac{1}{\bar{z}}))}$, it follows from (3.19) for $z \in \mathbb{T}_1$, that

$$|(r^*(s(z)))'| = |B'(z)r(s(z)) - r'(s(z))s'(z)B(z)|,$$

which implies by using the fact $|B(z)| = 1$ for $z \in \mathbb{T}_1$, that

$$|(r^*(s(z)))'| \geq |r'(s(z))s'(z)| - |B'(z)||r(s(z))|.$$

This gives for $z \in \mathbb{T}_1$,

$$|B'(z)||r(s(z))| \geq |r'(s(z))s'(z)| - |r^{*'}(s(z))s'(z)|,$$

which on using Lemma 6, gives that

$$\min_{z \in \mathbb{T}_1} \left\{ \left| \frac{r'(s(z))}{B'(z)} \right| - \left| \frac{r^{*'}(s(z))}{B'(z)} \right| \right\} \leq \frac{\min_{z \in \mathbb{T}_1} |r(s(z))|}{m \min_{z \in \mathbb{T}_1} |s(z)|}. \quad (4.12)$$

Combining (4.11) and (4.12), we get (2.8) and this completes the proof of Theorem 5.

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