



# On $\mathcal{U}$ -weak stability of coarse isometries between $L^p$ spaces

Quanqing Fang · Duanxu Dai · Jichao Zhang

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**Abstract** In this paper, we study  $\mathcal{U}$ -stability and weak  $\mathcal{U}$ -stability of coarse isometries between Banach spaces for a free ultrafilter  $\mathcal{U}$  on  $\mathbb{N}$ . As a result, for a coarse isometry  $f : L_p(\Omega_1, \Sigma_1, \mu_1) \rightarrow L_p(\Omega_2, \Sigma_2, \mu_2)$  ( $1 < p < \infty$ ), where  $(\Omega_j, \Sigma_j, \mu_j)$  ( $j = 1, 2$ ) are two measure spaces, we prove that the following conditions are equivalent:

- (i) The mapping  $\Phi$  defined by  $\Phi(x) = w - \lim_{\mathcal{U}} \frac{f(nx)}{n}$  for any  $x \in L_p(\Omega_1, \Sigma_1, \mu_1)$  is a linear isometry ;
- (ii) For any  $x \in L_p(\Omega_1, \Sigma_1, \mu_1)$ ,  $\lim_{\mathcal{U}} \frac{f(nx)}{n}$  exists and  $\lim_{\mathcal{U}} \frac{f(nx)}{n} = \Phi(x)$ ;
- (iii)  $f$  is  $\mathcal{U}$ -weakly stable;
- (iv) There exists a  $T \in \mathcal{B}(L_p(\Omega_2, \Sigma_2, \mu_2), L_p(\Omega_1, \Sigma_1, \mu_1))$  with  $\|T\| = 1$  such that

$$\|Tf(nx) - nx\| = o(n)_{\mathcal{U}}.$$

**Keywords**  $L^p$  space · Coarse isometry · Linear isometry ·  $\mathcal{U}$ -weak stability

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## 1 Introduction

Let  $X$  and  $Y$  be two real Banach spaces. For a given  $\varepsilon \geq 0$ , a mapping  $f : X \rightarrow Y$  is said to be an  $\varepsilon$ -isometry provided that

$$|\|f(x) - f(y)\| - \|x - y\|| \leq \varepsilon, \text{ for all } x, y \in X.$$

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Q. Fang

Key Laboratory of Applied Mathematics of Fujian Province University, Putian University, Fujian Putian 351100, China  
E-mail: quanqingfang@163.com

D. Dai

School of Science, Jimei University, Xiamen 361021, Fujian, China  
E-mail: dduanxu@163.com

J. Zhang (✉)

School of Science, Hubei University of Technology, Wuhan 430068, China  
E-mail: 156880717@qq.com

Especially, we say that  $f$  is an isometry if the above  $\varepsilon = 0$ . A celebrated result in Banach space theory is Mazur-Ulam theorem [13] which states that every surjective isometry  $f$  from a real Banach space  $X$  to another such space  $Y$  with  $f(0) = 0$  is a linear map. The simple counterexample  $f : \mathbb{R} \rightarrow \ell_\infty^2$  defined by  $f(x) = (x, |x|)$  shows that it does not hold in general without the assumption of surjectivity on  $f$ . For non-surjective isometry, Figiel [8] showed the classical result in 1968: For every isometry  $f : X \rightarrow Y$  with  $f(0) = 0$ , there exists a linear operator  $F : L(f) \equiv \overline{\text{span}} f(X) \rightarrow X$  with  $\|F\| = 1$  such that  $Ff(x) = x$  for all  $x \in X$ .

In 1945, Hyers and Ulam [11] (see also [15]) raised a problem as follows: Whether for every surjective  $\varepsilon$ -isometry mapping  $f : X \rightarrow Y$  with  $f(0) = 0$ , there exists a surjective linear isometry  $U : X \rightarrow Y$  and  $\gamma > 0$  such that

$$\|f(x) - Ux\| \leq \gamma\varepsilon, \quad \text{for all } x \in X.$$

In 1983, J. Gevirtz [9] given an affirmative answer with  $\gamma = 5$  to the question above. After several decades efforts of a number of mathematicians (see for instance [9–11, 15]), the following sharp estimate was finally obtained by Omladić and Šemrl [15].

**Theorem 1.1** (Omladić and Šemrl) *Suppose that  $f : X \rightarrow Y$  is a surjective  $\varepsilon$ -isometry with  $f(0) = 0$ . Then there is a surjective linear isometry  $U : X \rightarrow Y$  such that*

$$\|f(x) - Ux\| \leq 2\varepsilon, \quad \text{for all } x \in X.$$

Upon Figiel's theorem [8] and other remarkable results for non-surjective  $\varepsilon$ -isometry (see [16, 17]), Cheng, Dong and Zhang gave a weak stability theorem [2], which can be regard as a quantitative extension of Figiel's theorem:

**Theorem 1.2** (Cheng, Dong and Zhang) *Suppose that  $f : X \rightarrow Y$  is a standard  $\varepsilon$ -isometry. Then for every  $x^* \in X^*$  there exists  $\phi \in Y^*$  with  $\|x^*\| = \|\phi\| \equiv r$  so that*

$$|\langle x^*, x \rangle - \langle \phi, f(x) \rangle| \leq 4r\varepsilon \quad \text{for all } x \in X.$$

It has played an profound influence in the study of stability of properties of  $\varepsilon$ -isometries (see [1–4, 7, 20]), since we can easily obtain almost all results of former. Recently, Cheng and Dong [6] further showed that the estimate constant "4" can be improved to be "3". Moreover, the constant "3" is accurate.

We say that a mapping  $f : X \rightarrow Y$  is a coarse isometry if  $\varepsilon_f(t) = o(t)$  as  $t \rightarrow \infty$ , where

$$\varepsilon_f(t) = \sup_{x, y \in X, \|x - y\| \leq t} \{|\|f(x) - f(y)\| - \|x - y\||\} \quad \text{for all } t \geq 0.$$

In [14], Lindenstrauss and Szankowski studied a surjective coarse isometry which yields a linear isometric approximation in some special case. They defined  $\varphi_f(t) = \sup\{|\|f(x) - f(y)\| - \|x - y\|| : \|f(x) - f(y)\| \leq t \text{ or } \|x - y\| \leq t\}$ , and proved that when  $f$  is a surjective map with  $\int_1^{+\infty} \frac{\varphi_f(t)}{t^2} dt < \infty$ , then there exists a surjective linear isometry  $U : X \rightarrow Y$  such that

$$\|f(x) - Ux\| = o(\|x\|) \text{ as } \|x\| \rightarrow \infty.$$

Furthermore, they gave a counterexample to illustrate that the convergence of the improper integration is necessary even in finite dimensional spaces.

Inspired by Lindenstrauss' counterexample [14] and Cheng et al.'s results of weak stability of  $\varepsilon$ -isometry [1, 2], Sun and Zhang studied the stability of coarse isometry between  $L^p$  space by sequence limitation [19]. Cheng [5] proposed the definition of weak stability of a coarse isometry with respect to  $\mathcal{U}$  as follows:

**Definition 1.3** Let  $\mathcal{U}$  be a free ultrafilter on  $\mathbb{N}$ , and  $f : X \rightarrow Y$  be a standard coarse isometry.

i)  $f$  is said to be stable with respect to  $\mathcal{U}$ , or, simply,  $\mathcal{U}$ -stable if there is a linear isometry  $U : X \rightarrow Y$  so that

$$\|f(nx)/n - Ux\| = o(1)_{\mathcal{U}}, \quad \text{for all } x \in X, \text{ as } n \xrightarrow{\mathcal{U}} \infty.$$

ii) We say that  $f$  is weak stable with respect to  $\mathcal{U}$ , or simply,  $\mathcal{U}$ -weak stable, if for every  $x^* \in X^*$  there  $\varphi \in Y^*$  with  $\|\varphi\| = \|x^*\|$  so that for each  $x \in X$

$$|\langle x^*, x \rangle - \langle \varphi, f(nx)/n \rangle| = o(1)_{\mathcal{U}}, \quad \text{as } n \xrightarrow{\mathcal{U}} \infty.$$

In this paper, the letters  $X, Y$  are used to be real Banach spaces, and  $X^*, Y^*$  are their dual spaces respectively.  $\mathcal{U}$  denotes a free ultrafilter on  $\mathbb{N}$ ,  $\lim_{\mathcal{U}} \frac{f(nx)}{n}$  and  $w - \lim_{\mathcal{U}} \frac{f(nx)}{n}$  stand for filter limitations in norm topology and weak topology respectively.



## 2 On weak $\mathcal{U}$ -stability of coarse isometry between $L^p$ spaces

The purpose of this paper is to study properties of  $\mathcal{U}$ -stable and  $\mathcal{U}$ -weak stable of coarse isometries between  $L^p$  ( $1 < p < \infty$ ) spaces. Free ultrafilter limits shall play an important role in the paper.

**Definition 2.1** i) A filter  $\mathcal{F}$  on a set  $\Omega$  is a collection of subsets of  $\Omega$  satisfying a.  $\emptyset \notin \mathcal{F}$ ; b.  $A, B \in \mathcal{F}$  implies  $A \cap B \in \mathcal{F}$  and c.  $A \in \mathcal{F}$  and  $A \subset B \subset \Omega$  entail  $B \in \mathcal{F}$ .

ii) A filter  $\mathcal{F}$  is said to be free if  $\bigcap \{F \in \mathcal{F}\} = \emptyset$ ;

iii) A filter  $\mathcal{U}$  is called an ultrafilter if for any  $A \subset \Omega$ , either  $A \in \mathcal{U}$ , or  $\Omega \setminus A \in \mathcal{U}$ .

iv) Let  $K$  be a topological space, and  $f : \Omega \rightarrow K$  be a function. We say  $f$  is convergent to some  $k \in K$  with respect to a filter  $\mathcal{F}$  if for every neighborhood  $U$  of  $k$ , we have  $f^{-1}(U) \in \mathcal{F}$ ; in this case, we denote  $\lim_{\mathcal{F}} f = k$ .

Firstly, we extend the uniformly convex spaces' sequence limitation property to filter limitation property.

The following property is classical and is easy to prove.

**Proposition 2.2** Suppose that  $\Omega$  is a nonempty set,  $K$  is a Hausdorff compact space, and that  $f : \Omega \rightarrow K$  is a mapping. Then for every free ultrafilter  $\mathcal{U}$  on  $\Omega$  the limit  $\lim_{\mathcal{U}} f$  exists and is unique.

**Lemma 2.3** Let  $X$  be a uniformly convex space,  $\mathcal{U}$  be a free ultrafilter on  $\mathbb{N}$ ,  $\{x_n\}_{n=1}^{\infty}, \{y_n\}_{n=1}^{\infty}$  be two bounded sequences in  $X$ , if  $\lim_{\mathcal{U}} \|x_n\| = \lim_{\mathcal{U}} \|y_n\| = r > 0$  and  $\lim_{\mathcal{U}} \|x_n + y_n\| = 2r$ . Then  $\lim_{\mathcal{U}} \|x_n - y_n\| = 0$ .

*Proof* Since  $\{x_n\}, \{y_n\}$  are bounded sequences in  $X$ , then  $\lim_{\mathcal{U}} \|x_n - y_n\|$  exists. We may assume that  $\lim_{\mathcal{U}} \|x_n - y_n\| = a > 0$ . By filter convergence definition, for all  $n \in \mathcal{N}$ , we have

$$\begin{aligned} A_n &= \left\{ m \in \mathcal{N} : \left| \|x_m + y_m\| - 2r \right| \leq \frac{1}{n} \right\}, \\ B_n &= \left\{ m \in \mathcal{N} : \left| \|x_m - y_m\| - a \right| \leq \frac{1}{n} \right\}, \\ C_n &= \left\{ m \in \mathcal{N} : \left| \|x_m\| - r \right| \leq \frac{1}{n} \right\}, \\ D_n &= \left\{ m \in \mathcal{N} : \left| \|y_m\| - r \right| \leq \frac{1}{n} \right\}. \end{aligned}$$

By ultrafilter convergence definition, for all  $n \in \mathcal{N}$ , we have  $A_n, B_n, C_n, D_n \in \mathcal{U}$  and  $\emptyset \neq A_n \cap B_n \cap C_n \cap D_n \in \mathcal{U}$ . We select sufficient large  $n$  such that  $\frac{1}{n} \leq \frac{2}{a}$  and  $k_n \in A_n \cap B_n \cap C_n \cap D_n$ , then

$$\begin{aligned} \left| \|x_{k_n} + y_{k_n}\| - 2r \right| &\leq \frac{1}{n}, \\ \left| \|x_{k_n} - y_{k_n}\| - a \right| &\leq \frac{1}{n}, \\ \left| \|x_{k_n}\| - r \right| &\leq \frac{1}{n}, \\ \left| \|y_{k_n}\| - r \right| &\leq \frac{1}{n}. \end{aligned}$$

So  $\{x_n\}, \{y_n\}$  has sequences  $\{x_{k_n}\}, \{y_{k_n}\}$  with  $\lim_n \|x_{k_n}\| = \lim_n \|y_{k_n}\| = r$  and  $\lim_n \|x_{k_n} + y_{k_n}\| = 2r$ . By uniformly convexness of  $X$ , it is obvious that  $\lim_n \|x_{k_n} - y_{k_n}\| = 0$ . While, by construction of  $\{x_{k_n}\}, \{y_{k_n}\}$  above, we have  $\lim_{n \rightarrow \infty} \|x_{k_n} - y_{k_n}\| = a$ . Which is a contradiction.  $\square$

**Theorem 2.4** Suppose  $(\Omega_i, \Sigma_i, \mu_i)$  are two measure spaces,  $1 < p < \infty$ ,  $X_i = L^p(\Omega_i, \Sigma_i, \mu_i)$  ( $i = 1, 2$ ), and let  $f : X_1 \rightarrow X_2$  be a  $\mathcal{U}$ -weak stable coarse isometry, then  $\Phi(x) = \lim_{\mathcal{U}} \frac{f(nx)}{n}$  exists and defines a linear isometry.



*Proof* By Corollary 3.10 in [5], we obtain that  $\Phi(x) = w - \lim_{\mathcal{U}} \frac{f(nx)}{n}$  defines an isometry. It is obvious that  $\Phi(0) = 0$ . Combined with the strict convexness of  $L^p$  ( $1 < p < \infty$ ), we get that  $\Phi$  is linear. Hence we just need to show that  $\Phi(x) = \lim_{\mathcal{U}} \frac{f(nx)}{n}$ .

Firstly, by definition of coarse isometry, we have

$$\|\Phi(x)\| = \|x\| = \lim_{\mathcal{U}} \left\| \frac{f(nx)}{n} \right\|.$$

On one hand, by weak lower semi-continuousness of norm, we have

$$2\|\Phi(x)\| = \|w - \lim_{\mathcal{U}} \frac{f(nx)}{n} + \Phi(x)\| \leq \lim_{\mathcal{U}} \left\| \frac{f(nx)}{n} + \Phi(x) \right\|.$$

On the other hand, by norm's triangle inequality, we have

$$\lim_{\mathcal{U}} \left\| \frac{f(nx)}{n} + \Phi(x) \right\| \leq \lim_{\mathcal{U}} \left\| \frac{f(nx)}{n} \right\| + \|\Phi(x)\| = 2\|\Phi(x)\|.$$

Hence,  $\lim_{\mathcal{U}} \left\| \frac{f(nx)}{n} + \Phi(x) \right\| = 2\|\Phi(x)\|$ . By Lemma 2.3, we get that  $\Phi(x) = \lim_{\mathcal{U}} \frac{f(nx)}{n}$ , then we complete the proof.  $\square$

**Theorem 2.5** Let  $X_1, X_2, \mathcal{U}, f$  be as above, then the following propositions are equivalent:

- (i)  $\Phi(x) = w - \lim_{\mathcal{U}} \frac{f(nx)}{n}$  is a linear isometry;
- (ii)  $\Phi(x) = \lim_{\mathcal{U}} \frac{f(nx)}{n}$  exists and defines a linear isometry;
- (iii) There exists  $T \in \mathcal{B}(X_2, X_1)$  with  $\|T\| = 1$  such that

$$\|Tf(nx) - nx\| = o(n)\mathcal{U};$$

- (iv)  $f$  is  $\mathcal{U}$ -weak stable.

*Proof* (i) $\Rightarrow$ (ii) Repeat the above process of the existence of  $\lim_{\mathcal{U}} \frac{f(nx)}{n}$ , then (ii) holds immediately.

(ii) $\Rightarrow$ (iii) Since  $\Phi : X_1 \rightarrow X_2$  is a linear isometry, by [12] theorem 3 (p.p. 162),  $\Phi(X_1)$  is one-complemented in  $X_2$ . Then there exists a closed subspace  $N$  of  $X_2$  such that  $X_2 = \Phi(X_1) \oplus N$  with  $P$  projects  $X_2$  onto  $\Phi(X_1)$  along  $N$  is norm one. Notice the reflexivity of  $X_2$ , we get that  $\Phi$  is a conjugate operator and  $X_2^* = \Phi(X_1)^* \oplus N^*$ .

Let  $T = \Phi^{-1}P$ . It is obvious that  $\|P\| = 1$  and

$$\begin{aligned} \left\| T \frac{f(nx)}{n} - x \right\| &= \left\| \Phi^{-1}P \frac{f(nx)}{n} - x \right\| \\ &= \left\| P \frac{f(nx)}{n} - \Phi(x) \right\| \\ &\rightarrow 0(n \xrightarrow{\mathcal{U}} \infty). \end{aligned}$$

(iii) $\Rightarrow$ (iv) Since  $\|T\| = 1$ , then  $\|T\| = \|T^*\| = 1$ , and for any  $x^* \in X_1^*$ ,  $\|T^*x^*\| \leq \|T^*\|\|x^*\|$ .

On the other hand, for any  $\theta \neq x^* \in X_1^*$ , select  $x \in \partial\|x^*\|$ , by (iii), we have

$$\begin{aligned} \|x^*\| &= \langle x^*, x \rangle = \lim_{\mathcal{U}} \langle x^*, T \frac{f(nx)}{n} \rangle = \lim_{\mathcal{U}} \langle T^*x^*, \frac{f(nx)}{n} \rangle \\ &\leq \|T^*x^*\| \lim_{\mathcal{U}} \left\| \frac{f(nx)}{n} \right\| \leq \|x^*\|\|x\| = \|x^*\|. \end{aligned}$$

So  $\|T^*x^*\| = \|x^*\|$  and  $T^* : X_2^* \rightarrow X_1^*$  is a linear isometry.



Let  $\varphi = T^*x^*$ , then  $\|\varphi\| = \|x^*\|$  and

$$\begin{aligned} & \frac{|\langle x^*, nx \rangle - \langle \varphi, f(nx) \rangle|}{n} \\ &= \frac{|\langle x^*, nx \rangle - \langle T^*x^*, f(nx) \rangle|}{n} \\ &= \frac{|\langle x^*, nx \rangle - \langle x^*, Tf(nx) \rangle|}{n} \\ &\leq \frac{\|nx - Tf(nx)\|}{n} \|x^*\| \xrightarrow{n \rightarrow \infty} 0. \end{aligned}$$

(iv) $\Rightarrow$ (i) By corollary 3.10 in [5] and the proceeding of the existence of  $\Phi(x) = \lim_{\mathcal{U}} \frac{f(nx)}{n}$  in theorem 2.4, it is easy to get this conclusion.  $\square$

**Corollary 2.6** Suppose that  $X$  is a Banach space, that  $Y$  is an  $L^p$  space for some  $1 < p < \infty$ , and let  $f : X \rightarrow Y$  be a coarse isometry. Then  $f$  is  $\mathcal{U}$ -weak stable if and only if  $\Phi(x) = \lim_{\mathcal{U}} \frac{f(nx)}{n}$  exists.

*Proof* Necessity. By corollary 3.10 in [5],  $\Phi(x) = w - \lim_{\mathcal{U}} \frac{f(nx)}{n}$  is an isometry. Repeat the proof of the existence of filter limitation in theorem 2.4,  $\lim_{\mathcal{U}} \frac{f(nx)}{n}$  exists, then  $\Phi(x) = \lim_{\mathcal{U}} \frac{f(nx)}{n}$ .

Sufficiency. Since  $\Phi(x) = \lim_{\mathcal{U}} \frac{f(nx)}{n}$  exists, it is obvious that  $\Phi(x) = w - \lim_{\mathcal{U}} \frac{f(nx)}{n}$  is a linear isometry. We obtain that  $f$  is  $\mathcal{U}$ -weak stable immediately by corollary 3.10 in [5].

When  $Y$  is a Hilbert space, notice that every closed subspace of Hilbert space is one complemented, similar to the process of theorem 2.5, we have the following proposition.  $\square$

**Proposition 2.7** Suppose that  $X$  is a Banach space, that  $Y$  is a Hilbert space, and let  $f : X \rightarrow Y$  be a coarse isometry. Then the following propositions are equivalent:

- (i)  $\Phi(x) = w - \lim_{\mathcal{U}} \frac{f(nx)}{n}$  is a liner isometry;
- (ii)  $\Phi(x) = \lim_{\mathcal{U}} \frac{f(nx)}{n}$  exists and defines a linear isometry;

$$\Phi : X \rightarrow Y;$$

- (iii) There exists a  $T \in \mathcal{B}(Y, X)$  with  $\|T\| = 1$  such that

$$\|Tf(nx) - nx\| = o(n)\mathcal{U};$$

- (iv)  $f$  is  $\mathcal{U}$ -weak stable.

Notice that the sequence limitation is a special filter limitation, as applications, we can easily get Sun and Zhang's result in [19] as follows:

**Proposition 2.8** ([19] Theorem 2.12) Let  $X$  be a Banach space and  $Y = L_p(\Omega, \Sigma, \mu)$  ( $1 < p < \infty$ ) for some  $\sigma$ -finite measure space  $(\Omega, \Sigma, \mu)$ . Suppose that  $f : X \rightarrow Y$  is a standard coarse isometry. If for every  $x^* \in S_{X^*}$ , there exists  $\phi \in S_{Y^*}$  such that

$$|\langle x^*, x \rangle - \langle \phi, f(x) \rangle| = o(\|x\|) \quad \text{as } \|x\| \rightarrow \infty.$$

Then there is a linear isometry  $U : X \rightarrow Y$  satisfying

$$\|f(x) - Ux\| = o(\|x\|) \quad \text{as } \|x\| \rightarrow \infty.$$

**Proposition 2.9** ([19] Theorem 2.14) Let  $(\Omega_1, \Sigma_1, \mu_1)$ ,  $(\Omega_2, \Sigma_2, \mu_2)$  be two  $\sigma$ -finite measure spaces and  $1 < p < \infty$ . Suppose that  $X = L_p(\Omega_1, \Sigma_1, \mu_1)$ ,  $Y = L_p(\Omega_2, \Sigma_2, \mu_2)$  and that  $f : X \rightarrow Y$  is a standard coarse isometry. If  $U : X \rightarrow Y$  is a linear isometry and  $P : Y \rightarrow U(X)$  is a projection with  $\|P\| = 1$ , then the following statements are equivalent:

- (i)  $\|f(x) - Ux\| = o(\|x\|)$  as  $\|x\| \rightarrow \infty$ ;
- (ii)  $\|Pf(x) - Ux\| = o(\|x\|)$  as  $\|x\| \rightarrow \infty$ .



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